



**COUNCIL OF  
THE EUROPEAN UNION**

**Brussels, 7 April 2014  
(OR. en)**

**8596/14**

---

---

**Interinstitutional File:  
2013/0091 (COD)**

---

---

**LIMITE**

**ENFOPOL 101  
CODEC 1016  
CSC 82**

**NOTE**

---

From:	Presidency
To:	Delegations
No. prev. doc.:	8229/13
Subject:	Proposal for a Regulation of the European Parliament and of the Council on the European Union Agency for Law Enforcement Cooperation and Training (Europol) and repealing Decisions 2009/371/JHA and 2005/681/JHA - Presidency compromise text

---

In April 2013 the Commission presented a proposal for a Regulation of the European Parliament and of the Council on the European Union Agency for Law Enforcement Cooperation and Training (Europol) and repealing Decisions 2009/371/JHA and 2005/681/JHA.

Following the debate on certain aspects of the proposal at the CATS meeting of 8 May 2013 and at the Council of 6-7 June 2013, the LEWP started examining the text at its meeting on 20 June 2013 under the IE Presidency with a general exchange of views on each Chapter.

The various Chapters of the draft Regulation were then examined by the LEWP article by article under the LT and EL Presidencies, followed by Presidency compromise proposals based on the written contributions of delegations, the outcome of LEWP meetings and the consultations with various stakeholders, in particular Europol, as set out in the following table.

	First round	Revision (doc.)	Second round	Second revision (doc.)	Third round	Third revision (doc.)	Fourth round
Chapters I-II Annex 1	11/07/ 2013	14805/13	19-20/11/ 2013	17961/13	16-17/1/ 2014	6474/14	25/1/ 2014 (CATS)
Chapter V Annex 2 Chapter VI	4-5/9/ 2013	16940/13	11/12/ 2013 16-17/1/ 2014	5483/14	11-12/2/ 2014	7079/14	25/3/ 2014 (Art. 32)
Chapters IV, X	9-10/10/ 2013	17962/13	16-17/1/ 2014	7081/14	25/3/ 2014	--	--
Chapter IX	9-10/10/ 2013	5479/14	11-12/2/ 2014	7082/14	--	--	--
Chapters VII-VIII	9-10/10/ 2013	5478/14	11-12/2/ 2014	7080/14	25/3/ 2014	--	--
Chapters XI-XIV	19-20/11/ 2013	5481/14	11-12/2/ 2014	7241/14	--	--	--

The current Presidency compromise proposal set out below contains the revised recitals as well as the latest revised versions of all the Chapters and Annexes 1-2, including the new provision with regard to Europol's task in relation to training following the confirmation by the Council on 3 March 2014 that all references relating to the merger of Europol and CEPOL and subsequently the establishment of the Europol Academy be deleted.

The changes in the text of the draft Regulation (including the recitals) compared to the Commission's proposal are marked in ***bold italics*** and ~~strike through~~, whereas the latest changes in Chapters I-XIV as well as Annexes 1 and 2, compared to previous compromise texts of respective Chapters as referred to in the table above, are additionally marked in underlining.

Delegations are invited to agree to this compromise text and lift their remaining reservations with a view to submitting it to Coreper and then Council on 5-6 June 2014 for a general approach.

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on the European Union Agency for Law Enforcement Cooperation ~~and Training~~ (Europol) and ~~repealing replacing Council Decisions 2009/371/JHA, 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA~~<sup>1</sup> and ~~2005/681/JHA~~<sup>2</sup>**

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 88 and ~~Article 87(2)(b)~~ thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national Parliaments,

~~After having consulted the European Data Protection Supervisor,~~<sup>3</sup>

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) Europol was set up by Decision 2009/371/JHA<sup>4</sup> as an entity of the Union funded from the general budget of the Union to support and strengthen action by competent authorities of the Member States and their mutual cooperation in preventing and combating organised crime, terrorism and other forms of serious crime affecting two or more Member States. Decision 2009/371/JHA replaced the Convention based on Article K.3 of the Treaty on European Union, on the establishment of a European Police Office (Europol Convention).<sup>5</sup>
- (2) Article 88 of the Treaty provides for Europol to be governed by a regulation to be adopted in accordance with the ordinary legislative procedure. It also requires the establishment of procedures for the scrutiny of Europol's activities by the European Parliament, together with national Parliaments. Therefore, ~~it is necessary to replace the Decision 2009/371/JHA~~ **should be replaced** by a regulation laying down rules on parliamentary scrutiny.
- ~~(3) The European Police College ('CEPOL') was established by Decision 2005/681/JHA<sup>6</sup> to facilitate cooperation between national police forces by organising and coordinating training activities with a European policing dimension.~~

---

<sup>1</sup> The change in the title is made in line with the modification in Article 77, see below.

<sup>2</sup> **COM scrutiny reservation on the changes in the proposed Regulation.**

<sup>3</sup> **Included in recital (61a).**

<sup>4</sup> OJ L 121, 15.05.2009, p. 37.

<sup>5</sup> OJ C 316, 27.11.1995, p. 1.

<sup>6</sup> OJ L 256, 1.10.2005, p. 63.

- (4) The ‘Stockholm Programme – An open and secure Europe serving and protecting citizens’<sup>7</sup> calls for Europol to evolve and become a “hub for information exchange between the law enforcement authorities of the Member States, a service provider and a platform for law enforcement services.” On the basis of an assessment of Europol’s functioning, further enhancement of its operational effectiveness is needed to meet this objective. ~~The Stockholm Programme also sets the aim of creating a genuine European law enforcement culture by setting up European training schemes and exchange programmes for all relevant law enforcement professionals at national and Union level.~~
- (5) Large-scale criminal and terrorist networks pose a significant threat to the internal security of the Union and to the safety and livelihood of its citizens. Available threat assessments show that criminal groups are becoming increasingly poly-criminal and cross-border in their activities. National law enforcement authorities therefore need to cooperate more closely with their counterparts in other Member States. In this context, it is necessary to equip Europol to support Member States more in Union-wide crime prevention, analyses and investigations. This has also been confirmed in the evaluations of Decisions 2009/371/JHA and 2005/681/JHA.
- ~~(6) Given the links between the tasks of Europol and CEPOL, integrating and rationalising the functions of the two agencies would enhance the effectiveness of operational activity, the relevance of training and the efficiency of Union police cooperation.~~
- (7) ***This Regulation aims to amend and expand the provisions of Decisions 2009/371/JHA and 2005/681/JHA as well as Decisions 2009/934/JHA, 2009/935/JHA, 2009/936/JHA and 2009/968/JHA implementing Decision 2009/371/JHA. Since the amendments to be made are of substantial number and nature, these Decisions should therefore in the interests of clarity be repealed and replaced in their entirety in relation to the Member States bound by this Regulation, which draws on the lessons learnt from the implementation of both Decisions. Europol as established by this Regulation should replace and assume the functions of Europol and CEPOL as established by the two repealed Decisions 2009/371/JHA.***
- (8) As crime often occurs across internal borders, Europol should support and strengthen Member State actions and their cooperation in preventing and combating serious crime affecting two or more Member States. As terrorism is one of the most important threats for the security of the Union, Europol should assist Member States in facing common challenges in this regard. As the EU law enforcement agency, Europol should also support and strengthen actions and cooperation on tackling forms of crime that affect the interests of the EU. ***Among the forms of crime which Europol is competent to deal with, organised crime will continue to fall within Europol’s main objectives.*** ~~Europol~~ should also offer support in preventing and combating related criminal offences which are committed in order to procure the means, to facilitate, to carry out or to ensure the impunity of acts in respect of which Europol is competent.
- ~~(9) Europol should ensure better quality, coherent and consistent training for law enforcement officers of all ranks within a clear framework in accordance with identified training needs.~~

---

<sup>7</sup> OJ C 115, 4.5.2010, p. 1.

- (10) **(13b)** Europol should be able to request Member States to initiate, conduct or coordinate criminal investigations in specific cases where cross-border cooperation would add value. Europol should inform Eurojust of such requests.
- (11) To increase the effectiveness of Europol as a hub for information exchange in the Union, clear obligations for Member States to provide Europol with the data necessary for it to fulfil its objectives should be laid down. While implementing such obligations, Member States should pay particular attention to providing data relevant for the fight against crimes considered to be strategic and operational priorities within relevant policy instruments of the Union, ***in particular the priorities set by the Council in the framework of the EU Policy Cycle for organised and serious international crime. In line with Council Framework Decision 2006/960/JHA***<sup>8</sup> Member States should also provide Europol with a copy of bilateral and multilateral exchanges of information with other Member States on crime falling under Europol's objectives. At the same time, Europol should increase the level of its support to Member States, so as to enhance mutual cooperation and sharing of information. Europol should submit an annual report to ~~all Union institutions~~ ***the European Parliament, the Council, the Commission*** and to national Parliaments on the ~~extent to which information provided by the~~ individual Member States ~~provide it with information~~.
- (12) To ensure effective cooperation between Europol and Member States, a ~~n~~National ~~u~~Unit should be set up in each Member State. It should be the principal liaison between national ~~law enforcement~~ ***competent*** authorities and ~~training institutes~~ and Europol. To ensure continuous, effective exchange of information between Europol and national units and to facilitate their cooperation, each national unit should second at least one liaison officer to Europol.
- (13) Taking into account the decentralised structure of some Member States and the need to ensure in certain cases rapid exchanges of information, Europol should be allowed to cooperate directly with ~~law enforcement~~ ***competent*** authorities in Member States in individual investigations, ***respecting the conditions defined by Member States*** while keeping Europol ~~n~~National ~~u~~Units informed.

---

<sup>8</sup> ***Council Framework Decision 2006/960/JHA of 18 December 2006 on simplifying the exchange of information and intelligence between law enforcement authorities of the Member States of the European Union (OJ L 386, 29.12.2006, p. 89).***

- (13a) *The establishment of joint investigation teams should be encouraged and Europol staff should be able to participate in them. To ensure that such participation is possible in every Member State, Council Regulation (Euratom, ECSC, EEC) No 549/69<sup>9</sup>, as amended by Council Regulation (EC) No 371/2009<sup>10</sup>, provides that Europol staff do not benefit from immunities while they are participating in joint investigation teams.*
- ~~(14) To ensure that Union level law enforcement training is of high quality, coherent and consistent, Europol should act in line with Union law enforcement training policy. Union level training should be available to law enforcement officers of all ranks. Europol should ensure that training is evaluated and that conclusions from training needs assessments are part of planning to reduce duplication. Europol should promote the recognition in Member States of training provided at Union level.~~
- (15) It is also necessary to improve the governance of Europol, by seeking efficiency gains and streamlining procedures.
- (16) The Commission and the Member States should be represented on the Management Board of Europol to effectively supervise its work. ~~To reflect the dual mandate of the new agency, operational support and training for law enforcement,~~ *The full members and the alternate members of the Management Board should be appointed on the basis of taking into account their relevant managerial, administrative and budgetary skills and knowledge of law enforcement cooperation, whereas alternate members should be appointed on the basis of their knowledge of training for law enforcement officers. Alternate members should act as full members in the absence of the full member and in any case when training is discussed or decided. The Management Board should be advised by a scientific committee on technical training issues.*
- (16a) *All parties represented in the Management Board should make efforts to limit the turnover of their representatives, to ensure continuity of the Management Board's work. All parties should aim to achieve a balanced representation between men and women on the Management Board<sup>11</sup>.*

