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SIRIS 27 COMIX 171

NOTE		
From:	eu-LISA	
To:	Delegations	
Subject:	SIS II - 2014 Statistics	

Delegations will find attached a revised version of the SIS II statistics for 2014.



# SIS II – 2014 Statistics

October 2015 (revision of the version published in March 2015)

> 2 EN

7925/1/15 REV 1 ANNEX

DG D 1A

This report has been produced pursuant to Article 50(3) of Regulation (EC) No 1987/2006 and Article 66(3) of Council Decision 2007/533/JHA with the purpose of publishing statistics on SIS II.

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### Introduction

Pursuant to Article 50(3) of Regulation (EC) No 1987/2006 of the European Parliament and of the Council on the establishment, operation and use of the second generation Schengen Information System (SIS II)<sup>1</sup> (hereafter referred to as the "SIS II Regulation") as well as to Article 66(3) of Council Decision 2007/533/JHA on the establishment, operation and use of the second generation SIS II<sup>2</sup> (hereafter referred to as the "SIS II Decision"), the Management Authority shall publish statistics showing the number of records per category of alert, the number of hits per category of alert and how many times SIS II was accessed, in total and for each Member States, each year.

Article 3(a) of Regulation (EU) No 1077/2011<sup>3</sup> establishing a European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (hereafter referred to as the "Agency") states that in relation to SIS II, the Agency shall perform the tasks conferred on the Management Authority by Regulation (EC) No 1987/2006 and Decision 2007/533/JHA.

This document provides the above-mentioned statistics for 2014, from 01 January until 31 December. It is the second public statistical document published by eu-LISA on SIS II and the first covering an entire year (12 months). The first document, published in June 2014<sup>4</sup>, presented SIS II statistics from the entry into operations of the system on 9 April 2013 until 31 December 2013.

The data presented in this document is a collection of data received from Member States<sup>5</sup> connected to SIS II and Associated Countries<sup>6</sup> together with data available from the Central SIS II.

This version is an update of the document published in March 2015. An updated version was necessary following revised data provided by some Member States.

<sup>5</sup> Member States of the EU connected to SIS II are: Austria, Belgium, Bulgaria, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain and Sweden.

<sup>&</sup>lt;sup>1</sup>OJ L 381, 28.12.2006, p.4.

<sup>&</sup>lt;sup>2</sup> OJ L 205, 7.8.2007, p.63.

<sup>&</sup>lt;sup>3</sup> OJ L286, 01.11.2011, p.1.

<sup>&</sup>lt;sup>4</sup>The document "SIS II – 2013 Statistics" is available at the following link <u>http://www.eulisa.europa.eu/Publications/Reports/eu-LISA\_SIS%20II%20-</u> <u>%20Statistics%202013.pdf</u>

<sup>&</sup>lt;sup>6</sup> Iceland, Liechtenstein, Norway and Switzerland.

## 1. Explanatory note

### 1.1 Content

#### 1.1.1 Accesses to SIS II

Access to SIS II shall only be authorised within the limit of competences of the national authorities<sup>7</sup> referred to in Article 27 of the SIS II Regulation and Article 40 of the SIS II Decision.

Accesses to SIS II entail both:

- any query, regardless of whether a hit is achieved or not and whether the Central System or a national copy of the SIS II database is queried;
- any transaction intended to create/update/delete (CUD) an alert.

Every access is counted, even if an access resulted in an error and an error message was returned from the system (e.g. if the operator commits an error).

Member States without a national copy, and therefore querying only the Central System, are Denmark, Finland, Liechtenstein, Norway and Slovenia. In addition to that, there is a group of Member States which have a national copy but subscribed for certain type of queries to use the services of the Central System. In 2014, Member States using this type of service and thus using partially the Central System for queries were Austria, Czech Republic, Greece, Hungary, Iceland, Luxembourg, the Netherlands, Poland, Romania, Sweden and Slovakia.

### 1.1.2 Number of records per category of alert

SIS II shall contain only those categories of alerts that are entered by each of the Member States or Associated Countries as required for the purposes laid down in Articles 26, 32, 34, 36 and 38 of the SIS II Decision and Article 24 of the SIS II Regulation.

