

COUNCIL OF THE EUROPEAN UNION **Brussels, 19 December 2013**

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ADDENDUM 5 TO OUTCOME OF PROCEEDINGS from: Council on: 16 December 2013 No. prev. doc.: 16607/13 ADD 5 Subject: Fifteenth Annual Report according to article 8(2) of Council Comr

Subject: Fifteenth Annual Report according to article 8(2) of Council Common Position 2008/944/CFSP defining common rules governing control of exports of military technology and equipment

Delegations will find attached Table A1, per destination embargoed.

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

							NG 10	NG 11	NG 12
		ML 1	ML 3	ML 4	ML 5	ML 6	ML 10	ML 11	ML 13
Belgium	a			1					
	b			4 962 313					
	с								
Bulgaria	а	1	2	3					
	b	203 186	1 055 250	5 956 812					
	с	203 186	3 083 000	21 039 242					
Czech Republic	а	1					5		
	b	1 590 900					6 932 069		
	с	1 484 180				62 564	3 648 798		
France	a							3	
	b							2 539 964	
	с								
Germany	a	5	7	3	1	8			3
•	b	156 428	3 406 270	2 049 326	67 650	4 108 747			68 183
	с								
Greece	a	4	1						
	b	101 435	9 416						
	с								
Italy	a						1		
2	b						7 666 253		
	c	1							

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		ML 1	ML 3	ML 4	ML 5	ML 6	ML 10	ML 11	ML 13
Lithuania	а						1		
	b						28 815		
	с						28 815		
Netherlands	а							1	
	b							22 462	
	с							3 875	
Romania	а		2						
	b		1 563 871						
	с								
Slovakia	а								
	b								
	с								
United Kingdom	а	5	5	8		3	5	4	9
	b	64 052	54 856	2 678 917		6 795 006	53 110	1 150 237	137 720
	с								
TOTAL PER ML category	а	16	17	15	1	11	12	8	12
	b	2 116 001	6 089 663	15 647 368	67 650	10 903 753	14 680 247	3 712 663	205 903
	d								
	e								

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		ML 14	ML 15	ML 21	ML 22	TOTAL per destination
Belgium	а					1
	b					4 962 313
	с					
Bulgaria	а					6
	b					7 215 248
	с					24 325 428
Czech Republic	а					6
	b					8 522 969
	с					5 195 542
France	а		1		2	6
	b		3 160 302		115 619	5 815 885
	с					41 427
Germany	а					27
	b					9 856 604
	с					
Greece	а					5
	b					110 851
	с					
Italy	а					1
	b					7 666 253
	с					0

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		ML 14	ML 15	ML 21	ML 22	TOTAL per destination
Lithuania	а					1
	b					28 815
	с					28 815
Netherlands	а					1
	b					22 462
	с					3 875
Romania	а	1				3
	b	635 345				2 199 216
	с					
Slovakia	а	3				3
	b	9 120 000				9 120 000
	с	8 698 381				8 698 381
United Kingdom	а			1	4	44
	b			62	1 861 709	12 795 669
	с					
TOTAL PER ML category	а	4	1	1	6	104
	b	9 755 345	3 160 302	62	1 977 328	68 316 285
	d	Total number	of denials incl	udes 1 denial in	the ML 7 categ	ory based on
	e	criterion 7				-

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Burma

		ML 10	ML 13	TOTAL per destination
Spain	а	1		1
	b			
	с			
United Kingdom	а		1	1
	b		49 720	49 720
	с			
TOTAL PER ML category	а	1	1	2
	b		49 720	49 720
	d	1		1
		1(1); 2(1);		1(1); 2(1);
	e	3(3)		3(3)

Belarus

		ML 13	TOTAL per destination
Germany	а	1	1
	b	23 962	23 962
	с		

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Belarus

TOTAL PER ML category	a b c	ML 13 1 23 962	TOTAL per destination 1 23 962
	d		5
	e		1(5)
		Total number includes: - 2 denials in t category based 1 - 2 denials in t category based 1 - 1 denial in th category based 1	the ML 1 d on criterion the ML 3 d on criterion ne ML 1