---

<sup>9</sup> *Regulation (Euratom, ECSC, EEC) No 549/69 of the Council of 25 March 1969 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on the Privileges and Immunities of the Communities apply (OJ L 74, 27.3.1969, p. 1).*

<sup>10</sup> *Council Regulation (EC) No 371/2009 of 27 November 2008 amending Regulation (Euratom, ECSC, EEC) No 549/69 determining the categories of officials and other servants of the European Communities to whom the provisions of Article 12, the second paragraph of Article 13 and Article 14 of the Protocol on the Privileges and Immunities of the Communities apply (OJ L 121, 15.5.2009, p. 1).*

<sup>11</sup> *Based on Art. 13(4).*

- (17) The Management Board should be given the necessary powers, in particular to set the budget, verify its execution, adopt the appropriate financial rules and planning documents, establish transparent working procedures for decision-making by the Executive Director of Europol, and adopt the annual activity report. It should exercise the powers of appointing authority towards staff of the agency including the Executive Director. To streamline the decision making process, and to reinforce supervision of administrative and budgetary management, the Management Board should be also entitled to establish an Executive Board.
- (18) To ensure the efficient day-to-day functioning of Europol, the Executive Director should be its legal representative and manager, acting in complete independence in the performance of all tasks and ensuring that Europol carries out the tasks provided for by this Regulation. In particular, the Executive Director should be responsible for preparing budgetary and planning documents submitted for the decision of the Management Board, implementing the ~~annual and~~ multiannual **programming and annual** work programmes of Europol and other planning documents.
- (19) For the purposes of preventing and combating crime falling under its objectives, it is necessary for Europol to have the fullest and most up-to-date information possible. Therefore, Europol should be able to process data provided to it by Member States, **Union bodies**, third countries, international organisations ~~and Union bodies~~ **and, under specific conditions set out in this Regulation, private parties** as well as coming from publicly available sources to develop an understanding of criminal phenomena and trends, to gather information about criminal networks, and to detect links between different offences.
- (20) To improve Europol's effectiveness in providing accurate crime analyses to the Member States' ~~law enforcement~~ **competent** authorities, it should use new technologies to process data. Europol should be able to swiftly detect links between investigations and common *modi operandi* across different criminal groups, to check cross-matches of data and to have a clear overview of trends, while maintaining high level of protection of personal data for individuals. Therefore, Europol databases should not be pre-defined, allowing Europol to choose the most efficient IT structure. **Europol should also be able to act as a service provider, in particular by providing a secure network for the data exchange, such as SIENA, aiming to facilitate the exchange of information between Member States, Europol, other Union bodies, third states and international organisations.** To ensure a high level of data protection, the purpose of processing operations and access rights as well as specific additional safeguards should be laid down.
- (21) To respect ownership of data and protection of information, Member States, **Union bodies**, ~~and authorities in~~ third countries and international organisations should be able to determine the purpose **or the purposes** for which Europol may process the data they provide and to restrict access rights.

- (22) To ensure that data are accessed only by those for whom access is necessary to perform their tasks, this Regulation should lay down detailed rules on different degrees of right of access to data processed by Europol. Such rules should be without prejudice to restrictions on access imposed by data providers, as the principle of ownership of data should be respected. In order to increase efficiency of preventing and combating crime falling under Europol's objectives, Europol should notify Member States of information which concerns them.
- (23) To enhance operational cooperation between the agencies, and particularly to establish links between data already in possession of the different agencies, Europol should enable Eurojust and the European Anti-Fraud Office (OLAF) to have access *on the basis of a hit/no hit system* to ~~and be able to search against~~ data available at Europol *while such access would by technical means be limited to information falling within the respective mandates of these Union bodies*.
- (24) Europol should maintain cooperative relations with other Union bodies, ~~law enforcement authorities and law enforcement training institutes~~ of third countries, international organisations, and private parties to the extent required for the accomplishment of its tasks.
- (25) To ensure operational effectiveness, Europol should be able to exchange all *relevant* information, with the exception of personal data, with other Union bodies, ~~law enforcement authorities and law enforcement training institutes~~ of third countries, and international organisations to the extent necessary for the performance of its tasks. Since companies, firms, business associations, non-governmental organisations and other private parties hold expertise and data of direct relevance to the prevention and combating of serious crime and terrorism, Europol should also be able to exchange such data with private parties. To prevent and combat cybercrime, as related to network and information security incidents, Europol should, pursuant to Directive [name of adopted Directive] of the European Parliament and of the Council concerning measures to ensure a high common level of network and information security across the Union,<sup>12</sup> cooperate and exchange information, with the exception of personal data, with national authorities competent for the security of network and information systems.
- (26) Europol should be able to exchange *relevant* personal data with other Union bodies to the extent necessary for the accomplishment of its tasks.
- (27) Serious crime and terrorism often have links beyond the territory of the EU. Europol should therefore be able to exchange personal data with ~~law enforcement~~ authorities of third countries and with international organisations such as Interpol to the extent necessary for the accomplishment of its tasks.

---

<sup>12</sup> To insert reference to the adopted Directive (Proposal: COM (2013) 48 final).



- (28) Europol should be able to transfer personal data to an authority of a third country or an international organisation on the basis of a Commission decision finding that the country or international organisation in question ensures an adequate level of data protection, or, in the absence of an adequacy decision, an international agreement concluded by the Union pursuant to Article 218 of the Treaty, or a cooperation agreement **allowing for the exchange of personal data** concluded between Europol and this third country prior to the entry into force of this Regulation. In view of Article 9 of Protocol 36 on transitional provisions attached to the Treaty, legal effects of such agreements should be preserved until those agreements are repealed, annulled or amended in the implementation of the Treaty.
- (29) Where a transfer of personal data cannot be based on an adequacy decision taken by the Commission, or, an international agreement concluded by the Union, or an existing cooperation agreement, the Management Board ~~and~~ **in agreement with** the European Data Protection Supervisor should be allowed to authorise a transfer or a set of transfers, provided adequate safeguards are ensured. Where none of the above applies, the Executive Director should be allowed to authorise the transfer of data in exceptional cases on a case-by-case basis, ~~if it is necessary to safeguard the essential interests of a Member State, to prevent an imminent danger associated with crime or terrorism, if the transfer is otherwise necessary or legally required on important public grounds, if the data subject has consented, or if vital interests of the data subject are at stake~~ **where specific conditions so require.**
- (30) Europol should be able to process personal data originating from private parties and private persons only if transferred to Europol by a Europol ~~National~~ ~~Unit~~ of a Member State in accordance with its national law or, by a contact point in a third country **or an international organisation** with which there is established cooperation through a cooperation agreement **allowing for the exchange of personal data** concluded in accordance with Article 23 of Decision 2009/371/JHA prior to the entry into force of this Regulation or an authority of a third country or an international organisation **which is subject to an adequacy decision or** with which the Union has concluded ~~an~~ international agreement pursuant to Article 218 of the Treaty, **except in cases where Europol nonetheless receives personal data directly from private parties and where the National Unit, contact point or authority concerned cannot be identified.**
- (31) Any information which has clearly been obtained by a third country or international organisation in violation of human rights shall not be processed.

- (32) Data protection rules at Europol should be strengthened and draw on the principles underpinning Regulation (EC) No 45/2001<sup>13</sup> to ensure a high level of protection of individuals with regard to processing of personal data. As Declaration 21 attached to the Treaty recognizes the specificity of personal data processing in the law enforcement context, the data protection rules of Europol should be autonomous and aligned with other relevant data protection instruments applicable in the area of police cooperation in the Union, in particular Convention No. 108<sup>14</sup> and Recommendation No R(87) of the Council of Europe<sup>15</sup> and Council Framework Decision 2008/977/JHA on the protection of personal data processed in the framework of police and judicial cooperation in criminal matters<sup>16</sup> [to be replaced by the relevant Directive in force at the moment of adoption].
- (33) As far as possible personal data should be distinguished according to the degree of their accuracy and reliability. Facts should be distinguished from personal assessments, in order to ensure both the protection of individuals and the quality and reliability of the information processed by Europol.
- (34) Personal data relating to different categories of data subjects are processed in the area of ~~police~~ **law enforcement** co-operation. Europol should make distinctions between personal data of different categories of data subjects as clear as possible. Personal data of persons such as victims, witnesses, persons possessing relevant information as well as personal data of minors should in particular be protected. Therefore, Europol should ~~not~~ **only** process them ~~unless if~~ it is ~~strictly~~ necessary for preventing and combating crime within its objectives; ~~and~~. **Europol should only process sensitive data** if those data supplement other personal data already processed by Europol.
- (35) In the light of fundamental rights to protection of personal data, Europol should not store personal data longer than necessary for the performance of its tasks.
- (36) To guarantee the security of personal data, Europol **and Member States** should implement appropriate technical and organisational measures.
- (37) Any person should have a right of access to personal data concerning them, to have inaccurate data concerning them rectified and to erase or block data concerning them, if the data is no longer required. The rights of the data subject and the exercise thereof should not affect the obligations placed on Europol and should be subject to the restrictions laid down in this Regulation.

---

<sup>13</sup> OJ L 8, 12.1.2001, p. 1.

<sup>14</sup> Convention for the Protection of Individuals with regard to Automatic Processing of Personal Data, Strasbourg, 28.1.1981.

<sup>15</sup> Council of Europe Committee of Ministers Recommendation No. R(87) 15 to the Member States on regulating the use of personal data in the police sector, 17.9.1987.

<sup>16</sup> OJ L 350, 30.12.2008, p. 60.

- (38) The protection of the rights and freedoms of data subjects requires a clear attribution of the responsibilities under this Regulation. In particular, Member States should be responsible for accuracy and keeping up to date the data they have transferred to Europol and for the legality of such transfer. Europol should be responsible for accuracy and for keeping the data provided by other data suppliers *or which results from Europol's own analyses* up to date. Europol should also ensure that data are processed fairly and lawfully, are collected and processed for a specific purpose, that they are adequate, relevant, not excessive in relation to the purposes for which they are processed, and stored no longer than is necessary for that purpose *and are processed in a manner that ensures appropriate security of personal data and confidentiality of data processing*.
- (39) Europol should keep records of collection, alteration, access, disclosure, combination or erasure of personal data for the purposes of verification of the lawfulness of the data processing, self-monitoring and ensuring proper data integrity and security. Europol should be obliged to co-operate with the European Data Protection Supervisor and make the logs or documentation available upon request, so that they can be used for monitoring processing operations.
- (40) Europol should designate a data protection officer to assist it in monitoring compliance with the provisions of this Regulation. The data protection officer should be in a position to perform his/her duties and tasks independently and effectively.
- (41) National competent authorities for the supervision of the processing of personal data should monitor the lawfulness of the ~~processing~~ *exchange* of personal data ~~by~~ *between* Member States *and Europol*. The European Data Protection Supervisor should monitor the lawfulness of data processing by Europol exercising its functions with complete independence.
- (42) The European Data Protection Supervisor and national supervisory authorities should *closely* co-operate with each other on specific issues requiring national involvement and to ensure coherent application of this Regulation throughout the Union. *The European Data Protection Supervisor should, where relevant, use the expertise and experience of the national supervisory authorities in carrying out his/her duties*.
- (43) As Europol is processing also non-operational personal data, not related to any criminal investigations, processing of such data should be subject to Regulation (EC) No 45/2001.
- (44) The European Data Protection Supervisor should hear and investigate complaints lodged by data subjects. The investigation following a complaint should be carried out, subject to judicial review, to the extent that is appropriate in the specific case. The supervisory authority should inform the data subject of progress and the outcome of the complaint within a reasonable period.
- (45) Any individual should have the right to a judicial remedy against decisions of the European Data Protection Supervisor concerning him/her.