Alerts may relate to:

- Persons in relation to whom an alert has been entered;
- Objects referred to in Articles 36 and 38 of the SIS II Decision (vehicles, aircraft, banknotes, blank official documents, boats, boat engines, containers, firearms, industrial equipment, issued documents, vehicle licence plates, securities and means of payment, vehicle registration documents).

In SIS II an *alert* represents a set of data entered into the system that allows competent authorities to identify a person or an object with a view to taking specific action.

### 1.1.3 Alerts on persons by article

In SIS II alerts on persons can be issued for the purposes of identifying the following people:

- Persons subject to arrest for surrender or extradition pursuant to Article 26 of the SIS II Decision;
- Third country nationals to be refused entry into or stay within the Schengen Area pursuant to Article 24 of the SIS II Regulation;

<sup>7</sup> OJ C 278, 22.08.2014.

- Missing persons (adults) pursuant to Article 32 of the SIS II Decision;
- Missing persons (minors) pursuant to Article 32 of the SIS II Decision;
- Persons to assist with a judicial procedure pursuant to Article 34 of the SIS II Decision;
- Persons for discreet or specific check pursuant to Article 36 of the SIS II Decision.

### 1.1.4 Number of hits

As per the SIRENE Manual<sup>8</sup> a hit occurs in SIS II when all the following criteria are met:

- a search is conducted by a user;
- the search reveals a foreign alert (alert issued by another country other than that undertaking the search) in SIS II;
- data concerning the alert in SIS II matches the search data;
- further actions are requested as a result of the hit.

### 1.2 Methodology

This document was prepared on the basis of data provided by:

- the Central System SIS II reports:
  - Accesses to SIS II (as far as CUDs<sup>9</sup> were concerned);
  - Number of alerts in SIS II;
  - Number of records per category of alert;
  - Alerts on persons by article;
- by each Member State and Associated Country connected to the Central System SIS II:
  - Accesses to SIS II (as far as queries were concerned);
  - Number of hits.

Member States of the EU connected to SIS II are Austria, Belgium, Bulgaria, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain and Sweden.

Associated Countries connected to SIS II are Iceland, Norway, Switzerland and Liechtenstein.

The UK's technical preparations and testing were completed in October 2014. Following a Council decision, the UK will be integrated in SIS II as of 13 April 2015.

The authorities of Ireland, Cyprus and Croatia are currently preparing for technical connection to SIS II.

Europol<sup>10</sup> and Eurojust<sup>11</sup> have the right, within their respective mandates, to access and search directly data in SIS II. Europol was successfully integrated into SIS II on 10 December 2014. However, the statistics on the accesses to SIS II of the above-mentioned agencies are not in the scope of this document, as the document shall cover only accesses by countries.

<sup>&</sup>lt;sup>8</sup> OJ L44, 18.2.2015.

<sup>&</sup>lt;sup>9</sup> Create/Update/Delete/Change expire date transactions.

<sup>&</sup>lt;sup>10</sup> Article 41 SIS II Decision.

<sup>&</sup>lt;sup>11</sup> Article 42 SIS II Decision.

## 2. SIS II Statistics

### 2.1 Accesses to SIS II

#### 2.1.1 Access to SIS II per country

In the reporting period o1 January 2014 – 31 December 2014, SIS II was accessed a total of 1,982,036,538 times by all countries as per the data collected. No distinction is made between accesses to SIS II achieved through the Central SIS II or through a national copy of the Central SIS II. Figure 1 shows the number of accesses, in the reporting period, in total and with a breakdown per country.

