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#### ADDENDUM 8 TO OUTCOME OF PROCEEDINGS

 from:
 Council

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 Subject:
 Fifteenth Annual Report according to article 8(2) of Council Common Position 2008/944/CFSP defining common rules governing control of exports of military technology and equipment

Delegations will find attached tables AII until E.

### TABLE A II

#### Exports to United Nations-mandated or other international missions in 2012

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNHCR OCM Kabul	cross country vehicles
Germany	United Nations Assistance Mission in Afghanistan (UNAMA)	automatic guns; parts for guns, pistols; ammunition for guns, pistols, revolvers, smooth-bore guns; practice ammunition; smoke grenades; optical sights
Germany	United Nations World Food Programme	cross country vehicles
Finland	UN mandated mission	Protective equipment
Hungary	NATO	pistols (9mm GLOCK 17, 9mm P9RC), signal pistols submachine guns (5,56mm M4A1, 7,62mm AK-63D, 7,62mm AK-63FM/A, 7,62mm AK- 63FM/L, 7,62mm AK-63FM/G) machine guns (7,62mm PKM1, 5,56mm M249) sniper rifles (12,7mm Gepard M1, 12,7mm Gepard M5, 7,62x51 SZÉP M1, 7,62mm 0.30 M24) grenade launchers (40mm D, M203) ammunitions (7,62mm, 9mm, 5,56mm, 40mm grenades, 93 and 96 M NF hand grenades, 26,5mm signal cartridges), magazines weapon sights (infrared and mechanical), telescopes, image intensifiers, hand-held laser range finders protective vests, Kevlar helmets
Portugal	ISAF	ML 1, ML 11, ML 13
UK	UNOPS Staff based in KABUL.	body armour, components for body armour
UK	UNAMA in Afghanistan only	equipment employing cryptography, software for equipment employing cryptography
UK	UNAMA UNOCA Compound in Kabul when not in use	civil explosive detection/identification equipment
UK	UNAMA	components for all-wheel drive vehicles with ballistic protection

### **Destination country: AFGHANISTAN**

#### Destination country: BOSNIA AND HERZEGOVINA

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Hungary	EUFOR	ammunition (7,62mm, 9mm, 12,7mm), Kevlar helmets

#### Destination country: CENTRAL AFRICAN REPUBLIC

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Integrated Peace Building Office (BINUCA)	binoculars

### **Destination country: CONGO**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment
UK	UNICEF	cryptographic software, equipment employing cryptography

### Destination country: CONGO (DEMOCRATIC REPUBLIC OF)

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Organization Stabilization Mission in the Democratic Republic of Congo	ammunition for guns; tear-gas grenades
Germany	UNMACC United Nations Mine Action Coordination Centre	parts for mine sweeping equipment;
UK	MONUSCO	equipment for the operation of military aircraft in confined areas

### **Destination country: COTE D'IVOIRE**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Operation in Côte d'Ivoire; (ONUCI)	parts for guns; ammunition for guns, pistols, revolvers, smooth-bore guns; practice ammunition; helmets

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UN Operations	components for munitions/ordnance detection/disposal equipment, munitions/ordnance detection/disposal equipment
UK	G4S personnel training EOD personnel as part of a UN contract	non-military firing sets
UK	ONUCI in Ivory Coast only	equipment employing cryptography, software for equipment employing cryptography
UK	Communication purposes of ONUCI only	equipment employing cryptography
UK	UN for training programme	components for munitions/ordnance detection/disposal equipment, munitions/ordnance detection/disposal equipment

### **Destination country: CYPRUS**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Hungary	UNFICYP	9mm P9RC pistols, 7,62mm AK-63D submachine guns, protective vests,
Malta	UNFLIGHT – UNFICYP	Aircraft engines/modules/accessories and spare parts

### **Destination country: EGYPT**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Hungary	MFO	9mm P9RC pistols, 7,62mm AK-63D submachine guns, 7,62mm ammunitions, protective vests,

### **Destination country: GEORGIA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNDSS	components for all-wheel drive vehicles with ballistic protection

### **Destination country: GUINEA BISSAU**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNIOGBIS in Guinea-Bissau	equipment employing cryptography
Germany	United Nations Operation Integrated Peace-Building Office- UNIOGBIS	ammunition for pistols and revolvers

### **Destination country: HAITI**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Stabilization Mission	ammunitions for guns, revolvers, pistols, smooth- bore guns

### **Destination country: IRAQ**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Assistance Mission for Iraq (UNAMI)	ammunition for guns
UK	For the protection of UNHCR employees and visitors	body armour, components for body armour
UK	United Nations Headquarters	components for aircraft missile protection systems
UK	UNAMI	radio controlled improvised explosive device jamming equipment, equipment employing cryptography
UK	UNAMI in Iraq only	equipment employing cryptography
UK	UNHCR	body armour, components for body armour, cryptographic software, equipment employing cryptography

### **Destination country: ISRAEL**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNTSO	components for all-wheel drive vehicles with ballistic protection

### **Destination country: ITALY**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Hungary	NDRC ITA (NATO)	protective vests

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Support Office for AMISOM (UNSOA)	ammunitions for guns, revolvers, pistols
Finland	UN mandated mission	Protective equipment
UK	UNOPS/Mine Action Somalia staff members.	equipment employing cryptography, software for the use of equipment employing cryptography
UK	UN de-mining operations.	military image intensifier equipment
UK	Internal UN Telecommunication in Mogadiscio, Somalia.	equipment employing cryptography
UK	United Nations AMISOM operations	components for assault rifles, equipment for the use of assault rifles, equipment for the use of weapon sight mounts, gun silencers, military image intensifier equipment, small arms ammunition, technology for military image intensifier equipment, training exploding grenade ammunition, weapon cleaning equipment, weapon sight mounts
UK	UN Mogadiscio, Somalia	equipment employing cryptography
UK	UN	components for body armour, military helmets

#### **Destination country: KENYA**

### **Destination country: KOSOVO**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Interim Administration Mission in Kosovo – UNMIK	parts for submachine guns, pistols; ammunition for pistols, revolvers, smooth-bore guns
Hungary	NATO	9mm ammunition
Portugal	KFOR	ML 1, ML 3, ML 5, ML 6,ML 7, ML 11, ML 13
UK	UNMIK (United Nations Mission In Kosovo)	equipment employing cryptography
UK	UNMIK in Kosovo only	equipment employing cryptography