- (46) Europol should be subject to general rules on contractual and non-contractual liability applicable to Union institutions, agencies and bodies, with the exception of liability for unlawful data processing.
- (47) It may be unclear for the individual concerned whether damage suffered as a result of unlawful data processing is a consequence of action by Europol or by a Member State. Europol and the Member State in which the event that gave rise to the damage occurred should therefore be jointly and severally liable.
- (48) To ensure that Europol is a fully accountable and transparent internal organisation, it is necessary, in the light of Article 88 of the Treaty on the Functioning of the European Union, to lay down procedures for scrutiny of Europol activities by the European Parliament together with national Parliaments, taking into due account the need to safeguard confidentiality of operational information.
- (49) The Staff Regulations of Officials of the European Communities and the Conditions of Employment of Other Servants of the European Communities laid down in Regulation (EEC, Euratom, ECSC) No 259/68<sup>17</sup> should apply to Europol staff. Europol should be able to employ staff engaged from the competent authorities of the Member States as temporary agents whose period of service should be limited in order to maintain the principle of rotation, as the subsequent reintegration of staff members into the service of their competent authority facilitates close cooperation between Europol and the competent authorities of the Member States. Member States should take any measure necessary to ensure that staff engaged at Europol as temporary agents may, at the end of this service at Europol, return to the national civil service to which they belong.
- (50) ~~Given the nature of the duties of Europol and the role of the Executive Director, the Executive Director may be invited to make a statement to and to answer questions from the competent committee of the European Parliament before his appointment, as well as before any extension of his term of office.~~ The Executive Director should also present the annual report to the European Parliament and to the Council. ~~Furthermore, t~~The European Parliament **and the Council** should be able to invite the Executive Director to report on the performance of his duties.
- (51) To guarantee the full autonomy and independence of Europol, it should be granted an autonomous budget, with revenue coming essentially from a contribution from the budget of the Union. The Union budgetary procedure should be applicable as far as the Union contribution and any other subsidies chargeable to the general budget of the Union are concerned. The auditing of accounts should be undertaken by the Court of Auditors.

---

<sup>17</sup> OJ L 56, 4.3.1968, p. 1.

- (52) ~~Regulation (EU, Euratom) No 966/2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (the Financial Regulation)~~<sup>18</sup> **Commission Delegated Regulation (EU) No 1271/2013 of 30.9.2013 on the framework financial regulation for the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council**<sup>19</sup> should apply to Europol.
- (52a) **Because the competent authorities of Member States are the only bodies with the legal and administrative powers and technical competences to conduct cross-border information exchange activities, operations and investigations, including in joint investigation teams, and to provide facilities for training those authorities should be able to receive grants from Europol without call for proposals in accordance with Article 190(1)(d) of Commission Delegated Regulation (EU) No 1268/2012**<sup>20</sup>.
- (53) ~~Regulation (EC) No 1073/1999~~ **(EU) No 883/2013** of the European Parliament and of the Council of ~~25 May 1999~~ concerning investigations conducted by the European Anti-Fraud Office (OLAF) should apply to Europol.
- (54) Europol processes data that require particular protection as they include *sensitive and* EU classified information ~~and sensitive non-classified information~~. Europol should therefore draw up rules on confidentiality and processing of such information, ~~taking into account~~. **The rules on the protection of European Union classified information should be consistent with** the basic principles and minimum standards laid down in Decision ~~2011/292/EU~~ **2013/488/EU** on the security rules for protecting EU **European Union** classified information.<sup>21</sup>
- (55) It is appropriate to evaluate the application of this Regulation regularly.
- (56) The necessary provisions regarding accommodation for Europol in the ~~Member State in which~~ **Hague where** it has its headquarters, ~~in the Netherlands~~, and the specific rules applicable to all Europol's staff and members of their families should be laid down in a headquarters agreement. Furthermore, the host Member State should provide the best possible conditions to ensure the proper functioning of Europol, including schools for children and transport, so as to attract high-quality human resources from as wide a geographical area as possible.
- (57) Europol, as set up by this Regulation replaces and succeeds Europol as established by Decision 2009/371/JHA ~~and CEPOL as established by Decision 2005/681/JHA~~. It should therefore be a legal successor of all ~~their~~ **its** contracts, including employment contracts, liabilities and properties acquired. International agreements concluded by Europol as established on the basis of Decision 2009/371/JHA and **agreements concluded by Europol as established by the Europol Convention before 1 January 2010** ~~CEPOL as established on the basis of Decision 2005/681/JHA~~ should remain in force, ~~with the exception of the headquarters agreement concluded by CEPOL~~.

<sup>18</sup> ~~OJ L 298, 26.10.2012, p. 1.~~

<sup>19</sup> **OJ L 328, 7.12.2013, p. 42.**

<sup>20</sup> **OJ L 362, 31.12.2012, p. 1.**

<sup>21</sup> OJ L 141, 27.05.2011, p. 17.

(58) To enable Europol to continue to fulfil the tasks of Europol as established on the basis of Decision 2009/371/JHA and ~~CEPOL as established by Decision 2005/681/JHA~~ to the best of its abilities, transitional measures should be laid down, in particular with regard to the Management Board, the Executive Director ~~and ring-fencing part of Europol's budget for training for three years following the entry into force of this Regulation~~ **and staff employed under a contract of indefinite duration as a local staff member concluded by Europol as established by the Europol Convention, who should be offered a possibility of employment as a member of temporary or contract staff under the Conditions of employment of other servants.**

22

(59) Since the objective of this Regulation, namely the establishment of an entity responsible for law-enforcement cooperation ~~and training~~ at Union level, cannot be sufficiently achieved by the Member States and can, therefore, by reason of the scale and effects of the action, be better achieved at Union level, the Union may adopt measures, in accordance with the principle of subsidiarity, as set out in Article 5 of the Treaty on European Union. In accordance with the principle of proportionality, as set out in that Article, this Regulation does not go beyond what is necessary to achieve that objective.

(60) ~~{In accordance with Articles 3 and 4a(1) of the Protocol (No 21) on the position of United Kingdom and Ireland in respect of the Area of Freedom, Security and Justice, annexed to the Treaty on European Union and the Treaty on the Functioning of the European Union, those Member States have~~ **Ireland has notified their** ~~its~~ wish to participate in the adoption and application of this Regulation~~}.}~~

~~(60a) OR [Without prejudice to Article 4~~ **In accordance with Articles 1, 2 and 4a(1)** ~~of the Protocol (No 21) on the position of the United Kingdom and Ireland in respect of the Area of Freedom, Security and Justice, annexed to the Treaty on European Union and the Treaty on the Functioning of the European Union, and without prejudice to Article 4 of that Protocol, those Member States~~ **the United Kingdom will not participate is not taking part** ~~in the adoption of this Regulation and will is not be bound by it or be subject to its application].}~~

(61) In accordance with Articles 1 and 2 of the Protocol (No 22) on the position of Denmark annexed to the Treaty on European Union and the Treaty on the Functioning of the European Union, Denmark is not taking part in the adoption of this Regulation and is not bound by it or subject to its application.

**(61a) The European Data Protection Supervisor has been consulted and issued an opinion on 31 May 2013.**

---

<sup>22</sup> **Possibly a new recital would be added here depending on the date of application of this Regulation as the new rules on programming in the Framework Financial Regulation Art. 32-33, reflected in the revised Articles 14 and 15 of this Regulation, will only enter into force on 1 January 2016.**

- (62) This Regulation respects the fundamental rights and observes the principles recognised in particular by the Charter of Fundamental Rights of the European Union, in particular the right to the protection of personal data and the right to privacy as protected by Articles 8 and 7 of the Charter, as well as by Article 16 of the Treaty.

HAVE ADOPTED THIS REGULATION:

## Chapter I

### GENERAL PROVISIONS, AND OBJECTIVES AND TASKS OF EUROPOL

#### *Article 1*

#### **Establishment of the European Union Agency for Law Enforcement Cooperation and Training**

1. A European Union Agency for Law Enforcement Cooperation ~~and Training~~ (Europol) is hereby established ~~to improve mutual cooperation among law enforcement authorities in the European Union, to strengthen and to support their actions as well as to deliver a coherent European training policy.~~
2. Europol, as established by this Regulation, shall replace and succeed Europol as established by Decision 2009/371/JHA, ~~and CEPOL as established by Decision 2005/681/JHA.~~

#### *Article 2*

#### **Definitions**

For the purposes of this Regulation:

- (a) <sup>23</sup>'the competent authorities of the Member States' means all police ~~authorities, and other~~ law enforcement services **and other authorities** existing in the Member States, which are responsible under national law for preventing and combating criminal offences;
- ~~(b) 'analysis' means the assembly, processing or use of data with the aim of assisting criminal investigations;~~
- (bb) "strategic analysis" means all methods and techniques by which information is collected, stored, processed and assessed with the aim of supporting and developing a criminal policy that contributes to an efficient and effective prevention of and fight against criminal offences;*
- (bbb) "operational analysis" means all methods and techniques by which information is collected, stored, processed and assessed with the aim of supporting criminal investigations;*

---

<sup>23</sup> ES, IT reservation.



- (c) 'Union bodies' means institutions, ~~entities~~ **bodies**, missions, offices and agencies set up by, or on the basis of, the Treaty on European Union and the Treaty on the Functioning of the European Union;
- ~~(d) 'law enforcement officers' means officers of police, customs and of other relevant services, including Union bodies, responsible for preventing and combating serious crime affecting two or more Member States, terrorism and forms of crime that affect a common interest covered by a Union policy and for civilian crisis management and international policing of major events;~~
- (e) 'third countries' means countries that are not Member States of the European Union;
- (f) 'international organisations' means international organisations and their subordinate bodies governed by public **international** law or other bodies which are set up by, or on the basis of, an agreement between two or more countries ***as well as Interpol***;
- (g) 'private parties' means entities and bodies established under the law of a Member State or a third country, in particular companies and firms, business associations, non-profit organisations and other legal persons that ~~do not fall under~~ ***are not covered by*** point (f);
- (h) 'private persons' means all natural persons;
- (i) <sup>24</sup>'personal data' means any information relating to an identified or identifiable natural person hereinafter referred to as "data subject"; an identifiable person is one who can be identified, directly or indirectly, in particular by reference to an identification number or to one or more factors specific to his/her physical, physiological, mental, economic, cultural or social identity;
- (j) 'processing of personal data' hereinafter referred to as 'processing' means any operation or set of operations which is performed upon personal data, whether or not by automatic means, such as collection, recording, organisation, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, blocking, erasure or destruction;
- (k) 'recipient' means a natural or legal person, public authority, agency or any other body to whom data are disclosed, whether a third party or not; however, authorities which may receive data in the framework of a particular inquiry shall not be regarded as recipients;
- (l) 'transfer of personal data' means the communication of personal data, actively made available, between a limited number of identified parties, with the knowledge or intention of the sender to give the recipient access to the personal data;
- ~~(m) 'personal data filing system' hereinafter referred to as 'filing system' means any structured set of personal data which are accessible according to specific criteria, whether centralised, decentralised or dispersed on a functional or geographical basis;~~

---

<sup>24</sup> *Definitions from (i) to (o) will be reviewed in the future, possibly taking into account the ongoing negotiations on the "data protection package".*

- (n) 'the data subject's consent' means any freely given specific and informed indication of his/her wishes by which the data subject signifies his/her agreement to personal data relating to him/her being processed;
- (o) 'administrative personal data' means all personal data processed by Europol apart from those that are processed to meet the objectives laid down in Article 3(1) and (2).