	Querie	5	Total queries			
Country	Manual processes	Automated processes	(manual + automated)	CUDs <sup>12</sup>	Total accesses (queries + CUDs)	
BE	9,399,456		9,399,456	689,050	10,088,506	
BG	2,929,055	54,742,458	57,671,513	502,432	58,173,945	
CZ	49,045,118	22,451,630	71,496,748	954,459	72,451,207	
<b>DK</b> <sup>13</sup>			5,507,876	110,130	5,618,006	
DE			366,573,681	3,1 <sup>8</sup> 3,997	369,757,678	
EE	26,774,919	31,801,172	58,576,091	62,145	58,638,236	
GR	30,771,782	6,344,694	37,116,476	257,366	37,373,842	
ES			384,816,228	1,726,463	386,542,691	
FR <sup>14</sup>	77,226,877	68,023,703	145,250,580	1,741,622	146,992,202	
п	46,087,145		46,087,145	3,904,294	49,991,439	
LV	21,126,754		21,126,754	24,690	21,151,444	
LT	26,704,253		26,704,253	120,727	26,824,980	
LU	442,435	442,934	885,369	8,845	894,214	
HU <sup>15</sup>	74,442,080		74,442,080	321,344	74,763,424	
МТ	1,236,125		1,236,125	23,828	1,259,953	
NL	48,771,634	10,796,485	59,568,119	623,311	60,191,430	
AT <sup>16</sup>			60,843,351	205,958	61,049,309	
PL	184,574,061		184,574,061	790,176	185,364,237	
РТ	24,830,423		24,830,423	60,989	24,891,412	
RO	106,694,346		106,694,346	1,281,887	107,976,233	
SI	33,379,707		33,379,707	41,413	33,421,120	
SK	3,341,570	1,622,660	4,964,230	302,724	5,266,954	
FI	41,830,833	6,402,657	48,233,490	45,942	48,279,432	
SE	13,080,907	0	13,080,907	195,394	13,276,301	
IS	1,349,452	77,317	1,426,769	3,727	1,430,496	
NO	10,923,909		10,923,909	68,756	10,992,665	
СН			108,736,520	149,666	108,886,186	
LI	411,057	76,224	487,281	1,715	488,996	
TOTAL <sup>17</sup>	835,373,898	202,781,934	1,964,633,488	17,403,050	1,982,036,538	

#### Figure 1: Accesses to SIS II in 2014, breakdown per country

<sup>&</sup>lt;sup>12</sup> CUDs refer to create/update/delete/change of expiry date.

<sup>&</sup>lt;sup>13</sup> This data was provided by eu-LISA.

<sup>&</sup>lt;sup>14</sup> In 2014, France provided also accesses via automatic number plate recognition systems (ANPR), data which was not provided for 2013.

<sup>&</sup>lt;sup>15</sup> During the drafting of the current report, Hungary amended data on accesses provided for 2013. The total accesses to SIS II for Hungary in 2013 were 55,310,691 and not 36,161,651 as indicated in the report published in June 2014.

<sup>&</sup>lt;sup>16</sup> In August 2015, Austria rectified data on accesses provided for 2014 and for 2013. The total accesses to SIS II for Austria in 2014 were 60,843,351 and not 30,745,312 as initially published in the first version of this report in March 2015. The total accesses to SIS II for Austria in 2013 were 53,407,350 and not 37,623,689 as indicated in the report published in June 2014.

<sup>&</sup>lt;sup>17</sup> Due to some minor discrepancies between the data provided by Member States and Associated Countries and the data available at central level about querying the Central System, some investigations are still ongoing at the time of drafting this report. Thus the data presented may not reflect accurately the picture of queries to the SIS II in 2014.

SIS II can be queried manually, when there is a human intervention<sup>18</sup>, or via automated processes<sup>19</sup>.

Germany, Spain, Italy, Romania and Switzerland reported using automatic systems for querying SIS II but it was not possible for them to separate the number of manual accesses from the automated ones.

With 19.5% of the reported total accesses, Spain accessed the system most frequently in the reporting period closely followed by Germany with almost 19%.

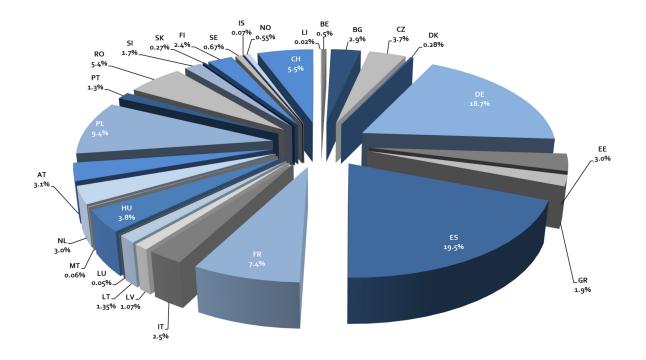


Figure 2: Accesses to SIS II in 2014, breakdown per country

Implementation of accesses to SIS II is a Member State's matter. Increase in the usage could depend, among other reasons, on additional mobile devices in use as well as enhanced user interfaces.

