PART II: Behavioral Observation

Date: ____________________  Time: ____________________

Airport: ____________________  Location: ____________________

Initial Observation Reported by: ____________________

SPOT Team Member (Last, First) ____________________

SPOT Team Member (Last, First) ____________________

SPOT Team Member (Last, First) ____________________

**Section 1: Environmental Baseline (Please provide a brief narrative of the environmental baseline at the time of the referral below)**

**Section 2: Observation and Behavior Analysis**

<table>
<thead>
<tr>
<th>2 Points (each): FEAR Factors</th>
<th>3 Points (each): DECEPTION Factors</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bag appears to be heavier than expected</td>
<td>Appears to be confused or disoriented</td>
</tr>
<tr>
<td>Bag does not sit the individuals appearance</td>
<td>Appears to be in disguise</td>
</tr>
<tr>
<td>Subject's voice is soft or muffled</td>
<td>Asks the ISO security-related questions</td>
</tr>
<tr>
<td>Excessive fidgeting, head-bobbing, leg shaking</td>
<td>Does not respond to authoritative commands</td>
</tr>
<tr>
<td>Excessive perspiration/Inconsistent with the environment</td>
<td>Maintains cognizant flow with others (maintaining consistent eye contact with others, exhibiting hand gestures to others or passing objects to others)</td>
</tr>
<tr>
<td>Face pale from recent shaking of beard</td>
<td>Repetitively pats upper body with hands (not associated with smoking objects at X-ray or Walk Through Metal Detector (WTMD))</td>
</tr>
<tr>
<td>Facial flushing while undergoing screening</td>
<td>Powerful grip of bag and/or hand inside the bag</td>
</tr>
<tr>
<td>Faster eye blink rate when individual</td>
<td>Rigid posture, minimal body movements with arms close to sides</td>
</tr>
<tr>
<td>Increased breathing rate, panting</td>
<td>Scare area, appearing to look for security personnel or LEO</td>
</tr>
<tr>
<td>Obvious “Adam’s Apple” jump when</td>
<td>Shows unusual interest in security officers and their work routine</td>
</tr>
<tr>
<td>requested to submit to screening procedures</td>
<td>Displays arrogance and verbally expresses confront for the screening process</td>
</tr>
<tr>
<td>Prodding or bumping back arteries</td>
<td>Wearing improper attire for location</td>
</tr>
<tr>
<td>Rubbing or scratching of hands</td>
<td>Widely open staring eyes</td>
</tr>
<tr>
<td>Strong body odor</td>
<td></td>
</tr>
<tr>
<td>Sweaty palms</td>
<td></td>
</tr>
<tr>
<td>Trembling</td>
<td></td>
</tr>
<tr>
<td>Whistling as the individual approaches the screening process</td>
<td></td>
</tr>
</tbody>
</table>

**PART II: SPOT Resolution**

Reason for Referral (select one): [ ] Behavior [ ] Companion behavior

Attempts to surrender a prohibited item outside the checkpoint to a ISO (not liquids, gels, or aerosols)

**Section 3: Unusual Items**

<table>
<thead>
<tr>
<th>1 Point: For each unusual item observed that the passenger has no apparent reason to possess</th>
</tr>
</thead>
<tbody>
<tr>
<td>Armswear</td>
</tr>
<tr>
<td>Blueprints</td>
</tr>
<tr>
<td>Global positioning system (GPS) unit</td>
</tr>
<tr>
<td>Training manuals such as flight, scuba, explosive, or military</td>
</tr>
</tbody>
</table>

**Section 4: Signs of Deception**

<table>
<thead>
<tr>
<th>If two (2) or more signs of deception are observed during casual conversation, automatically initiate ISO notification:</th>
</tr>
</thead>
<tbody>
<tr>
<td>“Adam’s Apple” jump</td>
</tr>
<tr>
<td>Appearing not to understand questions</td>
</tr>
<tr>
<td>Change in voice pitch, rate, volume, choice of words, dry mouth</td>
</tr>
<tr>
<td>Consistently looking at other travelers or associates</td>
</tr>
<tr>
<td>Covers mouth with hand when speaking</td>
</tr>
<tr>
<td>Delayed responses to questions</td>
</tr>
<tr>
<td>Distracted or inability to pay attention to present situation</td>
</tr>
<tr>
<td>Does not remember significant facts when answering questions</td>
</tr>
<tr>
<td>Disenfranchising of significant facts when answering questions</td>
</tr>
<tr>
<td>Excessive or vague responses</td>
</tr>
<tr>
<td>Exaggerated pacing</td>
</tr>
<tr>
<td>Excessive complaints about the screening process</td>
</tr>
</tbody>
</table>