### Article 3

#### Objectives

1. Europol shall support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating serious crime affecting two or more Member States, terrorism and forms of crime which affect a common interest covered by a Union policy, **including organised crime**. ~~as specified~~ **The forms of crime which Europol is competent to deal with are listed** in Annex 1.
2. ~~Europol shall also support and strengthen action by the competent authorities of the Member States and their mutual cooperation in preventing and combating criminal offences related to the offences referred to under point (a).~~ **In addition to paragraph 1, Europol's objectives shall also cover related criminal offences.** The following offences shall be regarded as related criminal offences:
  - (a) criminal offences committed in order to procure the means of perpetrating acts in respect of which Europol is competent;
  - (b) criminal offences committed in order to facilitate or carry out acts in respect of which Europol is competent;
  - (c) criminal offences committed in order to ensure the impunity of acts in respect of which Europol is competent.
- ~~3. Europol shall support, develop, deliver and coordinate training activities for law enforcement officers.~~

## Chapter II

### ~~TASKS RELATED TO LAW ENFORCEMENT COOPERATION~~

#### Article 4

#### Tasks

1. ~~Europol is the European Union agency that shall perform the following tasks in accordance with this Regulation:~~
  - (a) ~~to collect, store, process, analyse and exchange information;~~
  - (b) ~~to notify the Member States, *via the National Units established or designated in accordance with Article 7(2)*, without delay of *any* information ~~concerning them~~ and of ~~any~~ connections between criminal offences *concerning them*;~~
  - (c) ~~to coordinate *support Member States' investigations by assisting their competent authorities in coordinating*, organising and implementing *any* investigative and operational action~~
    - (i) ~~carried out jointly with the ~~Member States'~~ competent authorities *of the Member States*; or~~
    - (ii) ~~in the context of joint investigation *ion* teams, in accordance with Article 5, where appropriate, in liaison with Eurojust;~~
  - (d) ~~to participate in joint investigation *ion* teams as well as to propose that they are set up in accordance with Article 5 8a;~~
  - (e) ~~to provide information and analytical support to Member States in connection with major international events;~~
  - (f) ~~to prepare threat assessments, strategic and operational analyses and general situation reports;~~
  - (g) ~~to develop, share and promote specialist knowledge of crime prevention methods, investigative procedures and technical and forensic methods, and to provide advice to Member States;~~
  - (h) ~~to provide technical and financial support to Member States' cross-border *information exchange activities*, operations and investigations, ~~including as well as~~ joint investigation *ion* teams, *including by providing operational, technical and financial support*;~~

~~/(i) to support, develop, deliver, coordinate and implement training for law enforcement officers in cooperation with the network of training institutes in Member States as set out in Chapter III provide training and assist Member States in organising training, including financial support, within the scope of its objectives and in accordance with the staffing and budgetary resources at its disposal, for members of their competent authorities, in coordination with the European Police College (CEPOL);/~~

(j) ~~to provide~~ **cooperate with** the Union bodies established on the basis of Title V of the Treaty and **with** the European Anti-Fraud Office (OLAF), **in particular through the exchange of information and by providing them** with ~~criminal intelligence and analytical support~~ in the areas that fall under their competence;

(k) ~~to provide~~ information and support to EU crisis management structures, and ~~to EU crisis management~~ missions established on the basis of the Treaty on European Union **within the scope of Europol's objectives set out in Article 3;**

(l)<sup>25</sup> ~~to develop~~ Union centres of specialised expertise for combating certain types of crime falling under Europol's objectives, in particular the European Cybercrime Centre.

2. Europol shall provide strategic analyses and threats assessments to assist the Council and the Commission in laying down strategic and operational priorities of the Union for fighting crime. Europol shall also assist in **the** operational implementation of those priorities.

2a. **Where the Commission so requests in accordance with Article 8 of Council Regulation (EU) No 1053/2013<sup>26</sup> Europol shall provide risk analyses to assist the Commission in the implementation of the evaluation and monitoring mechanism to verify the application of the Schengen acquis.**

3. ~~Europol shall provide strategic intelligence to~~ **Europol shall provide strategic analyses and threat assessments at the request of the Council or the Commission to contribute to the evaluation of States which are candidates for accession to the Union, or to** assist the efficient and effective use of the resources available at national and Union level for operational activities and the support of those activities.

---

<sup>25</sup> FR reservation.

<sup>26</sup> Council Regulation (EU) No 1053/2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen *acquis* and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen, OJ L 295, 6.11.2013, p. 27.

4. Europol shall act as the Central Office for combating euro counterfeiting in accordance with Decision 2005/511/JHA of 12 July 2005 on protecting the euro against counterfeiting.<sup>27</sup> Europol shall also encourage the coordination of measures carried out to fight euro counterfeiting by the competent authorities of the Member States or in the context of joint investigation teams, where appropriate in liaison with Union bodies and the authorities of third countries.
5. *Europol shall not apply coercive measures in carrying out its tasks.*

---

<sup>27</sup> OJ L 185, 16.07.2005, p. 35.

## Chapter II

### COOPERATION BETWEEN MEMBER STATES AND EUROPOL

#### Article 5 8a

##### Participation in joint investigation teams<sup>28</sup>

1. Europol may participate in the activities of joint investigation teams dealing with crime ~~that falls under~~ ***falling within*** Europol's objectives.
2. Europol may, within the limits provided by the laws of the Member States in which ***a*** joint investigation team is operating, assist in all activities and exchanges of information with all members of the joint investigation team.
3. Where Europol has reasons to believe that setting up a joint investigation team would add value to an investigation, it may propose this to the Member States concerned and take measures to assist them in setting up the joint investigation team.
4. ~~Europol shall not apply coercive measures.~~<sup>29</sup>

#### Article 6 8b

##### Requests by Europol for the initiation of criminal investigations

1. In specific cases where Europol considers that a criminal investigation should be initiated into a crime ~~that falls under~~ ***falling within*** its objectives, ~~it shall inform Eurojust~~ ***it shall request the competent authorities of the Member States concerned via the National Units to initiate, conduct or coordinate such a criminal investigation.***
2. ~~At the same time, Europol shall request the National Units of the Member States concerned established on the basis of Article 7(2) to initiate, conduct or coordinate a criminal investigation.~~
3. The National Units shall inform Europol without delay of the ***decision of the competent authorities of the Member States concerning any request made pursuant to paragraph 1*** ~~initiation of investigation.~~

---

<sup>28</sup> This Article, as well as Article 65(1), is ~~to be~~ accompanied by a recital referring to Council Regulation No 549/69 as amended by Council Regulation No 371/2009 providing that Europol staff do not benefit from immunities while they are participating in JITs.

<sup>29</sup> This provision is now included in Article 4.

4. If the competent authorities of the Member States concerned decide not to ~~comply~~ **proceed** with ~~the a~~ request made by Europol **pursuant to paragraph 1**, they shall ~~provide~~ **inform** Europol ~~with of~~ the reasons for their decision, **without undue delay, preferably** within one month of the request. **However**, ~~the~~ reasons may be withheld if giving them would:
- (a) harm ~~essential~~ national security interests; or
  - (b) jeopardise the success of **current** investigations ~~under way~~ or the safety of individuals.
5. Europol shall inform Eurojust **of any requests made pursuant to paragraph 1 and** of ~~the~~ **any** decision of a competent authority of a Member State ~~to initiate or refuse to initiate an investigation pursuant to paragraph 3.~~

## Article 7

### ~~Member States' Cooperation with Europol~~

#### *Europol National Units*

1. **The** Member States **and Europol** shall cooperate with ~~Europol~~ **each other** in the fulfilment of its **their** tasks.
- Each Member State shall establish or designate a National Unit which shall be the liaison body between Europol and the competent authorities ~~in of that~~ Member States ~~as well as with training institutes for law enforcement officers.~~ Each Member State shall appoint ~~An~~ official **shall be appointed by each Member State** as the head of the National Unit.
2. **The** Member States shall ensure that their National Units are able to fulfil ~~their~~ **the** tasks as set out in this Regulation, in particular that they have access to **relevant** national ~~law enforcement~~ databases **data**.
- 3a.<sup>30</sup> **Each Member State shall determine the organisation and the staff of the National Unit according to its national legislation.**
4. **Subject to conditions determined by Member States, including prior involvement of the National Unit, the Member States may allow direct contacts between their competent authorities and Europol. If the National Unit so requests<sup>31</sup>, it shall at the same time receive from Europol any information exchanged in the course of direct contacts between Europol and the competent authorities.** ~~Europol may directly cooperate with competent authorities of the Member States in respect of individual investigations. In that case, Europol shall inform the National Unit without delay and provide a copy of any information exchanged in the course of direct contacts between Europol and the respective competent authorities.~~

---

<sup>30</sup> Moved up from paragraph 7.

<sup>31</sup> ES, PT reservations.

5. **The** Member States shall, via their National Unit or, **subject to paragraph 4**, a competent authority, ~~of a Member State~~, in particular:
- (a)<sup>32</sup> supply Europol with the information necessary for it to fulfil its objectives, **including**. ~~This includes providing Europol without delay with information relating to forms of crime areas that are considered a priority by the Union. It also includes providing a copy of bilateral or multilateral exchanges with another Member State or Member States in so far as the exchange refers to crime that falls under Europol's objectives;~~
  - (b) ensure effective communication and cooperation of all relevant competent authorities of the Member States ~~and training institutes for law enforcement officers within the Member States~~, with Europol;
  - (c) raise awareness of Europol's activities.
- 5a. **Without prejudice to Member States discharging the responsibilities incumbent upon them with regard to the maintenance of law and order and the safeguarding of internal security, Member States shall not in any particular case be obliged to supply information in accordance with paragraph 5(a) that would:**
- (a) **harm national security interests;**
  - (b) **jeopardise the success of a current investigation or the safety of individuals; or**
  - (c) **disclose information relating to organisations or specific intelligence activities in the field of national security.**
- Information shall be supplied as soon as it no longer falls under points (a), (b) or (c) of the first sub-paragraph.**
- 5b.<sup>33 34</sup> **The Member States shall ensure that their Financial Intelligence Units established pursuant to Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing<sup>35</sup> are allowed to cooperate with Europol via their National Unit regarding analyses ~~falling~~ within the limits of their mandate and competence.**
6. The heads of National Units shall meet on a regular basis, **in** particular~~y~~ to discuss and resolve problems that occur in the context of their operational cooperation with Europol.

---

<sup>32</sup> CZ, UK reservations.

<sup>33</sup> BE, ES, FR, HR, IT, LU, MT, PL, PT, RO, UK reservations.

<sup>34</sup> *The new paragraph was suggested by the Commission based on the outcome of the financial attachés meeting of 6 December 2013, where the draft anti money laundering directive was discussed.*

<sup>35</sup> OJ L 309, 25.11.2005, p. 15.