China (Hong Kong)

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 7	ML 10	ML 11
Austria	а	4							
	b	28 397							
	с	28 397							

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		ML 1	ML 2	ML 3	ML 4	ML 5	ML 7	ML 10	ML 11
Czech Republic	а	1	1						
	b								
	c								
Germany	а	7		1					
	b	46 771		181					
	с								
Hungary	а			1					
	b			55 000					
	с								
United Kingdom	а	6	1	4	11	1	6	1	9
	b	830 105	46	126 494	224 611	1 243	309 910	24 853	156 183
	с								
TOTAL PER ML category	а	18	2	6	11	1	6	1	9
	b	905 273	46	181 675	224 611	1 243	309 910	24 853	156 183
	d								
	e								

China (Hong Kong)

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China (Hong Kong)

		ML 13	ML 14	ML 15	ML 17	ML 22	TOTAL per destination
Austria	а						4
	b						28 397
	c						28 397
Czech Republic	а						2
	b						
	c						
Germany	а	1			2		11
	b	10 728			44 181		101 861
	c						
Hungary	а						1
	b						55 000
	c						
United Kingdom	а	3	1	2		3	48
	b	46 437	2 536	113 834		39	1 836 291
	с						
TOTAL PER ML category	а	4	1	2	2	3	66
	b	57 165	2 536	113 834	44 181	39	2 021 549
	d						
	e						

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China (Macao)

		ML 1	ML 3	ML 4	ML 6	ML 10	ML 11	ML 14	TOTAL per destination	
Austria	а	5	2		1				8	
	b	49 756	22 792		551 985				624 533	
	с	42 269	22 792		551 985				617 046	
Czech Republic	а		1						1	
	b		1 909						1 909	
	с									
United Kingdom	а		1	5		1	1	1	9	
	b		16 159	91 277		258	547	2 685	110 926	
	с									
TOTAL PER ML category	а	5	4	5	1	1	1	1	18	
	b	49 756	40 860	91 277	551 985	258	547	2 685	737 368	
	d		1		1				4	
	е		7(1)		2(1)				2(1); 7(3)	
		- 1 denial in th	al number of denials includes: denial in the ML 13 category based on criterion 1 denial in the ML 15 category based on criterion 1							

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		ML 1	ML 3	ML 4	ML 5	ML 6	ML 7	ML 8	ML 9
Czech Republic			IVIL 3	IVIL 4	IVIL 5	WIL 0	IVIL /	WIL 0	IVIL 9
	a b								
	c b								
Estonia									
Estollia	a b								
	b								
France	C				6		1		4
Flance	<u>a</u>						270.296		4
	b				4 778 822		370 386		429 348
Comment	c						10	17	1
Germany	<u>a</u>						10	17	1
	b						4 978 523	255 997	2 669
	c								
Netherlands	a								
	b								
	с								17 996 650
Slovakia	a								
	b								
	с								
United Kingdom	a	4	2	4	2	4	19	1	6
	b	410 487	2 908 620	1 458 224	1 834 669	9 610	8 234 258	447	672 725
	с								

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China (Mainland)

		ML 1	ML 3	ML 4	ML 5	ML 6	ML 7	ML 8	ML 9
TOTAL PER ML category	а	4	2	4	8	4	30	18	11
	b	410 487	2 908 620	1 458 224	6 613 491	9 610	13 583 167	256 444	1 104 742
	d	3	2	1		1			
	е	1 (3); 2(1)	1(2); 2(2)	1(1)		1(1)			

		ML 10	ML 11	ML 13	ML 15	ML 16	ML 17	ML 18	ML 20
			NIL 11	ML 15	ML 13	ML 10	NIL 1/	MIL 18	MIL 20
Czech Republic	a	3						1	
	b	877 499						48 045	
	с	732 496						49 255	
Estonia	а	2							
	b	280 000							
	с								
France	a	62	10	1	66	3			1
	b	56 367 157	9 731 254	5 196	61 090 988	7 695 018			6 019
	с								
Germany	а								
	b								
	с								