Place objects between self and officer |
Repeating back questions instead of answers |
Scare area appearing to look for security personnel or LEO |
Trembling of voice or body |
Inadvisable with passport or ticket data |
Well-rehearsed answers that may not respond to questions or that may appear to be memorized |
Whistling during the screening process |

**Section 5: TOTAL points:**

If score is 0-3 points, allow individual to proceed
If score is 4-4.5 points, refer for selective screening
If score is 5 or more, refer for selective screening & notify ISO

**Section 6: Review**

Motor vehicle operator, only if referred for selective screening and ISO notified

**Section 7: Security Information**


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### SENSITIVE SECURITY INFORMATION

#### SPOT REFERRAL REPORT

**Version 4.0**

Revised 03/13/2009

#### PART II: SPOT Resolution (continued)

<table>
<thead>
<tr>
<th>Section</th>
<th>Automatic LEO Notification</th>
<th>No LEO Referral</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Firearm, dangerous weapon, hazardous material, or explosive discovered</td>
<td>Purposeful concealment of prohibited item</td>
</tr>
<tr>
<td></td>
<td>Large sum of money leaving U.S.</td>
<td>Surveillance activity</td>
</tr>
<tr>
<td></td>
<td>Large sum of money with no apparent reason to possess</td>
<td>Suspected unlawful drugs discovered</td>
</tr>
<tr>
<td></td>
<td>Passenger refusal to submit to screening</td>
<td>Travel documents</td>
</tr>
<tr>
<td></td>
<td>Dillard or more signs of deception (from Section 4)</td>
<td>Travel companion referred to LEO</td>
</tr>
</tbody>
</table>

#### PART III: Data Collection

<table>
<thead>
<tr>
<th>Section</th>
<th>Passenger Data</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Air carrier:</td>
</tr>
<tr>
<td></td>
<td>Origination airport:</td>
</tr>
<tr>
<td></td>
<td>Next destination airport:</td>
</tr>
<tr>
<td></td>
<td>Flight number:</td>
</tr>
<tr>
<td></td>
<td>Final destination airport:</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section</th>
<th>Prohibited Items</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>RANGE:</td>
</tr>
<tr>
<td></td>
<td>X-RAY</td>
</tr>
<tr>
<td></td>
<td>J</td>
</tr>
</tbody>
</table>

*Include pertinent information in Notes block below.*

#### Resolution Statement

Resolution:
- LEO responded/did not question
- Resolved by TSA at selectee screening
- Detained for security screening
- Questioned and arrested by LEO
- Questioned and released by LEO
- Referred for investigation by LEO
- LEO did not respond (provide details below)

Identified by LEO or passenger as:
- Illegal alien
- Self-deporting

**Resolution of Behaviors:**

#### LEO Resolution

<table>
<thead>
<tr>
<th>Primary LEO</th>
<th>Reason for Arrest:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Outstanding warrant</td>
</tr>
<tr>
<td></td>
<td>Undeclared currency</td>
</tr>
<tr>
<td></td>
<td>Suspected drugs</td>
</tr>
<tr>
<td></td>
<td>Illegal alien</td>
</tr>
<tr>
<td></td>
<td>Fraudulent documents</td>
</tr>
<tr>
<td></td>
<td>ICE</td>
</tr>
<tr>
<td></td>
<td>LOCAL LEO</td>
</tr>
<tr>
<td>OTHER</td>
<td>List Other LEO:</td>
</tr>
</tbody>
</table>

Screening Manager Notified (Last, First): 

CCTV Copied:  

**SENSITIVE SECURITY INFORMATION**


REV 2

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