<sup>&</sup>lt;sup>18</sup> This covers checks by staff using radios, telephones, computer terminals, document scanners and all other forms of "traditional check" where a user makes the decision to carry out a check.

<sup>&</sup>lt;sup>19</sup> This covers queries carried out by automatic number plate recognition systems (ANPR) or other forms of automated bulk queries. These systems are relevant for alerts under Articles 36 and 38 of the SIS II Decision.

### 2.2 Number of alerts in SISII

On 31 December 2014 there were 55,970,029 alerts in total in SIS II.

#### 2.2.1 Volume and distribution per country

Figure 3 below shows the breakdown of the content of the SIS II database per country connected to the system as of 31 December 2014.

Country	Alerts				
BE	3,194,684				
BG	1,178,620				
CZ	2,699,576				
DK	662,088				
DE	, 8,503,288				
EE	396,072				
GR	946,548				
ES	4,792,973				
FR	3,721,127				
IT	17,373,768				
LV	79,968				
LT	1,220,382				
LU	24,892				
HU	827,180				
MT	104,335				
NL	4,105,241				
AT	412,637				
PL	1,418,405				
PT	187,662				
RO	1,335,793				
SI	204,716				
SK	900,343				
FI	143,917				
SE	322,006				
IS	16,025				
NO	194,969				
СН	999,106				
LI	3,708				
TOTAL	55,970,029				

#### Figure 3: Volume of alerts in SIS II in 2014, breakdown per country

With a total of more than 17 million alerts inserted into the database, Italy is the country which owns the majority of records (representing 31% of the total amount of alerts), followed by Germany with 8.5 million (15.2% of the total), Spain and the Netherlands with over 4 million each (respectively 8.6% and 7.3% of the total).

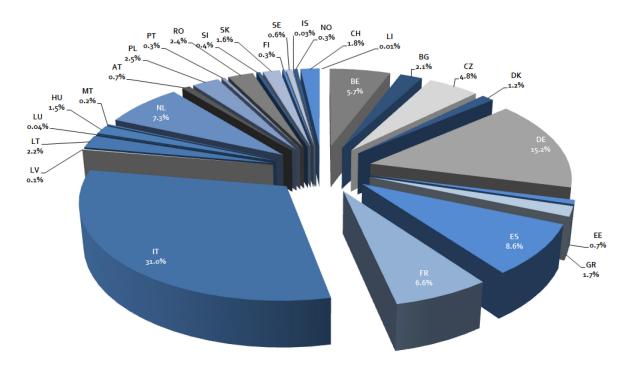


Figure 4: Volume of alerts in SIS II in 2014, breakdown per country

### 2.3 Number of alerts per category in SISII

### 2.3.1 Volume and distribution of alerts

Figure 5 below shows the composition of the SIS II database on 31 December 2014.