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		ML 10	ML 11	ML 13	ML 15	ML 16	ML 17	ML 18	ML 20
Netherlands	а								
	b								
	с								
Slovakia	а	1							
	b	194 592							
	с	194 592							
United Kingdom	а	6	7		1	3	1	4	
	b	838 232	60 309		32	313 276	35	2 320 184	
	с								
TOTAL PER ML category	а	74	17	1	67	6	1	5	1
	b	58 557 480	9 791 563	5 196	61 091 020	8 008 294	35	2 368 229	6 019
	d	1	1	2	2		2	5	
	e	1(1); 2(1)	1(2); 2(1)	1(2); 2(1)	1(2)		1(2)	1(5)	

China (Mainland)

		ML 21	ML 22	TOTAL per destination
Czech Republic	а			4
	b			925 544
	с			781 751
Estonia	а			2
	b			280 000
	с			
France	а	2	16	172

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		ML 21	ML 22	TOTAL per destination
				147 184
	b	2 824 444	3 885 821	451
				104 800
	с			942
Germany	а	5		33
	b	520 526		5 757 715
	с			
Netherlands	а			
	b			
	с			17 996 650
Slovakia	а			1
	b			194 592
	с			194 592
United Kingdom	a	4	33	101
	b	130 317	362 376	19 553 801
	с			

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China (Mainland)

		ML 21	ML 22	TOTAL per destination
TOTAL PER ML category	а	11	49	313
				173 896
	b	3 475 287	4 248 197	103
	d		2	24
	e		1(2); 7(2)	1(25); 2(7); 4(1); 7(2)
			of denials incluction of denials incluction of denials include the second secon	

Eritrea

		TOTAL per destination
TOTAL PER ML category	а	
	b	
	с	
	d	3
	e	1(3)
		Total number of denials include: - 1 denial under category ML 7 based on criterion 1, - 2 denials under category ML 13 based both on criterion 1

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Ivory Coast

		ML 1	ML 3	ML 4	ML 13	TOTAL per destination	
Germany	а	1	5		1	7	
	b	2 370	271 850		12 900	287 120	
	с						
United Kingdom	а			1	1	2	
	b			14 238	21 131	35 369	
	с						
TOTAL PER ML category	а	1	5	1	2	9	
	b	2 370	271 850	14 238	34 031	322 489	
	d		2	2		9	
	e		3(2)	3(2)		3(9)	
		Total number	of denials inclu	ides:			
		- 2 denials under category ML 7 based both on criterion 3					
				10 based on cr			
		- 2 denials un	der category M	L 15 based both	on criterion 3		

Congo (Democratic Republic of)

		ML 2	ML 3	ML 4	ML 6	ML 10	ML 22	TOTAL per destination
Bulgaria	а	1		1				2
	b	376 708		1 572 340				1 949 048
	с	376 708		971 992				1 348 700
France	а							

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Congo (Democratic Republic of)

		ML 2	ML 3	ML 4	ML 6	ML 10	ML 22	TOTAL per destination
	b							
	c						150 472	150 472
Germany	а		2		3			5
	b		141 150		381 720			522 870
	c							
United Kingdom	а			1		1		2
	b			15 165		315 101		330 266
	c							
TOTAL PER ML category	а	1	2	2	3	1		9
	b	376 708	141 150	1 587 505	381 720	315 101		2 802 184
	d				1			1
	e				1(1)			1(1)

Guinea

		ML 10	ML 22	TOTAL per destination
Romania	а	1	1	2
	b	1 327 759		1 327 759
	с	1 327 759		1 327 759

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Guinea

		ML 10	ML 22	TOTAL per destination		
TOTAL PER ML category	а	1	1	2		
	b	1 327 759		1 327 759		
	с	1 327 759		1 327 759		
	d			2		
	e			1(1): 8(1)		
		Total number of denials includes - 1 denial under category ML 6 based on criterion 1, - 1 denial under category ML 11 based on criterion 8				