### **Destination country: MALI**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UN Staff	components for body armour, military helmets

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Austria	UNIFIL (United Nations Interim Force in Lebanon)	pistols and components ML1
Germany	United Nations Special Coordinator Office for Lebanon UNSCOL	parts for guns, submachine guns, pistols, revolvers ammunition for guns
Germany	Special Tribunal for Lebanon	ammunition for guns, pistols, revolvers
Germany	United Nations Interim Force in Lebanon (UNIFIL	parts for guns, submachine guns, pistols; ammunition for guns, pistols, revolvers, smooth-bore guns
Germany	United Nations Economic and Social Commission for Western Asia	ammunition for pistols and revolvers
UK	United Nations personnel within Lebanon.	body armour, military helmets
UK	UNICEF	all-wheel drive vehicles with ballistic protection
UK	UNIFIL	radio controlled improvised explosive device jamming equipment

#### **Destination country: LEBANON**

### **Destination country: LIBERIA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Mission in Liberia (UNMIL)	ammunition for smooth-bore guns
UK	UNMIL in Liberia only	equipment employing cryptography

### Destination country: LIBYA

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Support Mission in Libya (UNSMIL)	ammunitions for pistols and revolvers
Finland	UN mandated mission	Protective equipment

### **Destination country: PAKISTAN**

Inited Nations-mandated or other international missions	Description of goods
UNHCR Pakistan mabad	cross country vehicles
	UNHCR Pakistan

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	United Nations office on Drug and Crime (UNODC) Country Office, Pakistan	body armour, components for body armour, military helmets, components for munitions/ordnance detection/disposal equipment, munitions/ordnance detection/disposal equipment

### **Destination country: SENEGAL**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNFPA personnel based in Senegal	body armour, components for body armour, military helmets

# Destination country: SOMALIA

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	UNWFP Somalia World Food Programme	cross country vehicles
UK	UNOPS/Mine action Somalia.	body armour, components for body armour, military helmets
UK	UNMAS in Somalia only	equipment employing cryptography, software for equipment employing cryptography
UK	UNOPS/Mine Action project in Somalia only.	software for equipment employing cryptography
UK	UN and Italian Embassy personnel	equipment employing cryptography
UK	UNPOS United Nations Political Office for Somalia.	equipment employing cryptography
UK	United Nations Mine Action Service in Somalia	military helmets
UK	African Union Mission in Somalia (AMISOM) soldiers in Somalia	components for munitions/ordnance detection/disposal equipment, munitions/ordnance detection/disposal equipment
UK	UN Mine Action in Somalia	bomb suits
UK	United Nations	body armour, military helmets
UK	UN Systems Communication	cryptographic software, equipment employing cryptography
UK	UNHCR staff members in sub office, Mogadishu.	cryptographic software, equipment employing cryptography

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	AMISOM Peace Keeping mission	promoting the supply of military support vehicles
UK	UN staff and will remain the property of UNOPS/Mine action Somalia	body armour, components for body armour, military helmets
UK	UNOPS/Mine Action project in Somalia only	cryptographic software, equipment employing cryptography, software for equipment employing cryptography
UK	UNOPS	equipment employing cryptography
UK	United Nations Mine Action Service in Somalia	military helmets

# Destination country: SUDAN

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Mine Actions Service UNMAO	parts for mine sweeping equipment
Germany	United Nations African Union Mission in Darfur	ammunition for guns; practice ammunition
Finland	UN mandated mission	Protective equipment
UK	United Nations Peacekeeping troops deployed and based in Sudan	weapon sight mounts; direct view imaging equipment, weapon sight mounts
UK	United Nations African Union Hybrid Mission in Darfur (UNAMID).	equipment employing cryptography, software for equipment employing cryptography

### **Destination country: SOUTH SUDAN**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Mine Action Coordination Centre – UNMAC	parts for mine sweeping equipment; helmets; body protection jackets
Germany	EUAVSEC South Sudan Delegation of EU	cross country vehicles and parts
Finland	UN mandated mission	Protective equipment
UK	The United Nations mission in South Sudan (UNMISS)	equipment employing cryptography, software for equipment employing cryptography

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	United Nations Mine Action Co- ordination Centre in South Sudan.	body armour, components for body armour, military helmets
UK	United Nations Mission for South Sudan (UNMISS).	military support vehicles, military trailers
UK	UN Mine Action Service	all-wheel drive vehicles with ballistic protection

### Destination country: SOUTH AFRICA

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UN Support Office for AMISOM (UNSOA	components for military combat vehicles

### **Destination country: SYRIA**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	WFP Syria Country Office United Nations World Food Programme	cross country vehicles
Germany	United Nations Supervision Mission in Syria (UNSMIS)	cross country vehicles
Germany	UNHCR Branch Office Syria	cross country vehicles
Germany	EU Delegation to Syria Damascus	cross country vehicles
UK	UNSMIS for the protection of their staff operating in Syria	components for all-wheel drive vehicles with ballistic protection
UK	UNHCR staff operating in Syria.	all-wheel drive vehicles with ballistic protection
UK	UNICEF humanitarian missions in Syria	all-wheel drive vehicles with ballistic protection

### Destination country: SWITZERLAND

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UN	body armour

### **Destination country: THAILAND**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Austria	UNESCAP (United Nations Economic and Social Commission for Asia and the Pacific)	pistols and components ML1

### **Destination country: TIMOR LESTE**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Germany	United Nations Integrated Mission in Timor-Leste	Parts for pistols

### **Destination country: UNITED STATES**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
UK	UNOPS Rapid Response and UXO clearance for the United Nations Mine Action Service.	body armour, components for body armour, military helmets

#### **Destination country: YEMEN**

Exporting Member State	United Nations-mandated or other international missions	Description of goods
Finland	UN mandated mission	Protective equipment

### Table A III

### **<u>1. Brokering licences granted by Member States in 2012</u>**

### **AUSTRIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Canada	1	11 650 000	ML 2	22	11 650 000	Russia

### **BULGARIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Algeria	1	2 022 280 EUR	ML 11	81 sets	2 022 280 EUR	Ukraine

#### CZECH REPUBLIC

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
United Arab Emirates	3	7 475 EUR	10	12 PCE	776 EUR	Russia