COUNTRY	Person	Vehicle	Aircraft	Banknote	Blank document	Boat	Boat engine	Container	Firearm	Industrial equipment	lssued document	Licence plate	Security	Vehicle registration document
BE	13,492	54,493	0	4,459	17,444	19	62	2	38,727	220	2,652,574	216,282	3	196,907
BG	1,461	25,192	1	0	0	2	3	0	87	53	875,868	131,492	0	144,461
cz	13,555	83,380	0	0	3,464	7	15	0	17,387	3,245	1,757,019	120,172	383	700,949
DK	3,301	28,657	0	6	1,537	13	93	0	4,827	74	623,219	361	0	0
DE	54,417	254,083	4	192,145	165,354	1,079	6,358	38	157,831	32,932	6,571,290	719,074	171,795	176,888
EE	1,505	2,440	ō	0	0	7	1	0	218	0	391,891	9	0	1
GR	60,771	180,433	0	28,334	109,170	396	1,075	0	15,898	0	400,683	149,602	1	185
ES	69,374	647,540	1	530	11,022	397	0	3	49,191	2,302	3,947,553	807	103	64,150
FR	110,040	356,972	1	34,343	41,625	74	38	23	35,956	1,220	2,877,977	2,788	218,183	41,887
IT	271,254	1,133,976	0	3,751	615,112	315	15	1	56,247	4	12,752,700	565,820	1,040,926	933,647
LV	1,097	1,973	0	0	0	1	1	0	12	36	75,315	1,201	1	331
LT	2,896	27,870	0	19	33	20	10	0	3,327	12	809,783	297,218	0	79,194
LU	1,279	1,204	0	25	4,897	1	0	0	149	98	16,796	124	203	116
HU	18,660	33,435	0	109	399	5	13	1	384	3	723,573	30,701	64	51 55
MT	2,162	957	0	2		10	24	0	205	0	100,103	29	1	838
NL	22,875	89,785	0	73		140	137	8	1,499	549	3,982,159	209	2	115 5
AT	22,914	26,845	0	280	2,813	10	131	2	5,642	89	332,653	20,686	389	183
PL	26,898	180,795	0	1,010	1,529	354	288	10	17,097	1,872	921,727	130,129	257	136,439
PT	19,211	59,351	0	2	115	6	84	1	8,630	4	98,821	1	54	
RO	7,056	7,218	0	16		0	1	0	538	0	1,168,314	0	0	152,649
SI	1,007	7,860	0	55		37	102	2	711	2	150,652	39,440	0	3,061
SK	7,880	24,078	0	0		9	9	4	4,582	29	835,742	27,735	0	
FI	2,374	4,969	0			21	211	0	6,951	4	128,834	444	2	4
SE	12,706	34,170	3			75	4	0	19,072	5	255,604	291	14	
IS	41	2	0	0		0	0	0	6	0	15,812	0	0	
NO	15,428	7,887	0	51		290	1,060	1	1,701	13	152,047	15,484	0	5
СН	33,869	22,903	0	1,621	24	0	0	0	10,174	0	930,514	0	0	1
LI	241	73	0	0		0	0	0	10	17	3,205	128	28	5
TOTAL	797,764	3,298,541	10	267,123	981,211	3,288	9,735	96	457,059	42,783	43,552,428	2,470,227	1,432,409	2,657,355

Figure 5: Volume and distribution of alerts in SIS II in 2014, breakdown per country

In 2014 alerts on persons represented 1.43% of the content of SIS II. Alerts concerning issued documents such as passports, identity cards, driving licenses, residence permits and travel documents which have been stolen, misappropriated, lost or invalidated are most prominent in the database, making up 77.81% of the total.

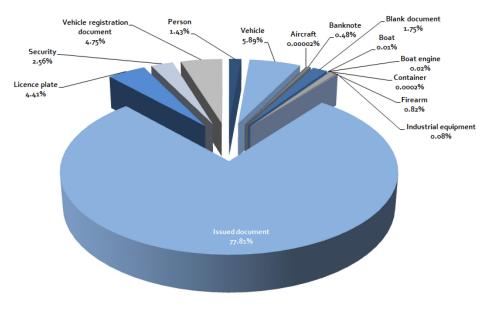


Figure 6: Distribution of alerts in SIS II in 2014

### 2.4 Alerts on persons by article

### 2.4.1 Volume and distribution of alerts on persons

There were 797,764 alerts related to persons in the SIS II dataset at the end of 2014, divided as shown below in figure 7:

Alert for the purpose of arrest for surrender or extradition - Art 26 SIS II Decision	34,651
Third country nationals to be refused entry or stay into the Schengen Area - Art 24 SIS II Regulation	547,492
Missing person (Adults) - Art 32 SIS II Decision	24,552
Missing person (Minors) - Art 32 SIS II Decision	42,623
Person to assist with a judicial procedure - Art 34 SIS II Decision	101,918
Person for discreet or specific check - Art 36 SIS II Decision	46,528
TOTAL	797,764

#### Figure 7: Alerts on persons

68.6% of the alerts on persons, created pursuant to Article 24 of the SIS II Regulation (i.e. to refuse entry into or stay within the Schengen area), constituted the biggest category of alerts on persons.

A total of 67,175 alerts were stored in SIS II for missing persons (adults and minors) representing 8.4% of the total amount of records on persons available in the system at the end of the year.

Figure 8 shows the distribution of the 797,764 alerts on persons stored in SIS II on 31 December 2014.