- 7.<sup>36</sup> ~~Each Member State shall define the organisation and the staff of the National Unit according to its national legislation.~~
8. The costs incurred by National Units ~~and of the competent authorities in Member States~~ in communications with Europol shall be borne by the Member States and, ~~apart from~~ **with the exception of** the costs of connection, shall not be charged to Europol.
- 9.<sup>37</sup> ~~Member States shall ensure a minimum level of security of all systems used to connect to Europol.~~
- 10.<sup>38</sup> ~~Each year~~ Europol shall draw up **an annual** report on the ~~quantity and quality of~~ information provided by each Member State pursuant to paragraph 5(a) ~~and on the performance of its National Unit~~ **on the basis of the quantitative and qualitative evaluation criteria defined by the Management Board**. The annual report shall be sent to the European Parliament, the Council, the Commission and national ~~p~~Parliaments.

#### Article 8

##### Liaison officers

1. Each National Unit shall designate at least one liaison officer to Europol. Except as otherwise laid down in this Regulation, liaison officers shall be subject to the national law of the designating Member State.
2. Liaison officers shall constitute the national liaison bureaux at Europol and shall be instructed by their National Units **to represent the interests of the latter** within Europol in accordance with the national law of the designating Member State and the provisions applicable to the administration of Europol.
3. Liaison officers shall assist in the exchange of information between Europol and their Member States.
4. Liaison officers shall assist in the exchange of information between their Member States and the liaison officers of other Member States, **third countries and international organisations** in accordance with national law. Europol's infrastructure may be used, in line with national law, for such bilateral exchanges also to cover crimes outside the objectives of Europol. The Management Board shall determine the rights and obligations of liaison officers in relation to Europol.
5. Liaison officers shall enjoy the privileges and immunities necessary for the performance of their tasks in accordance with Article 65(2).

---

<sup>36</sup> *Moved up as article 7(3a).*

<sup>37</sup> *This is included in Article 38.*

<sup>38</sup> FR reservation.

6. Europol shall ensure that liaison officers are fully informed of and associated with all of its activities, insofar as this is necessary for the performance of their tasks.
7. Europol shall cover the costs of providing Member States with the necessary premises in the Europol building and adequate support for liaison officers to carry out their duties. All other costs that arise in connection with the designation of liaison officers shall be borne by the designating Member State, including the costs of equipment for liaison officers, unless the budgetary authority decides otherwise on the recommendation of the Management Board.

### **Chapter III**

## **TASKS RELATED TO TRAINING FOR LAW ENFORCEMENT OFFICERS**

### *Article 9*

#### **Europol Academy**

- ~~1. A department within Europol, called the Europol Academy, as set up by this Regulation, shall support, develop, deliver and coordinate training for law enforcement officers in particular in the areas of the fight against serious crime affecting two or more Member States and terrorism, management of high risk public order and sports events, strategic planning and command of non-military Union missions, as well as law enforcement leadership and language skills and in particular to:~~
  - ~~(a) raise awareness and knowledge of:~~
    - ~~(i) international and Union instruments on law enforcement cooperation;~~
    - ~~(ii) Union bodies, in particular Europol, Eurojust and Frontex, their functioning and role;~~
    - ~~(iii) judicial aspects of law enforcement cooperation and practical knowledge about access to information channels;~~
  - ~~(b) encourage the development of regional and bilateral cooperation among Member States and between Member States and third countries;~~

- ~~(c) — address specific criminal or policing thematic areas where training at Union level can add value;~~
  - ~~(d) — devise specific common curricula for law enforcement officers to train them for participation in Union civilian missions;~~
  - ~~(e) — support Member States in bilateral law enforcement capacity building activities in third countries;~~
  - ~~(f) — train trainers and assist in improving and exchanging good learning practices.~~
- ~~2. — The Europol Academy shall develop and regularly update learning tools and methodologies and apply these in a lifelong learning perspective to strengthen the skills of law enforcement officers. The Europol Academy shall evaluate the results of these actions with a view to enhancing the quality, coherence and efficacy of future actions.~~

#### *Article 10*

#### **Tasks of the Europol Academy**

- ~~1. — The Europol Academy shall prepare multi-annual strategic training needs analyses and multi-annual learning programmes.~~
- ~~2. — The Europol Academy shall develop and implement training activities and learning products, which may include:
  - ~~(a) — courses, seminars, conferences, web-based and e-learning activities;~~
  - ~~(b) — common curricula to raise awareness, address gaps and/or facilitate a common approach in relation to cross-border criminal phenomena;~~
  - ~~(c) — training modules graduated according to progressive stages or levels of complexity of skills needed by the relevant target group, and focused either on a defined geographical region, a specific thematic area of criminal activity or on a specific set of professional skills;~~
  - ~~(d) — exchange and secondment programmes of law enforcement officers in the context of an operational based training approach.~~~~
- ~~3. — To ensure a coherent European training policy to support civilian missions and capacity building in third countries the Europol Academy shall:
  - ~~(a) — assess the impact of existing Union related law enforcement training policies and initiatives;~~~~

- ~~(b) — develop and provide training to prepare Member States' law enforcement officers for participation in civilian missions, including to enable them to acquire relevant language skills;~~
- ~~(c) — develop and provide training for law enforcement officers from third countries, in particular from the countries that are candidates for accession to the Union;~~
- ~~(d) — manage dedicated Union External Assistance funds to assist third countries in building their capacity in relevant policy areas, in line with the established priorities of the Union.~~
- ~~4. — The Europol Academy shall promote the mutual recognition of law enforcement training in Member States and related existing European quality standards.~~

~~*Article 11*~~

~~**Research relevant for training**~~

- ~~1. — The Europol Academy shall contribute to development of research relevant for training activities covered by this Chapter.~~
- ~~2. — The Europol Academy shall promote and establish a partnership with Union bodies as well as with public and private academic institutions and shall encourage the creation of stronger partnerships between universities and law enforcement training institutes in Member States.~~

## Chapter IV

### ORGANISATION OF EUROPOL

#### *Article 12*

#### **Administrative and management structure of Europol**

The administrative and management structure of Europol shall comprise:

- (a) Management Board, ~~which shall exercise the functions set out in Article 14;~~
- (b) an Executive Director, ~~who shall exercise the responsibilities set out in Article 19;~~
- (c) ~~a Scientific Committee for Training in accordance with Article 20;~~
- (d) if appropriate, any other advisory body established by the Management Board in accordance with Article 14(1)(p);
- (e) if appropriate, an Executive Board *established by the Management Board* in accordance with Articles 21 ~~and 22~~.

## SECTION 1

### MANAGEMENT BOARD

#### *Article 13*

#### **Composition of the Management Board**

1. The Management Board shall be composed of one representative from each Member State and ~~two~~ one representative of the Commission, all with voting rights.
2. The members of the Management Board shall be appointed by the Member States ~~on the basis of~~ *taking into account* their experience in the management of public or private sector organisations relevant managerial, administrative and budgetary skills and knowledge of law enforcement cooperation.
3. Each member of the Management Board shall ~~be represented by~~ *have* an alternate member who shall be appointed by the Member States ~~on the basis of~~ *taking into account* their experience in the management of public or private sector organisations *the criteria set out in paragraph 2* and knowledge of national policy on training for law enforcement officers. The alternate member shall act as a member on any issues related to training of law enforcement officers. The alternate member shall represent the member in his/her *the member's* absence. ~~The member shall represent the alternate on any issues related to training of law enforcement officers in his/her absence.~~
- 4.<sup>39</sup> ~~All parties represented in the Management Board shall make efforts to limit the turnover of their representatives, to ensure continuity of the Management Board's work. All parties shall aim to achieve a balanced representation between men and women on the Management Board.~~
5. *Notwithstanding the Member States' and Commission's right to terminate the mandate of members and alternate members, their* The term of office for members and alternate members shall be four years. That term shall be extendable. ~~Upon expiry of their term of office or in the event of their resignation, members shall remain in office until their appointments are renewed or until they are replaced.~~

---

<sup>39</sup> The content of this paragraph ~~will be~~ is reflected in the recitals.

### Functions of the Management Board

1. The Management Board shall:

- (a) adopt each year, ~~Europol's work programme for the following year~~ by a majority of two-thirds of members and in accordance with Article 15, ***a programming document containing ~~its~~ Europol's multiannual programming and ~~an~~ the annual work programme for the following year;***
- ~~(b) adopt a multi-annual work programme, by a majority of two thirds of members in accordance with Article 15;~~
- (c) adopt, by a majority of two thirds of its members, the annual budget of Europol and exercise other functions in respect of Europol's budget pursuant to Chapter XI;
- (d) adopt a consolidated annual activity report on Europol's activities and ~~send it~~, by 1 July of the following year, ***send it*** to the European Parliament, the Council, the Commission, the Court of Auditors and national Parliaments. The consolidated annual activity report shall be made public;
- (e) adopt the financial rules applicable to Europol in accordance with Article 63;
- ~~(f)<sup>40</sup> by 31 January adopt, after taking into account the opinion of the Commission, the multiannual staff policy plan;~~
- ~~(g)~~ ***(na)*** adopt an ***internal*** anti-fraud strategy, proportionate to fraud risks, taking into account the costs and benefits of the measures to be implemented;
- ~~(h)~~ ***(nb)*** adopt rules for the prevention and management of conflicts of interest in respect of its members, ~~as well as members of the Scientific Committee for Training;~~
- (i) in accordance with paragraph 2, exercise, with respect to the staff of Europol, the powers conferred by the Staff Regulations on the Appointing Authority and by the Conditions of Employment of Other Servants on the Authority Empowered to Conclude a Contract of Employment ('the appointing authority powers');
- (j) adopt appropriate implementing rules ~~for~~ giving effect to the Staff Regulations and the Conditions of Employment of Other Servants in accordance with Article 110 of the Staff Regulations;
- (k)<sup>41</sup> ~~appoint~~ ***propose to the Council a list of candidates for the post of*** the Executive Director and Deputy Executive Directors and where relevant ***propose to the Council to*** extend their term of office or remove them from the office in accordance with Articles 56 and 57;

---

<sup>40</sup> This paragraph is deleted as staff planning would be part of the programming document.

<sup>41</sup> FI, UK reservations (also in relation to Art. 56).

- (l) establish performance indicators and oversee the Executive Director's performance, including the implementation of Management Board decisions;
- (la) appoint a Data Protection Officer;*
- (m) appoint an Accounting Officer, subject to the Staff Regulations and the Conditions of Employment of Other Servants, who shall be functionally independent in the performance of his/her duties;
- (ma) establish, where appropriate, an internal audit capability;*
- ~~(n) appoint the members of the Scientific Committee for Training;~~
- (o)<sup>42</sup> ensure adequate follow-up to findings and recommendations stemming from the internal or external audit reports and evaluations, as well as from investigations of the European Anti-fraud Office (OLAF) **and the European Data Protection Supervisor (EDPS)**;
- (ob) define the evaluation criteria for the annual report in accordance with Article 7(10);*
- (oc) ~~approve~~ **adopt the procedures and business processes required for the processing of information by Europol outlined in Article 24, and as far as the procedures and business processes referred to in Article 42(1) are concerned, having obtained the opinion of the European Data Protection Supervisor;**
- (od) decide upon the conclusion of working and administrative arrangements in accordance with Article 29~~(1)~~(2b) and Article 31(1);*
- (p) ~~take all decisions on~~ **decide, taking into consideration both business and financial requirements, upon the establishment of Europol's internal structures, including Union centres referred to in Article 4(1)(l), and, where necessary, their modification upon the proposal of the Executive Director;**
- (q) adopt its rules of procedure, **including provisions concerning the tasks and the independence of its secretariat;**
- (qa) adopt, where appropriate, other internal rules.*

---

<sup>42</sup> SI reservation in relation to references to the EDPS in the Regulation.