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Bangladesh	3	85 866 EUR	10	1 249 PCE	112 427 EUR	Russia
Algeria	1	488 EUR	6	54 PCE	73 EUR	Russia
Egypt	2	166 299 EUR	11	35 PCE	1 278 EUR	Russia, Ukraine
Ethiopia	4	832 621 EUR	2	64 PCE	598 257 EUR	Bulgaria
			10	566 PCE		United Kingdom, Russia
United Kingdom	1	93 306 EUR	4	920 PCE	87 818 EUR	Romania
Indonesia	1	3 878 EUR	10	2 PCE	3 782 EUR	Russia
Israel	1	5 811 EUR	10	-	0 EUR	Russia
Jordan	3	36 643 EUR	6	3 765 PCE	27 327 EUR	Russia, Ukraine
Kazakhstan	2	28 579 EUR	1	133 SET	23 238 EUR	United States
			5	-	-	United States
Sri Lanka	2	41 730 EUR	6	-	0 EUR	Ukraine
			10	-	-	Latvia
Poland	1	172 EUR	10	8 PCE	185 EUR	United Kingdom
Russia	3	55 830 EUR	2	1 SET	44 075 EUR	United Kingdom
			10	58 PCE		Slovakia
			18	2 SET		United Kingdom
Slovakia	2	73 436 EUR	10	25 PCE	74 649 EUR	Russia
Thailand	2	18 614 EUR	10	394 PCE	17 798 EUR	Israel, Thailand

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
United States	1	24 882 EUR	10	1 UNS	0 EUR	Czech Republic

## <u>CYPRUS</u>

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Ukraine	4	1126760	ML 10 g	3904	1126760	Montenegro
USA	1	3600000	ML 3 a	5000000	3600000	Ukraine
Bulgaria	1	126610	ML 10 g	127	126610	Montenegro
Equatorial Guinea	1	92240	ML 10 g	176	92240	Montenegro

## <u>ESTONIA</u>

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
United States	1	14 500 EUR	ML10	45 pc	7 000 EUR	Ukraine

## **GERMANY**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Algeria	1	0€	ML 6A	3 pieces		Canada
Egypt	1	55.903 €	ML 8A	300 kg		Ukraine
Chile	1	11.448 €	ML 21A	1 piece		Switzerland
Ecuador	1	12.455 €	ML 11A ML 21A	1 piece 1 piece		Switzerland
Indonesia	1	129.500 €	ML 11A	1 set		Israel
Iraq	1	67.500 €	ML 11A	1 piece		United States
Korea, Republic	1	1.922.050 €	ML 4B	400 parts		Israel
Kuwait	1	2.100.000 €	ML 7F	36.000 pieces		United States
Different Countries *	1	1€	ML 4A	5.000 pieces		Different Countries *
Pakistan	1	1.000.000 €	ML 10B ML 10D	various parts		Turkey
South Africa	17	717.440 €	ML 6A	180 pieces		Israel
Thailand	2	98.247 €	ML 11A ML 21A	2 pieces 2 pieces		Switzerland
Thailand	1	8.846 €	ML 13D	42 pair		Singapore
Turkey	1	250.000 €	ML 3A	252.500 pieces		United States
Turkey	1	0€	ML 3B	10 pieces		Norway
Turkey	2	93.000 €	ML 7F	80 pieces		Canada
Turkey	1	4.485 €	ML 10B	5 parts		United States

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
United Arab Emirates	1	0€	ML 3B	10 pieces		Norway
United Arab Emirates	1	100.000 €	ML 7F	3.000 pieces		United States
United Arab Emirates	1	49.592 €	ML 11A ML 21A	2 pieces		Switzerland
United States	1	295.000 €	ML 8C	45.000 kg		China, Peoples Republic of

\* between consignees in the following countries:

Argentina, Bahrain, Bulgaria, Chile, Egypt, Indonesia, Israel, Jordan, Republic Korea, Kuwait, Malaysia, Oman, Pakistan, Saudi Arabia, Singapore, United Arab Emirates, United States of America

### **IRELAND**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Morocco	1	€465,000	ML11	3	€465,000	Germany
Morocco	1	€4,650,000	ML11	30	€4,650,000	Germany

## **LITHUANIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Czech Republic	1	1 756 EUR	ML10	1 055	1 756 EUR	Hong Kong
India	2	22031 EUR 22031 EUR	ML22	Training Training	22 031 EUR 22 031 EUR	Russian Federation
United States	1	384 268 EUR	ML22	2	274 193 EUR	Russian Federation
Congo (Republic of)	1	4 109 700 EUR	ML10	1	4 109 700 EUR	Ukraine
Peru	1	264 861 EUR	ML10	4 330	0 EUR	Hong Kong
Romania	1	2 052 000 EUR	ML10	1	1847000 EUR	Russian Federation
Ukraine	1	240 064 EUR	ML10	628	0 EUR	Hong Kong

## **POLAND**

Destination	No. of licences issued	Value of brokering licences issued EUR	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Egypt	6	99261	5a	82 sets	492000	Bulgaria
		1742	1	1 pcs	1742	
		235927	3a	39248 pcs	235927	
		10249	3a	2251 pcs	10249	
		4019	1d	48 pcs	4019	
		376192	3a	7050 pcs	376192	

### **ROMANIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Azerbaijan	1	667,911	ML10	94	660,385	South Africa
USA	1	(487,658) <sup>1</sup>	ML11	9	$(226,233)^1$	Azerbaijan
Azerbaijan	2	$(1,029,500)^2$	ML11	1	$(54,184)^2$	USA

### **SLOVAKIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Cambodia	1	437 500,-	6			Serbia
Egypt	1	2 464 000,-	6	3 pc	354 000,-	Ukraine
Algeria	1	1 206 000,-	6	3 411 pc	1 206 000,-	Czech republic
Cambodia	1	741 075,-	6			Cambodia
Kazakhstan	3	515 976	10	42	395340	Germany
Kazakhstan	1	259 258,-	10	200	259 258,-	Kazakhstan
United States	1	1 205 951,-	6			Bulgaria

<sup>&</sup>lt;sup>1</sup> Statistical value; items exported for repair under warranty
<sup>2</sup> Statistical value; items returned after repair under warranty

## **SLOVENIA**

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Egypt	1	152.801,00 EURO	ML6	166 pcs	152.801,00 EURO	Serbia