Iraq

		ML 1	ML 2	ML 3	ML 4	ML 6	ML 7	ML 10	ML 11
Bulgaria	а	1							
	b	116 997							
	с	1 750							
Czech Republic	a	1		1			1		2
	b						84 746		
	с	1 374 155		320 900			84 766		
France	a				1			2	3
	b				130 771			11 140 022	4 231 897
	с								

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Iraq

		ML 1	ML 2	ML 3	ML 4	ML 6	ML 7	ML 10	ML 11
		IVIL I	IVIL Z	ML 3	ML 4	ML 0	IVIL /		NIL 11
Germany	а	5	4	2	5	10		8	1
	b	2 792 120	6 192 000	306 092	1 420 658	6 672 671		93 679 126	20 000
	с								
Romania	а	1							
	b	2 334 934							
	с	1 117 550							
United Kingdom	а	1			1	3			1
	b	34 282			32 571	1 522 019			75 015
	с								
TOTAL PER ML category	а	9	4	3	7	13	1	10	7
								104 819	
	b	5 278 333	6 192 000	306 092	1 584 000	8 194 690	84 746	148	4 326 912
	d				2	1			
	e				7(2)	1(1)			

Iraq

		ML 13	ML 14	ML 15	ML 22	TOTAL per destination
Bulgaria	a					1
	b					116 997
	с					1 750
Czech Republic	a					5
	b					84 746
	с					1 779 821

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Iraq

		ML 13	ML 14	ML 15	ML 22	TOTAL per destination
France	а				1	7
	b				13 021	15 515 711
	с					186 375
Germany	а	1	1			37
	b	9 000	1 560 253			112 651 920
	с					
Romania	а					1
	b					2 334 934
	с					1 117 550
United Kingdom	а	6		1	2	15
	b	415 038		34 282	29 944	2 143 151
	с					
TOTAL PER ML category	а	7	1	1	3	66
						132 847
	b	424 038	1 560 253	34 282	42 965	459
	d					3
	e					1(1); 7(2)

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Lebanon

		ML 1	ML 2	ML 3	ML 4	ML 6	ML 9	ML 10	ML 11
Belgium	а	1							
	b	12 000							
	с								
Czech Republic	а	3							
	b	155 033							
	с								
France	а	2	1		1		2	1	3
	b	1 546 009	50 804		117 168		116 978	201 368	578 653
	с								
Germany	а	5		9		1			
	b	4 258		158 367		591 000			
	с								
Italy	а	1			1				
	b	19 097			3 753				
	с								
Romania	а			2				2	
	b			1 144 642				620 222	
	с			381 547				538 495	
United Kingdom	a	2						2	1
	b	16 941						18 196	4 962
	с								

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Lebanon

		ML 1	ML 2	ML 3	ML 4	ML 6	ML 9	ML 10	ML 11
TOTAL PER ML category	а	13	1	11	1	1	2	5	4
	b	1 734 241	50 804	1 303 009	117 168	591 000	116 978	839 786	583 615
	d	1							
	e	3(1)							

Lebanon

		ML 13	ML 15	ML 22	TOTAL per destination
Belgium	a				1
	b				12 000
	с				
Czech Republic	a				3
	b				155 033
	с				
France	a	2	1	1	14
	b	4 385 080	584 655	30 241	7 610 957
	с				443 637
Germany	a				15
	b				753 625
	с				
Italy	a				2
	b				22 850
	с				22 850

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Lebanon

		ML 13	ML 15	ML 22	TOTAL per destination			
Romania	а			1	5			
	b			35 000	1 799 864			
	c				920 042			
United Kingdom	а	1		1	7			
	b	17 153		1 287	58 539			
	с							
TOTAL PER ML category	а	3	1	3	47			
	b	4 402 233	584 655	66 528	10 412 868			
	d				2			
	e				1(1); 3(1)			
		Total number of denials includes 1 denial under category						
		ML 7 based of	n criterion 1					