**1a. The Management Board may invite the Council to:**

- (a) suggest to the Commission that it decides whether the ~~authority of a third country or international organisation or a territory~~ or a processing sector within that third country, or ~~an~~ the international organisation in question ensures an adequate level of protection (adequacy decision) as referred to in Article 31(1)(a);**
- (b) suggest to the Commission to submit a recommendation for a decision authorising the opening of negotiations of an international agreement as referred to in Article 31(1)(b).**

2. The Management Board shall adopt, in accordance with Article 110 of the Staff Regulations, a decision based on Article 2(1) of the Staff Regulations and on Article 6 of the Conditions of Employment of Other Servants delegating the relevant appointing authority powers to the Executive Director and defining the conditions under which ~~this~~ **such** delegations of powers ~~can~~ **may** be suspended. The Executive Director shall be authorised to sub-delegate those powers.

Where exceptional circumstances so require, the Management Board may, by way of a decision, temporarily suspend the delegation of the appointing authority powers to the Executive Director and those sub-delegated by the latter and exercise them itself or delegate those powers to one of its members or to a staff member other than the Executive Director.

*Article 15*

**Annual ~~work programme~~ and multi-annual ~~work programme~~ programming**

- 1. The Management Board shall adopt **the programming document containing the multiannual programming and** the annual work programme the latest by 30 November each year, based on a draft put forward by the Executive Director, taking into account the opinion of the Commission **and in relation to the multiannual programming after consulting the European Parliament and national Parliaments.** ~~It shall forward it~~ **The programming document shall be forwarded** to the European Parliament, the Council, the Commission and national ~~p~~**Parliaments.**
- 2. The annual work programme shall comprise detailed objectives and expected results including performance indicators. It shall also contain a description of the actions to be financed and an indication of the financial and human resources allocated to each action, in accordance with the principles of activity-based budgeting and management. The annual work programme shall be coherent with the multi-annual ~~work programme~~ **programming** referred to in paragraphs ~~4-1 and~~ **1a**. It shall clearly indicate tasks that have been added, changed or deleted in comparison with the previous financial year.

3. The Management Board shall amend the adopted annual work programme if a new task is given to Europol.

Any substantial amendment to the annual work programme shall be adopted by the same procedure as the initial annual work programme. The Management Board may delegate the power to make non-substantial amendments to the annual work programme to the Executive Director.

- ~~4.1a. The Management Board shall also adopt the multi-annual work programme and update it by 30 November each year, taking into account the opinion of the Commission and after consulting the European Parliament and national parliaments.~~

~~The adopted multi-annual work programme shall be forwarded to the European Parliament, the Council, the Commission and national Parliaments.~~

The multi-annual ~~work programme~~ **programming** shall set out *overall strategic programming including* objectives, and expected results ~~including and~~ performance indicators. It shall also ~~contain an indication of the amount and staff allocated to each objective, in line with the multiannual financial framework and the multi-annual staff policy plan~~ *set out resource planning including multi-annual budget and staff*. It shall include the strategy for relations with third countries or international organisations ~~referred to in Article 29~~.

The multi-annual ~~programme~~ **programming** shall be implemented through annual work programmes and shall, where appropriate, be updated following the outcome of external and internal evaluations. The conclusion of these evaluations shall also be reflected, where appropriate, in the annual work programme for the following year.

#### Article 16

### Chairperson of the Management Board

1. The Management Board shall elect a Chairperson and a Deputy Chairperson from ~~among members~~ *within the group of three Member States who have jointly prepared the Council's eighteen-month programme. They shall serve for the eighteen-month period corresponding to that Council programme.*

- 1b.* The Chairperson and the Deputy Chairperson shall be elected by a majority of two-thirds of the members of the Management Board.

The Deputy Chairperson shall automatically replace the Chairperson if he/she is prevented from attending to his/her duties.

- ~~2. 1a.~~ *(second subparagraph of paragraph 1)* ~~The terms of office of the Chairperson and of the Deputy Chairperson shall be four years. Their term of office may be renewed once. If, however, their membership of the Management Board ends at any time during their term of office as Chairperson or Deputy Chairperson, their term of office shall automatically expire on that date.~~

## Article 17

### Meetings of the Management Board

1. The Chairperson shall convene the meetings of the Management Board.
2. The Executive Director of Europol shall take part in the deliberations.
3. The Management Board shall hold at least two ordinary meetings a year. In addition, it shall meet on the initiative of its Chairperson, *or* at the request of the Commission or at the request of at least one-third of its members.
4. The Management Board may invite any person whose opinion may be relevant for the discussion to attend its meeting as a non-voting observer.
5. Advisers or experts may assist the members *or the alternate members* of the Management Board, subject to the provisions of its Rules of Procedure.
6. Europol shall provide the secretariat for the Management Board.

## Article 18

### Voting rules

1. Without prejudice to Articles 14(1)(a), ~~(b)~~ and (c), Article 16(1**b**), *Article 21, Article 52(2), and Article 56(8) and Article 66*, the Management Board shall take decisions by a majority of members.
2. Each member ~~Member State and the Commission~~ shall have one vote. In the absence of a voting member, his/her alternate shall be entitled to exercise his/her right to vote.
3. ~~The Chairperson shall take part in voting.~~
4. The Executive Director shall not take part in voting.
5. The Management Board's rules of procedure shall establish more detailed voting arrangements, in particular the circumstances in which a member may act on behalf of another member, and any quorum requirements, where necessary.

## SECTION 2

### EXECUTIVE DIRECTOR

#### *Article 19*

#### **Responsibilities of the Executive Director**

1. The Executive Director shall manage Europol. He/she shall be accountable to the Management Board.
2. Without prejudice to the powers of the Commission, the Management Board or the Executive Board, the Executive Director shall be independent in the performance of his/her duties and shall neither seek nor take instructions from any government, nor from any other body.
3. ~~The Executive Director shall report to the European Parliament on the performance of his/her duties when invited to do so.~~ The Council may invite the Executive Director to report on the performance of his/her duties.
4. The Executive Director shall be the legal representative of Europol.
5. The Executive Director shall be responsible for the implementation of the tasks assigned to Europol by this Regulation. In particular, the Executive Director shall be responsible for:
  - (a) the day-to-day administration of Europol;
  - (aa)<sup>43</sup> *making proposals to the Management Board as regards the establishment of Europol's internal structures;*
  - (b) implementing decisions adopted by the Management Board;
  - (c) preparing the *draft* annual work programme and ~~the~~ multi-annual work programme *programming* and submitting them to the Management Board after consulting the Commission;
  - (d) implementing the annual work programme and the multi-annual work programme *programming* and reporting to the Management Board on their implementation;
  - (dd)<sup>44</sup> *preparing appropriate draft implementing rules for giving effect to the Staff Regulations and the Conditions of Employment of Other Servants in accordance with Article 110 of the Staff Regulations;*

---

<sup>43</sup> This point is based on Article 14(1)(p).

<sup>44</sup> This paragraph is added here on the basis of Article 14(1)(j).

- (e) preparing the **draft** consolidated annual report on Europol's activities and presenting it to the Management Board for ~~approval~~ **adoption**;
- (f) preparing an action plan following up conclusions of internal or external audit reports and evaluations, as well as investigation reports and recommendations from investigations by ~~the (OLAF)~~ **and EDPS**<sup>45</sup> and reporting on progress twice a year to the Commission and regularly to the Management Board;
- (g) protecting the financial interests of the Union by applying preventive measures against fraud, corruption and any other illegal activities and, without prejudice to the investigative competence of OLAF, by effective checks and, if irregularities are detected, by recovering amounts wrongly paid and, where appropriate, by effective, proportionate and dissuasive administrative and financial penalties;
- (h) preparing a ~~an~~ **draft internal** anti-fraud strategy for Europol and presenting it to the Management Board for ~~approval~~ **adoption**;
- (i) preparing draft financial rules applicable to Europol;
- (j) preparing Europol's draft statement of estimates of revenue and expenditure and implementing its budget;
- ~~(k)~~<sup>46</sup> ~~preparing a draft multi-annual staff policy plan and submitting it to the Management Board after consultation of the Commission;~~
- (l) supporting the Chair**person** of the Management Board in preparing Management Board meetings;
- (m) informing the Management Board on a regular basis regarding the implementation of Union strategic and operational priorities for fighting crime;
- (n) **performing other tasks stemming from this Regulation.**

---

<sup>45</sup> This addition is in line with Article 14(1)(o).

<sup>46</sup> This point is deleted as staff planning would be part of the programming document.

## SECTION 3

### SCIENTIFIC COMMITTEE FOR TRAINING

#### *Article 20*

#### **The Scientific Committee for Training**

- ~~1. The Scientific Committee for Training shall be an independent advisory body guaranteeing and guiding the scientific quality of Europol's work on training. For that purpose, the Executive Director shall involve the Scientific Committee for Training early on in the preparation of all documents referred to in Article 14 as far as they concern training.~~
- ~~2. The Scientific Committee for Training shall be composed of 11 persons of the highest academic or professional standing in the subjects covered by Chapter III of this Regulation. The Management Board shall appoint the members following a transparent call for applications and selection procedure to be published in the Official Journal of the European Union. The members of the Management Board shall not be members of the Scientific Committee for Training. The members of the Scientific Committee for Training shall be independent. They shall neither seek nor take instructions from any government, nor from any other body.~~
- ~~3. The list of members of the Scientific Committee for Training shall be made public and shall be updated by Europol on its website.~~
- ~~4. The term of office of the members of the Scientific Committee for Training shall be five years. It shall not be renewable and its members can be removed from office if they do not meet the criteria of independence.~~
- ~~5. The Scientific Committee for Training shall elect its Chairperson and Deputy Chairperson for a term of office of five years. It shall adopt positions by simple majority. It shall be convened by its Chairperson up to four times per year. If necessary, the Chairperson shall convene extraordinary meetings on his/her own initiative or at the request of at least four members of the Committee.~~
- ~~6. The Executive Director, Deputy Executive Director for Training or their respective representative shall be invited to the meetings as a non-voting observer.~~
- ~~7. The Scientific Committee for Training shall be assisted by a secretary who shall be a Europol staff member designated by the Committee and appointed by the Executive Director.~~

- ~~8. The Scientific Committee for Training shall, in particular:~~
- ~~(a) advise the Executive Director and the Deputy Executive Director for Training in drafting the annual work programme and other strategic documents, to ensure their scientific quality and their coherence with relevant Union sector policies and priorities;~~
  - ~~(b) provide independent opinion and advice to the Management Board on matters pertaining to its remit;~~
  - ~~(c) provide independent opinion and advice on the quality of curricula, applied learning methods, learning options and scientific developments;~~
  - ~~(d) perform any other advisory task pertaining to the scientific aspects of Europol's work relating to training at the request of the Management Board or by the Executive Director or the Deputy Executive Director for Training.~~
- ~~9. The annual budget of the Scientific Committee for Training shall be allocated to an individual budget line of Europol.~~

## SECTION 4

### EXECUTIVE BOARD

#### *Article 21<sup>47</sup>*

#### **Establishment**

The Management Board may *unanimously decide to* establish an Executive Board.