### <u>SWEDEN</u>

Destination	No. of licences issued	Value of brokering licences issued	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Denmark	4	-	ML3	-	-	Canada
Singapore	1	-	ML5	-	-	France
USA	1	-	ML3	-	-	Switzerland
Denmark	1	-	ML2	-	-	Switzerland
Denmark	1	-	ML5	-	-	USA
Denmark	1	-	ML11	-	-	France
Denmark	1	-	ML15	-	-	USA
Norway	1	-	ML3	-	-	USA
Norway	1	-	ML10	-	-	USA
Australia	1	-	ML5	-	-	United Kingdom
Albania	1	-	ML5	-	-	France
Germany, Poland, Algeria	1	-	ML4	-	-	Multiple countries

### UNITED KINGDOM

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Abu Dhabi	1	2346371	ML6a			Finland
Abu Dhabi	1	8950	ML7g			United States
Afghanistan	1	1	ML4a			Bulgaria
Afghanistan	1	1	ML3a			Romania
Afghanistan	1	3692	ML13c			South Africa
Afghanistan	1	151890	ML6b1			Thailand
Bosnia and Herzegovina	1	3444	ML1a, ML1d			United States
Bosnia and Herzegovina	1	18860	ML1a			United States
Botswana	1	13052	ML1a			Turkey
Brazil	1	226723	ML13d			South Africa
Brunei	1	32069	ML3			Malaysia
Burundi	1	1355965	ML6a ML1d,			South Africa
Burundi	1	2099553	MLfa, ML6a			South Africa
China	1	6215	ML13d			South Africa
Colombia	1	161590	ML6b			Jordan
Congo, Democratic Republic of	1	28912	ML13c, ML13d			Cambodia

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Congo, Democratic	1	20012	ML13c,			
Republic of	1	28912	ML13d			Canada
Croatia	1	179574				Belarus
Croatia		1279135	ML1d ML13c,			Belarus
Denmark	1	19197	ML13d			South Africa
Denmark	1	12166	ML13c, ML13d			South Africa
Djibouti	1	178681	ML6b			Jordan
Djibouti	1	68365	ML1a, ML3a			Korea, South
Dubai	1	107593	ML1d			Belarus
Ghana	1	5847072	ML13c, ML13d			Malaysia
India	1	6076	ML13d			South Africa
India	1	1243	ML13c			South Africa
Indonesia	1	1643599	ML1d			Belarus
Indonesia	1	172582	ML6b			Jordan
Indonesia	1	111702	ML6b			Thailand
Iraq	1	60907	ML13c, ML13d			China

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
-		• • • • • • •	ML4a,			
Iraq	1	2881296	ML4b1			Czech Republic
			ML10b,			
			ML11a, ML15d,			
			ML130, ML1a,			
			ML2a,			
Iraq	1	13673000	ML5b			Serbia
Israel	1	2843077	ML1d			Belarus
Israel	1	143195	ML6b			Jordan
			ML13c,			
Israel	1	8822	ML13d			South Africa
Kenya	1	1348655	ML3a			South Africa
Kenya	1	1828900	ML1a, ML1d			United States
Lebanon	1	90131	ML6b			Jordan
Lebanon	1	90131	ML6b1			Jordan
Libya	1	172808	ML11a			Australia
Libya	1	172808	ML11a			Australia
Malaysia	1	3654	ML3a			Bosnia and Herzegovina
Namibia	1	91185	ML3a ML11a			Australia
Nigeria	1	215352				Jordan

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Occupied Palestinian Territories	1	157755	ML6b			Jordan
Oman	1	286401	ML3a			Belgium
Oman	1	44997	ML13c, ML13d, ML1a, ML3a ML1a,			Malta
Oman	1	32517	ML1d, ML3a			Mauritius
Oman	1	776626	ML1a			Switzerland
Oman	1	71224	ML3a			United States
Oman	1	71224	ML3a			United States
Pakistan	1	45848	ML1a, ML1d ML1a,			United States
Pakistan	1	225565	ML1d, ML2a, ML3a			United States
Philippines	1	143195	ML6b			Jordan
Sierra Leone	1	553135	ML2d, ML6a			South Africa
Singapore	1	10512	ML5b			Taiwan
Slovakia	1	1554202	ML1d			Belarus
Somalia	1	93225	ML6b			Jordan

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Somalia	1	93225	ML6b			Jordan
Somalia	1	234278	ML6b			Jordan
Somalia	1	99440	ML1d			South Africa
Somalia	1	121193	ML6a			South Africa
Somalia	1	2539538	ML1d, ML6a			South Africa
Somalia	1	561161	ML1d, ML6a			South Africa
Somalia	1	791510	ML1d, ML6a			South Africa
South Africa	1	85940	ML1d			Belarus
			ML13c, ML13d, ML1a, ML1d,			
South Africa	1	61001	ML3a			Oman
			ML13c, ML13d, ML1a, ML1d,			
South Africa	1	61001	ML1d, ML3a			Sri Lanka
Sri Lanka	1	23306	ML13c, ML13d			China

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
			ML13c,			
			ML13d,			
G . T 1	1	50714	ML1a,			
Sri Lanka	1	50714	ML3a ML13c,			Mauritius
			ML13c, ML13d,			
			ML130, ML1a,			
Sri Lanka	1	46861	ML3a			South Africa
Sudan, South	1	2388294	ML6a			Netherlands
Sweden	1	2801670	ML4a			Australia
Sweden	1	372900	ML6a			Finland
Syria	1	249923	ML6b			Jordan
Syria	1	249923	ML6b			Jordan
Syria	1	85767	ML6b			Jordan
Syria	1	178395	ML6b			Jordan
Syria	1	94819	ML6b1			Jordan
Syria	1	194922	ML6b1			Jordan
Syria	1	99452	ML6b1			Jordan
Syria	1	92075	ML6b1			Jordan
Taiwan	1	4972	ML7g			Singapore
Taiwan	1	37141	ML13d			South Africa
Taiwan	1	1394864	ML9a1			United States
Tanzania	1	3141	ML11a			Australia

Destination	No. of licences issued	Value of brokering licences issued €	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Tanzania	1	466	ML1a			Non Specific Country
Turkey	1	4670	ML10			United States
Uganda	1	503415	ML6a			Netherlands
Uganda	1	503415	ML6a			South Africa
Uganda	1	2233092	ML1d, ML6a			South Africa
United States	1	3356	ML4b1			Bulgaria
United States	1	4220	ML1a			Serbia
United States	1	1715	ML1a, ML1d			Serbia
Vessel, Platform in						
International Waters	1	1477	ML13c, ML13d			South Africa