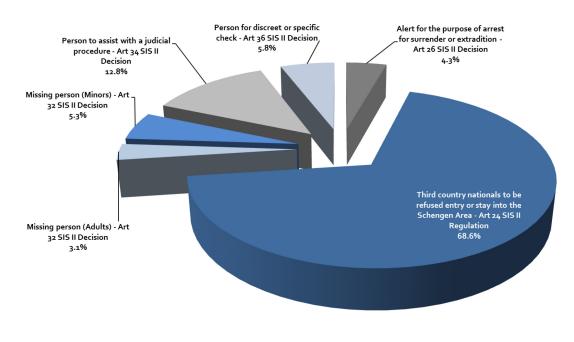


Figure 8: Alerts on persons

### 2.5 Number of hits

### 2.5.1 Volume and distribution of hits

A total of 128,598 hits<sup>20</sup> were reported on foreign alerts (on alerts issued by another country, as defined in section 1.1.4). These are shown in figure 9.

Art 26 SIS	8,774			
Art 24 SIS	26,551			
Art 32 SIS	ll Dec	3,961		
Art 34 SIS	Art 34 SIS II Dec			
Art 36 SIS	ll Dec	23,942		
	vehicles, trailers, caravans			
	boats	32		
	aircraft	1		
	industrial equipment	258		
	boat engines	27		
Art 38 SIS	containers	1		
II Dec	firearms	180		
II Dec	blank docs	1,247		
	vehicle registration certificates	791		
	number plates	2,337		
	issued docs	12,852		
	banknotes	2,275		
	11			
TOTAL	128,598			

### Figure 9: Volume and distribution of hits in 2014

The alerts which triggered the highest number of hits were those pursuant to Article 34 of the SIS II Decision (persons to assist with a judicial procedure).

<sup>20</sup> In September 2015, a Member State rectified the number initially provided for the hits on foreign alerts related to Article 24 SIS II Reg. for 2014.



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### SIS II – 2014 statistics\*

**PS** 

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### SIS II

The Schengen Information System - SIS II - allows information exchange between national border control, customs and police authorities, ensuring that the free movement of people within the EU can take place in a safe environment. Member States of the EU connected to SIS II are Austria, Belgium, Bulgaria, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain and Sweden. Associated Countries connected to SIS II are Iceland, Norway, Switzerland and Liechtenstein. The UK also intends to connect to the system. Its technical preparations and testing were completed in October 2014. Following a Council decision, the UK is expected to integrated in SIS II as of 13 April 2015. The authorities of Ireland, Cyprus and Croatia are currently preparing for technical connection to SIS II.

### eu-LISA role

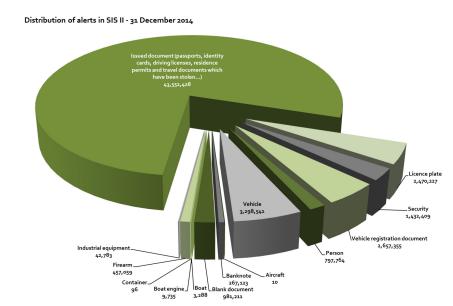
eu-LISA provides 24/7 operational management for SIS II and is required to publish annual statistics according to Article 66(3) of the SIS II Decision 2007/533/JHA and Article 50(3) of the SIS II Regulation (EC) No 1986/2006, as well as the Agency's founding Regulation, Article 12(1)(w) of Regulation (EU) No 1077/2011.

### 2014 statistics

The data presented is a collection of statistical data received from Member States and Associated Countries together with data available from the Central SIS II.

In the reporting period of January 2014 – 31 December 2014, SIS II was accessed a total of **1,982,036,538** times by Member States and Associated Countries.

As of 31 December 2014 SIS II contained **55,970,029** alerts, the biggest category being *Issued document*.



### A total of **128,598** hits were registered in the reporting period.

#### About the Agency

eu-LISA is the European Agency for the Operational Management of the Schengen Information System (SIS II), the Visa Information System (VIS) and EURODAC. The Agency operates IT systems that are most important existing tools for managing EU borders and help make free and safe movement of people in the EU a reality. The headquarters of eu-LISA is in Tallinn (Estonia), the operational management is carried out in Strasbourg (France) with a backup site in Sankt Johann im Pongau (Austria). www.eulisa.europa.eu

\* This document is provided purely for information purposes, it highlights key points of accompanying report on "SIS II - 2014 statistics".