---

<sup>47</sup> UK reservation.

## Article 22

### Functions and organisation

1. The Executive Board shall assist the Management Board.
2. The Executive Board shall have the following functions:
  - (a) preparing decisions to be adopted by the Management Board;
  - (b) ensuring, together with the Management Board, adequate follow-up to the findings and recommendations stemming from the internal or external audit reports and evaluations, as well as on the investigation reports and recommendations from investigations of the European Anti-Fraud Office (OLAF) **and the European Data Protection Supervisor (EDPS)**<sup>48</sup>;
  - (c) without prejudice to the functions of the Executive Director, as set out in Article 19, assisting and advising the Executive Director in the implementation of the decisions of the Management Board, with a view to reinforcing supervision of administrative management.
3. When necessary, because of urgency, the Executive Board may take certain provisional decisions on behalf of the Management Board, in particular on administrative management matters, including the suspension of the delegation of the appointing authority powers.
4. ~~The Executive Board shall be composed of the Chairperson of the Management Board, one representative of the Commission to the Management Board and three other members appointed by the Management Board from among its members. The Chairperson of the Management Board shall also be the Chairperson of the Executive Board. The Executive Director shall take part in the meetings of the Executive Board, but shall not have the right to vote.~~
5. ~~The term of office of members of the Executive Board shall be four years. The term of office of members of the Executive Board shall end when their membership of the Management Board ends.~~
6. ~~The Executive Board shall hold at least one ordinary meeting every three months. In addition, it shall meet on the initiative of its Chairperson or at the request of its members.~~
7. ***When establishing*** ~~the Executive Board, shall comply with the rules of procedure laid down by the Management Board~~ ***shall define its detailed functions referred to in paragraph 2, its ability to take decisions as referred to in paragraph 3, its composition, the term of office of its members, the frequency of its meetings and its rules of procedure, including its voting rules.***

---

<sup>48</sup> This addition is in line with Article 14(1)(o).



## Chapter V<sup>49</sup>

### PROCESSING OF INFORMATION

#### Article 23

##### Sources of information

1. Europol shall only process information that has been provided to it:
  - (a) by Member States in accordance with their national law *and Article 7*;
  - (b) by Union bodies, third countries and international organisations in accordance with Chapter VI;
  - (c) by private parties *and private persons* in accordance with ~~Article 29(2)~~ *Chapter VI*.
2. Europol may directly retrieve and process information, including personal data, from publicly available sources, ~~such as the media~~, including the internet and public data.
3. *In so far as Europol is entitled under Union, international or national legal instruments to gain computerised access to data from information systems of national, Union or international nature* Europol may retrieve and process *information, including personal data, by such means if that is necessary for the performance of its tasks* ~~and process information, including personal data, from information systems, of a national, Union or international nature, including by means of computerised direct access, in so far as authorised by Union, international or national legal instruments.~~ The applicable provisions of such Union, international or national legal instruments shall govern the access to and use of that information by Europol insofar as they provide for stricter rules on access and use than those of this Regulation. The access to such information systems shall be granted only to duly authorised staff of Europol as far as this is necessary for the performance of their tasks.

---

<sup>49</sup> DE, HU, NL, AT, SI scrutiny reservations.

## Article 24

### Purposes of information processing activities

1. In so far as necessary for the ~~achievement~~ **performance** of its ~~objectives~~ **tasks** as laid down in Article ~~3(1) and (2)~~ **4**, Europol shall ~~shall~~ **may** process information, including personal data. ***Personal data may be processed*** only for the purposes of:
  - (a) cross-checking aimed at identifying connections between information;
  - (b) analyses of a strategic or thematic nature;
  - (c) operational analyses in specific cases;
  - (d)<sup>50</sup> ***facilitating the exchange of information between Member States, Europol, other Union bodies, third countries and international organisations.***
2. Categories of personal data and categories of data subjects whose data may be collected for each specific purpose referred to under paragraph 1 are listed in Annex 2.

## Article 25

### Determination of the purpose of, and restrictions on, the processing of information ~~processing activities by Europol~~

1. A Member State, a Union body, a third country or an international organisation providing information to Europol **shall** determine the purpose **or the purposes** for which it shall be processed as referred to in Article 24. If it has not done so, Europol **in consultation with the provider of information concerned** shall **process the information in order to**<sup>51</sup> determine **the** relevance of such information as well as the purpose **or the purposes** for which it shall be **further** processed. Europol may process information for a different purpose than the one for which information has been provided only if authorised by the ~~data~~ provider **of the information**.
2. Member States, Union bodies, third countries and international organisations may indicate, at the moment of ~~transferring~~ **providing the** information, any restriction on access or use, in general or specific terms, including as regards **transfer**, erasure or destruction. Where the need for such restrictions becomes apparent after the ~~transfer~~ **provision of information**, they shall inform Europol accordingly. Europol shall comply with such restrictions.
3. ***In duly justified cases*** Europol may assign ~~any~~ restrictions to access or use by Member States, Union bodies, third countries and international organisations of information retrieved from publicly-available sources.

---

<sup>50</sup> This point is to be accompanied by a recital explaining the meaning of it (for example, by referring to SIENA).

<sup>51</sup> This addition has been included to clarify that the currently existing possibilities under Art. 10(4) of the Europol Council Decision are indeed taken over.

*Article 26*

**Access by Member States' competent authorities and Europol's staff to information stored by Europol**

1. *The competent authorities of Member States shall in accordance with national law and Article 7(4) have access to and be able to search all information which has been provided for the purposes of Article 24(1)(a) and (b), without prejudice to the right for Member States, Union bodies and third countries and international organisations to indicate any restrictions to the access and use of such data in accordance with Article 25(2). Member States shall designate these competent authorities allowed to perform such a search.*
2. *The competent authorities of Member States shall in accordance with national law and Article 7(4) have indirect access on the basis of a hit/no hit system to information provided for the purposes of Article 24(1)(c), without prejudice to any restrictions indicated by the Member States, Union bodies and third countries or international organisations providing the information, in accordance with Article 25(2). In the case of a hit, Europol shall initiate the procedure by which the information that generated the hit may be shared, in accordance with the decision of the Member State that provided the provider of the information to Europol.*
3. *Europol staff duly empowered by the Executive Director shall have access to information processed by Europol to the extent required for the performance of their duties and without prejudice to Article 69.*

*Article 27*

**Access by Eurojust and OLAF to Europol information stored by Europol for Eurojust and OLAF**

1. ~~Europol shall take all appropriate measures to enable Eurojust and the European Anti-Fraud Office (OLAF) within their respective mandates, to have access to and be able to search all information that has been provided for the purposes of Article 24(1)(a) and (b), without prejudice to the right for Member States, Union bodies and third countries and international organisations to indicate restrictions to the access and use of such data. Europol shall be informed where a search by Eurojust or OLAF reveals the existence of a match with information processed by Europol.~~

2. Europol shall take all appropriate measures to enable Eurojust and *the European Anti-Fraud Office* (OLAF), within their respective mandates, to have indirect access on the basis of a hit/no hit system<sup>52</sup> to information provided for the purposes under Article 24(1) (a), (b) and (c), without prejudice to any restrictions indicated by the providing Member States, Union bodies and third countries or international organisations, in accordance with Article 25(2). In case of a hit, Europol shall initiate the procedure by which the information that generated the hit may be shared, in accordance with the decision of the ~~Member State, Union body, third country or international organisation that provided~~ *provider of* the information to Europol.
3. Searches of information in accordance with paragraphs ~~1 and~~ 2 shall be made only for the purpose of identifying whether information available at Eurojust or OLAF, respectively, matches with information processed at Europol.
4. Europol shall allow searches in accordance with paragraphs ~~1 and~~ 2 only after obtaining from Eurojust information about which National Members, Deputies, Assistants, as well as Eurojust staff members, and from OLAF information about which staff members, have been designated as authorised to perform such searches.
5. If during Europol's information processing activities in respect of an individual investigation, Europol or a Member State identifies the necessity for coordination, cooperation or support in accordance with the mandate of Eurojust or OLAF, Europol shall notify them thereof and shall initiate the procedure for sharing the information, in accordance with the decision of the Member State providing the information. In such a case Eurojust or OLAF shall consult with Europol.
6. Eurojust, including the College, the National Members, Deputies, Assistants, as well as Eurojust staff members, and OLAF, shall respect any restriction to access or use, in general or specific terms, indicated by Member States, Union bodies, third countries and international organisations in accordance with Article 25(2).

---

<sup>52</sup> The "hit/no hit" system and the limitation - by technical means - of access to information within the respective mandates of these EU bodies is ~~to be~~ explained in the recital.

## Article 28

### Duty to notify Member States

1. If Europol, in accordance with its task pursuant to Article 4(1)(b), ~~needs to~~ **shall promptly** inform a Member State about information concerning it, ~~and that~~ **If such** information is subject to access restrictions pursuant to Article 25(2), that would prohibit sharing it, Europol shall consult with the ~~data~~ provider **of the information** stipulating the access restriction and seek its authorisation for sharing.

Without such an authorisation, the information shall not be shared.

2. Irrespective of any access restrictions, Europol shall inform a Member State about information concerning it if:
  - (a) this is absolutely necessary in the interest of preventing **an** imminent ~~danger~~ associated with serious crime or terrorist offences **for the physical integrity of a person; or persons threat to life.**
  - (b) ~~this is essential for the prevention of an immediate and serious threat to public security of that Member State.~~

In such a case, Europol shall **at the same time** inform the ~~data~~ provider **of the information** of sharing this information ~~as soon as possible~~ and justify its analysis of the situation.

# Chapter VI

## RELATIONS WITH PARTNERS

### SECTION 1

#### COMMON PROVISIONS

##### *Article 29*

##### **Common provisions**

1. <sup>53</sup>In so far as necessary for the performance of its tasks, Europol may establish and maintain cooperative relations with the Union bodies in accordance with the objectives of those bodies, the ~~law enforcement~~ authorities of third countries, ~~law enforcement training institutes of third countries~~, international organisations and private parties.
2. In so far as relevant to the performance of its tasks and subject to any restriction stipulated pursuant to Article 25(2) *and Article 69*, Europol may directly exchange all information, with the exception of personal data, with entities referred to in paragraph 1.
  - 2a. *Europol shall inform the Management Board about any regular cooperative relations it intends to establish and maintain in line with paragraphs 1 and 2 and about the development of such relations once established.*
  - 2b. *For the purposes set out in paragraphs 1 and 2, Europol may conclude working arrangements with entities referred to in paragraph 1. Those working arrangements shall not allow the exchange of personal data and shall not bind the Union or its Member States.*
3. Europol may receive and process personal data from entities referred to in paragraph 1 ~~except from private parties~~, in so far as necessary for the performance of its tasks and subject to the provisions of this Chapter.

---

<sup>53</sup> DE scrutiny reservation.

4. Without prejudice to Article 36~~(4)~~(5), personal data shall only be transferred by Europol to Union bodies, third countries and international organisations, if this is necessary for preventing and combating crime that falls under Europol's objectives and in accordance with this ~~Chapter~~ **Regulation**. If the data to be transferred have been provided by a Member State, Europol shall seek that Member State's consent, unless:
- ~~(a) the authorisation can be assumed as the Member State has not expressly limited the possibility of onward transfers; or~~
  - (b) the Member State has granted its prior authorisation to such onward transfer, either in general terms or subject to specific conditions. Such consent may be withdrawn *at any moment*.
5. Onward transfers of personal data *held by Europol* by Member States, Union bodies, third countries and international organisations shall be prohibited unless Europol has given its explicit consent.