### 2. Brokering licences refused by Member States in 2012

### <u>LITHUANIA</u>

Destination	No. of licences refused	Value of brokering licences denied	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Peru	1	136434 EUR	ML11	11	136434 EUR	Jordan

### **SWEDEN**

Destination	No. of licences refused	Value of brokering licences denied	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
USA	1		ML1			Serbia

### UNITED KINGDOM

Destination	No. of licences refused	Value of brokering licences denied	ML categories	Quantity of brokered items	Value of brokered items	Country of origin
Nepal		5195	ML1d			United States
Congo		201366	ML3a			Croatia
Congo		124300	ML3a			Croatia

#### TABLE B I

#### TOTAL NUMBER OF CONSULTATIONS INITIATED AND TOTAL NUMBER OF CONSULTATIONS RECEIVED BY EACH MEMBER STATE IN 2012

Member State	Number of consultations initiated	Number of consultations received
Austria	17	4
Belgium	33	5
Bulgaria	1	1
Cyprus	0	0
Czech Rep.	9	0
Denmark	0	2
Estonia	2	0
Finland	3	9
France	13	3
Germany	6	65
Greece	0	2
Hungary	0	0
Ireland	0	0
Italy	17	2
Latvia	5	2
Lithuania	2	0
Luxembourg	0	0
Malta	0	1
Netherlands	1	5
Poland	2	0
Portugal	0	4
Romania	2	3
Slovakia	0	0
Slovenia	0	0
Spain	0	0
Sweden	0	2

Member State	Number of consultations initiated	Number of consultations received
United Kingdom	10	13
TOTALS	123	123

### TABLE B II

#### TOTAL NUMBER OF CONSULTATIONS INITIATED BY MEMBER STATES IN 2012 PER DESTINATION

Destination Country	Number of consultations
Algeria	2
Argentina	1
Bahrain	4
Bangladesh	3
Bosnia and Herzegovina	3
China	1
Congo (Rep. of)	3
Cyprus (part of the Cyprus territory not under the effective control of the Government of the Rep. of Cyprus)	1
Ecuador	1
Egypt	1
Former Yugoslav Republic of Macedonia	2
Georgia	1
India	2
Israel	3
Jordan	3
Kazakhstan	6
Kenya	2
Kuwait	1
Kyrgyzstan	1
Lebanon	2
Mexico	2
Republic of Moldova	2
Morocco	1
Namibia	1
Pakistan	1
Philippines	3
Russian Federation	7
Saudi Arabia	4
Serbia	7
South Africa	4
Sri Lanka	1
Taiwan	3
Thailand	6
Turkey	2
Turkmenistan	1

Destination Country	Number of consultations
Ukraine	17
United Arab Emirates	9
Venezuela	5
Vietnam	3
Yemen	1
Total	123

# TABLE C

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/944/CFSP defining common rules governing control of exports of military technology and equipment		
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play	
Austria	Foreign Trade Act (AußWG, Federal Gazette I No. 50/2005 as last amended by Federal Gazette I no. 112/2011) and War Material Act (KMG, Federal Gazette I no. 540/1977 in its current version)	Implementation completed	Foreign Trade Act (AußWG, Federal Gazette I No. 50/2005 as last amended by Federal Gazette I no. 112/2011) and War Material Act (KMG, Federal Gazette I no. 540/1977 in its current version)	Implementation completed	
Belgium	Law of 25 March 2003, article 15, (published in Moniteur belge of 7.7.2003, modifying law of 5.8.1991	partial implementation	Flemish Region: FlemishParliament Act on the import,export, transit and transfer ofdefence-related products, and othermaterials for military use, lawenforcement materials, civilianfirearms, components andmunitions – 15/06/2012Walloon Region: Decree regardingthe import, export, transit and	Flemish Region: Implementation completed Walloon Region: Implementation completed Brussels Capital Region: implementation completed	

Member State	Council Common Position 2003/468/CFSP on the control of arms brokering		Council Common Position 2008/944/CFSP defining common rules governing control of exports of military technology and equipment		
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play	
			transfer of civil weapons and defence material – 21/06/2012 <u>Brussels Capital Region:</u> Ordinance regarding the import, export, transit and transfer of defence material, military material, law enforcement material, civil weapons, and parts, components and ammunitions - 20/06/2013 <u>Federal government:</u> Law of 26 March 2003 (published in Moniteur belge of 7.7.2003), modifying law of 5.8.1991	Federal government: implementation completed	

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/944/CFSP defining comm rules governing control of exports of military technology a equipment		
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play	
Bulgaria	Latest amendments: Export control of defence-related products and dual use items and technologies Act, entered into force 30.06.2012	Implementation completed	Ministerial Decree - November 2009	Implementation completed	
	Regulation for the implementation of the Export Control Act (adopted by Decree 205/15.07.2012)				
Cyprus	A new basic law for the control of exports and imports has already been approved by the Parliament. Regulations, by which Common Position 2008/944/CFSP and Common Position 2003/468/CFSP will be implemented, have been approved by the Council of Ministers and have been sent to the Parliament for approval.				

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/944/CFSP defining commo rules governing control of exports of military technology an equipment		
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play	
Czech Republic	Amendment to Act No. 38/1994 on foreign trade in military material, amended by Act No. 357/2004 to make clear reference to brokering activities and by act No. 220/2009.	Implementation completed.	Amendment to Act No. 38/1994 on foreign trade in military material amended by act No. 220/2009 reflects certain provisions of the Common Position.	Consequent implementation within directive 2009/43/EC. New National ML listing 22 items in conformity with the EU ML will be included.	
Denmark	Act No. 555 of 24 June 2005 on brokering, incorporated in Consolidated Weapons and Explosives Act No. 704/2009	Implementation completed.	Consolidated Act No. 704 of 22 June 2009 on Weapons and Explosives	Implementation completed (In Denmark national law will not have to be changed after the adoption of the Common Position. The criteria of the Common Position are taken into account as a minimum standard in the assessment of licence applications).	