Liberia

		ML 1	ML 3	ML 13	TOTAL per destination
Belgium	а	1			1
	b	10 132			10 132
	с				
Germany	а		1		1
	b		30 000		30 000
	с				

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Liberia

		ML 1	ML 3	ML 13	TOTAL per destination			
United Kingdom	а			1	1			
	b			129 272	129 272			
	с							
TOTAL PER ML category	а	1	1	1	3			
	b	10 132	30 000	129 272	169 404			
	d				3			
	e				3(1)			
		All 3 denials are in the ML 6 category and based on criterion 1						

Libya

		ML 1	ML 3	ML 6	ML 10	ML 11	ML 13	TOTAL per destination
Finland	а							
	b							
	с						3 740	3 740
France	a					2		2
	b					450 000		450 000
	с							406 343
Germany	a		1	5			3	9
-	b		8 400	910 269			256 698	1 175 367
	с							

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

		ML 1	ML 3	ML 6	ML 10	ML 11	ML 13	TOTAL per destination
Italy	а				1			1
	b				20 000 000			20 000 000
	с							
Malta	а	1	1					2
	b	3 888	610					4 498
	с	3 888	610					4 498
United Kingdom	а	1	1	3			1	6
	b	122 436	5 594	748 326			9 273	885 629
	с							
TOTAL PER ML category	а	2	3	8	1	2	4	20
	b	126 324	14 604	1 658 595	20 000 000	450 000	265 971	2 2 515 494
	d				1	1		3
					2(1); 8(1)			1(2); 2(1);
	e					1(1)		8(1)
		Total number of denials includes: - 1 denial in the ML 18 category based on criterion 1						

Somalia

		ML 1	ML 3	ML 4	ML 6	ML 13	TOTAL per destination
Germany	а				1		1
	b				137 698		137 698
	с						

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Somalia

		ML 1	ML 3	ML 4	ML 6	ML 13	TOTAL per destination
United Kingdom	a	2	2	3	2	7	16
	b	121 379	22 623	127 072	757 919	229 929	1 258 922
	с						
TOTAL PER ML category	а	2	2	3	3	7	17
	b	121 379	22 623	127 072	895 617	229 929	1 396 620
	d						
	e						

South Sudan

		ML 6	ML 13	TOTAL per destination
Germany	а	3	1	4
	b	893 699	45 500	939 199
	с			
United Kingdom	а	2	1	3
	b	2 686 614	31 882	2 718 496
	с			
TOTAL PER ML category	а	5	2	7
	b	3 580 313	77 382	3 657 695
	d	1		1
	e	4(1); 7(1)		4(1); 7(1)

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Sudan

		ML 3	ML 6	TOTAL per destination
Germany	а	2	3	5
	b	48 400	584 200	632 600
	с			
TOTAL PER ML category	а	2	3	5
	b	48 400	584 200	632 600
	d			2
	e			1(1); 3(1); 4(1); 7(1)
		Total number of denials includes: - 1 denial in the ML 3 category based on criterion 7 - 1 denial in the ML 4 category based on criteria 1, 3 and 4		

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Syria

		ML 6	TOTAL per destination
Germany	а	9	9
	b	6 935 429	6 935 429
	с		
United Kingdom	а	1	1
	b	7 620	7 620
	с		
TOTAL PER ML category	а	10	10
	b	6 943 049	6 943 049
	d		
	e		

Key: (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (if available) (d) = total EU number of licence refusals (small discrepancies may appear between breakdowns and totals due to refusals concerning more than one ML item or refusals for items other than those appearing in the ML); (e) = criteria numbers on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

Zimbabwe

		TOTAL per destination
TOTAL PER ML category	а	
	b	
	с	
	d	4
	e	1(4)
	includes: - 2 denials category b criterion 1 - 1 denial category b criterion 1	in the ML 10