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/944/CFSP defining commo rules governing control of exports of military technology an equipment		
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play	
Estonia	Legislation on brokering was adopted in 2004. Current Strategic Goods Act (ref no RT I, 22.12.2011, 2) entered into force in 01.01.2012	Implementation completed	Current Strategic Goods Act (ref no RT I, 22.12.2011, 2) entered into force in 01.01.2012	Implementation completed	
Finland	Act on the Export of Defence Materiel (282/2012)	Fully implements the Common Position on Brokering	Act on the Export of Defence Materiel (282/2012)	Implementation completed	
France	Decree n° 95-589 (6 <sup>th</sup> May 1995) as modified by Decree 2002-23	Law Amendment to fully implement Common Position in preparation	The existing legal requirements in connection with the political principles adopted by the government make it possible to immediately apply the regulations laid down in the Common Position.	General directives approved by political authorities and specific directives in the event of specific situations, such as embargoes, conflict areas, or human rights situation.	
				France bases its export decisions on the criteria defined in the framework of international treaties,	

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/ rules governing control of export equipm	s of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
				conventions, instruments or for a to which it subscribes (such as Common Position 2008/944/CFSP, criteria laid down by the United Nations, the OSCE, the European Council)
Germany	War Weapons Control Act -(KrWaffKontrG), in the version promulgated on 22 November 1990, Federal Law Gazette 1990 I, p. 2506, last amended by Article 4 of the Act of 27 July 2011, Federal Law Gazette 2011 I p. 1595); and Foreign Trade and Payments Regulation (AWV) last revised in 2013, Federal Law Gazette 2013 I p. 2865)	Implementation completed	The existing legal requirements (Foreign Trade and Payments Act - (AWG), last revised in 2013, Federal Law Gazette 2013 I p. 1482; and Foreign Trade and Payments Regulation (AWV), last revised in 2013, Federal Law Gazette 2013 I p. 2865) in connection with the "Political Principles Adopted by the Government of the Federal Republic of Germany for the Export of War Weapons and Other Military Equipment" of January 2000 make it possible to	Implementation completed

Member State	Council Common Positio control of arr		Council Common Position 2008/ rules governing control of export equipm	ts of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no. immediately apply the regulations laid down in the Common Position.	Information concerning state of play
Greece	Law 4028/2011 of November 11, 2011 (Government Gazette 242), which incorporated the Common Position 2003/468/CFSP		Law 4028/2011 of November 11, 2011 (Government Gazette 242), modifying Law 2168/93 of September 3, 1993 (Government Gazette 147)	

Member State	Council Common Positio control of arr		Council Common Position 2008/ rules governing control of export equipm	s of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
Hungary	The provisions on the control of brokering activities have been in force in Hungary since 2004. Currently the control of arms brokering is regulated by Government Decree 160/2011 (VIII.18.) on licensing the export, import, transfer and transit of military equipment, related services and the certification of business entities is (in effect since January 1, 2012).	Implementation completed	The Council Common Position 2008/944/CFSP is the Second Annex to the Government Decree 160/2011 (VIII.18.), which is regulating the licensing of export, import, transfer and transit of military equipment, related services and the certification of business entities.	Implementation completed.
Ireland	Control of Exports Act 2008 (No. 1 of 2008) Control of Exports (Brokering Activities) Order 2011 (No. 86 of 2011)	Implementation completed		SI No 216 of 2012, the Control of Exports (Goods and Technology) Order 2012 and SI No 309 of 2012 European Communities (Intra-Community Transfers

Member State		ion 2003/468/CFSP on the rms brokering	Council Common Position 2008/ rules governing control of export equipm	ts of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play of Defence Related Products) (Amendment) Regulations 2012 give effect to the Common Military List in the code. The criteria of the Common Position are taken into account as a minimum standard when assessing all licence applications.
Italy		New draft law for all the sector is in preparation and will include also the Common Position		New draft law for all the sector is in preparation and will include also the Common Position
Latvia	Law on the Circulation of Strategic Goods (in force since 19 July 2007)	Implementation completed	Law on the Circulation of Strategic Goods (in force since 19 July 2007)	Implementation completed

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/ rules governing control of export equipm	s of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
Lithuania	Law on the Control of Strategic Goods which came into effect on 1 August 2004, lastly amended on 11 October 2011 (effective from 30 June 2012).	Implementation completed	Law on the Control of Strategic Goods which came into effect on 1 August 2004, lastly amended on 11 October 2011 (effective from 30 June 2012).	Implementation completed
Luxembourg		Amendment to implement Common Position in preparation		
Malta	Subsidiary legislation 365.13 Military equipment export control regulations	Implementation completed	Legal Notice 168/2006.	Implementation completed

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/ rules governing control of export equipm	s of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
Netherlands	Strategic Services Act (as of January 2012)	Implementation completed	In the Netherlands national law will not have to be changed after the adoption of the Common Position. The legal basis for the application of the regulations laid down in the Common Position consists of several existing Acts, Decrees, Decisions and Regulations	Implementation completed

Member State	Council Common Positio control of arr		Council Common Position 2008/ rules governing control of export equipm	ts of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
Poland*	Law of 29/11/2000 on foreign trade in goods, technologies and services of strategic importance to the security of the state and to maintaining international peace and security ( uniform text - Journal of Laws of 2004, No 229, item 2315) *	Implementation completed		Framework provisions of the amendment of the Law of 29/11/2000 that covers trade in arms and in dual-use goods has been accepted by the Council of Ministers of Poland. The amendment is planned to be completed (adopted by the Parliament and then signed by the President) by the end of 2011. The aim of the amendment is that the Law duly reflects and complies with the provisions of Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of

<sup>\*</sup> Poland first adopted legislation covering brokering activities in the Act of 11 December 1997 on administrating of foreign trade in goods and services and transfers of special goods (Journal of Laws 1997, No. 157, item 1026).

Member State	Council Common Positio control of arr	on 2003/468/CFSP on the ms brokering	Council Common Position 2008/ rules governing control of export equipm	ts of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play exports, transfer, brokering and transit of dual-use items and Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfers of
Portugal	Law no. 49/2009 of 5	Implementation completed	Law no. 37/2011 of 22 June 2011	defence-related products within the Community.
	August 2009			
Romania	Government Ordinance 158/1999 republished	Implementation completed	Government Ordinance 158/1999 republished	Implementation completed
Slovakia	Act no. 392/2011 on trading in defence-related products (in force since 1 December 2011)	Implemented by act no. 392/2011	Act no. 392/2011 on trading in defence-related products (in force since 1 December 2011)	Implemented by act no. 392/2011

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/ rules governing control of export equipm	s of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
Slovenia	Decree on permits and consents for trade and production of military weapons and equipment (Official Gazette 18/03, 31/05, 113/07). Brokers are bound to obtain trading permit.	Implementation completed	Article 77 of the Defence Act (Official Gazette 103/04) specifies that an export license may be refused on the basis of Slovenia's international commitments and obligations.	Amendments to the legislation concerning Defence exports and inclusion of provisions on Intra-Community Transfers, in line with the Directive on Intra-Community Transfers, are in preparation.
Spain	Royal Decree 844/11 of 17/06/2011. Adapted to Council Regulation (EC) No 428/2009, incl. brokering services. Law 53/2007 of 28/12/2007 Directive 2009/43/EC of 6	Implementation completed	Law 53/2007 of 28/12/2007	Royal Decree 844/11 in force 2 January 2012, incorporating Common Position 2008/944/CFSP

Member State		tion 2003/468/CFSP on the rms brokering	Council Common Position 2008/ rules governing control of export equipm	ts of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
Sweden	May 2009 Military equipment act 1992:1300	Implementation completed	Military Equipment Act 1992:1300 (6§) and Military Equipment Ordinance 1992:1303 (annex) - the Ordinance with instructions for the licensing authority, No 2010:1101 - Budget letter for the licensing authority, No. UF2011/71169/UD/PLAN UF2011/74338/UD/NIS	Implementation completed

Member State		on 2003/468/CFSP on the ms brokering	Council Common Position 2008/ rules governing control of export equipme	s of military technology and
	National legislation reference no.	Information concerning state of play	National legislation or administrative rules reference no.	Information concerning state of play
United Kingdom	Trade in controlled goods order effective 1 May 2004	Implementation completed	All export licence applications are required by statute to be assessed against the Consolidated EU and National Arms Export Licensing Criteria which reflects the Common Position.	The UK is working to bring the Consolidated EU and National Arms Export Licensing Criteria fully in line with the Common Position, and this will be done as soon as practicable.

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Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach		
PROMOTION OF CONTROL OF ARMS EXPORTS AND THE PRINCIPLES AND CRITERIA OF COMMON POSITION 2008/944/CFSP AMONG THIRD COUNTRIES				
Croatia, Former Yugoslav Republic of Macedonia and Montenegro Prague, 16-20 January 2012	EU and Czech Republic in implementation of Council Decision 2009/1012/CFSP	Host country: Czech Republic Main issues addressed were the Czech arms export control system; its licensing process and the interagency cooperation; the interagency cooperation in the end-use assessment and internal consultation mechanisms within specific governmental bodies and the post-licence control system (customs and on spot checks). Between sessions case studies were also addressed. One particular case study introduced a role playing scenario focussing on the issue of interagency cooperation.		
<b>Montenegro</b> Podgorica, 6 – 7 March 2013	Individual assistance workshop / legal review in implementation Council Decision 2012/711/CFSP	On request of the authorities of Montenegro, the individual assistance workshop enabled relevant Montenegrin officials assisted by EU experts to review the latest Montenegrin draft law on arms trade control. The workshop was preceded by a remote in-depth comprehensive review so that the most relevant and important elements could be appropriately addressed during the workshop. All in all, the individual assistance workshop provided the opportunity for Montenegro to further understand the EU standards, to draw on best practices applied in the EU, to assess the practical feasibility and enforceability of specific provisions and to tangibly strengthen its alignment on Common Position 2008/944/CFSP.		

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Study visit on arms export controls for officials of Azerbaijan, Belarus and Georgia Stockholm, Sweden 24 - 28 June 2013	EU and Sweden in implementation of Council Decision 2012/711/CFSP	The study visit was hosted by the Swedish Agency for Non-Proliferation and Export Control (ISP) and also supported by EU experts from Czech Republic and Portugal. It was attended by a total of 11 officials from Azerbaijan, Belarus and Georgia. The study visit was subdivided into seven sessions addressing various arms export control-relevant issues: the organisation of the Swedish arms export control system, the licensing process and the end-use verification mechanism, the inter-agency cooperation as a comprehensive tool for end use verification, the implementation of export controls in a company's day-to-day business operations, the policy making process, as well as arms export controls from customs perspective. Those issues were illustrated by means of case studies accompanying presentations.
Regional Workshop on Arms and Dual-use Items Export Control for South East European Countries Skopje, Former Yugoslav Republic of Macedonia, 24 – 26 June 2013	EU in implementation of Council Decision 2012/711/CFSP	This regional workshop covered Albania, Bosnia and Herzegovina, Croatia, the Former Yugoslav Republic of Macedonia, Montenegro and Serbia. It actually consisted of two workshops held back-t-back, the first one addressing arms export control outreach and funded under Council Decision 2012/711/CFSP and the second one addressing dual-use goods export control outreach and funded under the Instrument for Stability. Both parts focussed on end-use verification in the pre-licensing, licensing and post- licensing phase of the export control process for military equipment and dual-use items. In addition, some beneficiary countries used the opportunity to discuss their draft legislation on military and dual-use export controls.
<b>Serbia</b> Belgrade, 17 – 18 September2013	Individual assistance workshop / legal review in implementation Council Decision 2012/711/CFSP	On request of the authorities of Serbia, the individual assistance workshop enabled relevant Serbian officials assisted by EU experts to review the latest Serbian draft law on arms trade control. The workshop was preceded by a remote in-depth comprehensive review so that the most relevant and important elements could be appropriately addressed during the workshop. All in all, the individual assistance workshop provided the opportunity for Serbia to further understand the EU standards, to draw on best practices applied in the EU and to assess the practical feasibility and enforceability of specific provisions.

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach		
PROMOTION OF ARMS TRADE TREATY PROCESS				
<b>Eastern and Southern Africa</b> Kenya, 29 Feb-2 March 2012	EU in implementation of Council Decision 2010/336/CFSP	The first part of the seminar was mostly aimed at diplomats in charge of the political aspects of the ATT process and discussed the way ahead towards the ATT negotiations, with an overview of the process and the elements of the future Treaty. The second half heard presentations about national arms transfer control systems in the region and allowed participants to exchange views from a more technical point of view.		
<b>Middle East</b> Lebanon , 27–29 March 2012	EU in implementation of Council Decision 2010/336/CFSP	The seminar was divided into two parts. The first part concentrated on the ATT negotiations, with an overview of the process and the elements of the future Treaty. Participants had the chance to share their national views on the future ATT and its possible implementation and heard the views of other countries, regional organizations and independent experts. The second half of the seminar was more technical and practice-oriented, and discussed practical arms transfer control systems in the region, challenges in the implementation of national and regional systems, and possibilities to improve current practices through coordinated measures and increased transparency.		
<b>Wider Europe</b> Serbia, 18–20 April 2012	EU in implementation of Council Decision 2010/336/CFSP	The first part of the seminar was directed to diplomats and other representatives in charge of the political aspects of the ATT process, while the second part of the three- day event was designed to be more practical and discussed national and regional arms transfer control systems and possibilities to improve current practices. During the first part, participants discussed the way ahead to the ATT negotiations with an overview of the process and the elements of the future Treaty. They also had the chance to share their views on the ATT and its possible implementation system. The second part discussed on practical arms transfer control systems in the region, and challenges in the implementation of national and regional systems.		

Beneficiary countries, venue and date	Organizer	Brief description of assistance/outreach
Side event in the margins of the UN diplomatic conference New York, July 2012	EU in implementation of Council Decision 2010/336/CFSP	The purpose of the event was to present to UN States the results of implementation of Council Decision 2010/336/CFSP and to address some of the most crucial elements of the ATT on the basis of a number of research papers elaborated thanks to funding by the Council Decision.
Seminar " Supporting the arms trade treaty negotiations: Options for discussions", Geneva, 28 February–1 March 2013	EU in implementation of Council Decision 2013/43/CFSP	The seminar discussed concrete and specific text proposals for moving towards consensus on particular elements of the draft arms trade treaty based on the draft achieved by the July 202 diplomatic conference. It accordingly paid specific attention to issues relating to the scope of items and activities to be controlled, the prohibition of certain arms transfers and national assessment criteria, the reporting required under the Treaty and its application together with other possibly relevant international agreements. The seminar was intended to provide for an additional platform for discussion and exchange of view in order to facilitate forthcoming negotiations at the march 2013 final diplomatic conference.
Seminar "Implementing the arms trade treaty: next steps" Geneva, 17-18 June 2013	EU in implementation of Council Decision 2013/43/CFSP	The seminar discussed concrete challenges relating to the entry into force of the ATT and ways to support its early entry into force and effective implementation. It paid specific attention to international assistance needed to support such an effective implementation. In this regard, the seminar enabled to take stock of the initiatives already envisioned in terms of international assistance and implementation support and to further approach their desirable coordination at all relevant levels (national, regional organizations, UN-related, NGO). The seminar also discussed lessons learned from already existing assistance activities in the field of strategic trade controls and the ways in which they could be built upon and utilized by ATT-related efforts.

#### TABLE E

Internet addresses of Member States' national websites providing national reports on arms exports

- Austria: <u>http://www.bmeia.gv.at</u>
- Belgium: www.vlaanderen.be/csg; http://gouvernement.wallonie.be/publications/250
- Bulgaria:
   http://www.mi.government.bg/themes-c208.html

   http://exportcontrol.bg/Modulbg.php?id=2263
- Czech Republic: http://www.mzv.cz/wwwo/mzv/default.asp?ido=15135&idj=2&amb =1&ikony=True&trid=1&prsl=True&pocc1=8 (www.mzv.cz/kontrolaexportu)
- Denmark: « Udførsel af vaben og produkter med dobbelt anvendelse fra Danmark» http://um.dk/da/politik-og-diplomati/retsorden/nedrustning/eksportkontrol/
- Estonia: http://www.vm.ee/eng/kat\_153
- Finland: http://www.defmin.fi/index.phtml?l=en&s=2
- France:
   http://www.defense.gouv.fr/actualites/articles/publication-du-rapport-au-parlement-sur-les-exportations-d-armement-2013

   http://www.ladocumentationfrancaise.fr/rapports-publics/134000461-rapport-au-parlement-2013-sur-les-exportations-d-armement-de-la-france

 $\underline{http://www.diplomatie.gouv.fr/fr/actions-france\_830/desarmement-maitrise-armements-controle-exportations\_4852/controle-exportations\_instrument-prevention\_4867/index.html$ 

- Germany: <u>http://www.bmwi.de/English/Redaktion/Pdf/exports-of-conventional-military-equipment-</u> 2011,property=pdf,bereich=bmwi2012,sprache=en,rwb=true.pdf and: http://www.bafa.de/bafa/en/export\_control/index.html (general information on the German export control system).
- Hungary: http://mkeh.gov.hu/haditechnika/haditechnika\_kulkereskedelem/6a\_Jelentesek

Ireland:	http://www.djei.ie/trade/export/military.htm
Italy: Netherlands:	http://www.camera.it <u>http://www.rijksoverheid.nl/onderwerpen/exportcontrole-strategische-goederen</u> (Dutch) <u>http://www.government.nl/issues/export-controls-of-strategic-goods</u> (English)
Poland:	http://dke.mg.gov.pl
Portugal:	http://www.portugal.gov.pt/pt/os-ministerios/ministerio-da-defesa-nacional/quero-saber-mais/sobre-o-ministerio/20120227-anuarios- estatisticos/20120227-anuarios-estatisticos.aspx (site in Portuguese language)
Romania:	www.ancex.ro, www.export-control.ro
Slovakia:	www.economy.gov.sk
Slovenia:	http://www.mors.si/index.php?id=5&L=1in
Spain:	(Versión en español) <u>http://www.comercio.es/comercio/bienvenido/Comercio+Exterior/Informacion+sectorial/Material+de+Defensa+y+de+Doble+Uso/pagPublicaciones</u> <u>.htm</u> (English version) http://www.comercio.es/comercio/bienvenido/Comercio+Exterior/Informacion+sectorial/Material+de+Defensa+y+de+Doble+Uso/pagPublicaciones enhtm
Sweden:	http://www.sweden.gov.se/content/1/c6/20/11/64/7ae019b1.pdf
United Kingdo	om: <u>https://www.gov.uk/government/publications/strategic-export-controls-annual-report-2012</u> <u>https://www.exportcontroldb.bis.gov.uk/eng/fox</u>