## SECTION 2

### EXCHANGES/TRANSFERS AND EXCHANGE OF PERSONAL DATA

#### *Article 30*

#### **Transfer of personal data to Union bodies**

Subject to any possible restrictions stipulated pursuant to Article 25(2) or (3) *and Article 69* Europol may directly transfer personal data to Union bodies in so far as it is necessary for the performance of its tasks or those of the recipient Union body.

Article 31<sup>54</sup>

**Transfer of personal data to third countries and international organisations**

1. *Subject to any possible restrictions stipulated pursuant to Article 25(2) or (3) and Article 69* Europol may transfer personal data to an authority of a third country or to an international organisation, in so far as this is necessary for it to perform its tasks, on the basis of:
- (a) a decision of the Commission adopted in accordance with ~~[Articles 25 and 31 of Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data, and on the free movement of such data]~~ *Article 34 of [Directive of the European Parliament and of the Council on the protection of individuals with regard to the processing of personal data by competent authorities for the purposes of prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, and the free movement of such data<sup>55</sup>]* that ~~that~~ the third country or international organisation, or a territory or a processing sector within that third country, or ~~an~~ the international organisation in question ensures an adequate level of protection (adequacy decision); or
  - (b) an international agreement concluded between the Union and that third country or international organisation pursuant to Article 218 of the Treaty adducing adequate safeguards with respect to the protection of privacy and fundamental rights and freedoms of individuals; or
  - (c) a cooperation agreement *allowing for the exchange of personal data* concluded between Europol and that third country or international organisation in accordance with Article 23 of Decision 2009/371/JHA prior to the date of application of this Regulation.

Such transfers do not require any further authorisation.

Europol may conclude ~~working~~ *administrative* arrangements to implement such agreements or adequacy decisions.

*Europol shall inform the Management Board about the exchange of personal data on the basis of adequacy decisions.*

---

<sup>54</sup> DE scrutiny reservation.

<sup>55</sup> It is assumed that the draft Directive (which is part of the data protection package, doc. 5833/12 and 11624/1/13 REV 1) will be adopted before the Europol Regulation. If not, an equivalent provision to Article 34 of that Directive or a more general reference to Union legislation will be inserted at a later stage.



- 1a.** *Europol shall publish and keep up to date a list of adequacy decisions, agreements, administrative arrangements and other instruments relating to the transfers of personal data in accordance with paragraph 1.*
2. By way of derogation from paragraph 1, the Executive Director may authorise the transfer of personal data to third countries or international organisations on a case-by-case basis if:
- ~~(a) the transfer of the data is absolutely necessary to safeguard the essential interests of one or more Member States within the scope of Europol's objectives;~~
  - ~~(b) the transfer of the data is absolutely necessary in the interests of preventing imminent danger associated with crime or terrorist offences;~~
  - ~~(c) the transfer is otherwise necessary or legally required on important public interest grounds, or for the establishment, exercise or defence of legal claims; or~~
  - ~~(d) the transfer is necessary to protect the vital interests of the data subject or another person:~~
    - (aa) the transfer is necessary in order to protect the vital interests of the data subject or another person; or**
    - (bb) the transfer is necessary to safeguard legitimate interests of the data subject where the law of the Member State transferring the personal data so provides; or**
    - (cc) the transfer of the data is essential for the prevention of an immediate and serious threat to public security of a Member State or a third country; or**
    - (dd) the transfer is necessary in individual cases for the purposes of prevention, investigation, detection or prosecution of criminal offences or the execution of criminal sanctions; or**
    - (ee) the transfer is necessary in individual cases for the establishment, exercise or defence of legal claims relating to the prevention, investigation, detection or prosecution of a specific criminal offence or the execution of a specific criminal sanction.**
- 2a 3a.** ~~Moreover~~ *By way of derogation from paragraph 1, the Management Board may, in agreement with the European Data Protection Supervisor, authorise a set of transfers in conformity with paragraph 2, points (aa) to (dee) above, taking into account of the existence of safeguards with respect to the protection of privacy and fundamental rights and freedoms of individuals, for a period not exceeding one year, renewable. Such authorisation shall be duly justified and documented.*
3. The Executive Director shall *as soon as possible* inform the Management Board and the European Data Protection Supervisor of cases where he/she applied paragraph 2.

Article 32

**Personal data from private parties**

1. In so far as necessary for Europol to perform its tasks, Europol may process personal data ~~originating~~ from private parties on condition that **they** are received via:
- (a) a ~~n~~National ~~u~~Unit of a Member State in accordance with national law;
  - (b) the contact point of a third country **or an international organisation** with which Europol has concluded a cooperation agreement **allowing for the exchange of personal data** in accordance with Article 23 of the Decision 2009/371/JHA prior to date of application of this Regulation; or
  - (c) an authority of a third country or an international organisation **which is subject to an adequacy decision as referred to in Article 31(1)(a)** or with which the Union has concluded an international agreement pursuant to Article 218 of the Treaty.

1a<sup>56</sup>. *In cases where Europol nonetheless receives personal data directly from private parties and where the National Unit, contact point or authority concerned referred to in paragraph 1 cannot be identified, Europol may process that personal data solely for the purpose of identifying these entities. Subsequently, the personal data shall be forwarded immediately to the National Unit, contact point or authority concerned who shall define in accordance with Article 25(1), where relevant, the purpose for which Europol may further process the data.*

---

<sup>56</sup> UK reservation.

- 1b.** *If Europol receives personal data from a private party in a third country with which there is no agreement, either concluded on the basis of Article 23 of Decision 2009/371/JHA or on the basis of Article 218 of the Treaty or which is not subject to an adequacy decision as referred to in Article 31(1)(a), Europol may forward that information only to a Member State, or a third country concerned with which such agreement has been concluded.*
2. If the *personal* data received affect the interests of a Member State, Europol shall immediately inform the National Unit of the Member State concerned.
- 23a.** *Europol may not transfer personal data to private parties except where, subject to any possible restrictions stipulated pursuant to Article 25(2) or (3) and Article 69:*
- (a) *the transfer is undoubtedly in the interests of the data subject and either the data subject has consented or circumstances are such as to allow a clear presumption of such a consent; or*
- (b) *the transfer is absolutely necessary in the interests of preventing imminent danger associated with crime or terrorist offences.*
3. Europol shall not contact private parties directly to retrieve personal data.
- ~~4. The Commission shall evaluate the necessity and possible impact of direct exchanges of personal data with private parties within three years after this Regulation is applicable. Such an evaluation shall specify among others the reasons whether the exchanges of personal data with private parties is necessary for Europol.<sup>57</sup>~~

### Article 33

#### Information from private persons

1. *In so far as necessary for Europol to perform its tasks, Europol may receive and process information, including personal data, originating from private persons may be processed by Europol. Personal data originating from private persons may only be processed by Europol on condition that that it is they are received via:*
- (a) a National Unit of a Member State in accordance with national law;
- (b) the contact point of a third country *or an international organisation* with which Europol has concluded a cooperation agreement *allowing for the exchange of personal data* in accordance with Article 23 of the Decision 2009/371/JHA prior to the date of application of this Regulation; or
- (c) an authority of a third country or an international organisation *which is subject to an adequacy decision as referred to in Article 31(1)(a) or* with which the European Union has concluded an international agreement pursuant to Article 218 of the Treaty.

---

<sup>57</sup> Included in Article 70.

2. If Europol receives information, including personal data, from a private person residing in a third country with which there is no international agreement, either concluded on the basis of Article 23 of Decision 2009/371/JHA or on the basis of Article 218 of the Treaty *or which is not subject to an adequacy decision as referred to in Article 31(1)(a)*, Europol may only forward that information to a Member State or a third country concerned with which such an international agreements ~~have~~ has been concluded.
- 2a. *If the personal data received affect the interests of a Member State, Europol shall immediately inform the National Unit of the Member State concerned.*
3. Europol shall not contact private persons directly to retrieve information.
4. *Without prejudice to Articles 39 and 40, Europol may not transfer personal data to private persons.*

## Chapter VII

### DATA PROTECTION SAFEGUARDS<sup>58</sup>

#### *Article 34<sup>59</sup>*

#### **General data protection principles**

Personal data shall be:

- (a) processed fairly and lawfully;
- (b) collected for specified, explicit and legitimate purposes and not further processed in a manner incompatible with those purposes. Further processing of personal data for historical, statistical or scientific purposes shall not be considered incompatible provided that Europol provides appropriate safeguards, in particular to ensure that data are not processed for any other purposes;
- (c) adequate, relevant, and not excessive in relation to the purposes for which they are processed;
- (d) accurate and, ~~where necessary~~, kept up to date; every reasonable step must be taken to ensure that personal data that are inaccurate, having regard to the purposes for which they are processed, are erased or rectified without delay;
- (e) kept in a form which permits identification of data subjects ~~and~~ for no longer than necessary for the purposes for which the personal data are processed;
- (f) *processed in a manner that ensures appropriate security of personal data and confidentiality of data processing.*

---

<sup>58</sup> This Chapter, where relevant, would be aligned with the data protection package.

<sup>59</sup> DE scrutiny reservation.

~~Different degrees~~ **Assessment of accuracy and reliability and accuracy of personal data**

1. The **reliability of the** source of information originating from a Member State shall be assessed as far as possible by the providing Member State using the following source evaluation codes:
  - (A): where there is no doubt as to the authenticity, trustworthiness and competence of the source, or if the information is provided by a source which has proved to be reliable in all instances;
  - (B): where the information is provided by a source which has in most instances proved to be reliable;
  - (C): where the information is provided by a source which has in most instances proved to be unreliable;
  - (X): where the reliability of the source cannot be assessed.
2. **The accuracy of** information originating from a Member State shall be assessed as far as possible by the Member State providing information on the basis of its reliability using the following information evaluation codes:
  - (1): information the accuracy of which is not in doubt;
  - (2): information known personally to the source but not known personally to the official passing it on;
  - (3): information not known personally to the source but corroborated by other information already recorded;
  - (4): information not known personally to the source and cannot be corroborated.
3. Where Europol, on the basis of information already in its possession, comes to the conclusion that the assessment needs to be corrected, it shall inform the Member State concerned and seek to agree on an amendment to the assessment. Europol shall not change the assessment without such agreement.
4. Where Europol receives information from a Member State without an assessment, Europol shall attempt as far as possible to assess the reliability of the source or the **accuracy of** information on the basis of information already in its possession. The assessment of specific data and information shall take place in agreement with the supplying Member State. A Member State may also agree with Europol in general terms on the assessment of specified types of data and specified sources. If no agreement is reached in a specific case, or no agreement in general terms exists, Europol shall evaluate the information or data and shall attribute to such information or data the evaluation codes (X) and (4), referred to in paragraphs 1 and 2.

---

<sup>60</sup> DE scrutiny reservation.

5. Where Europol receives data or information from a *Union body*, third country, or international organisation, or ~~Union body~~ *a private party*, this Article shall apply accordingly.
6. Information from publicly-available sources shall be assessed by Europol using the evaluation codes set out in paragraphs 1 and 2.
7. ***Where information is the result of an analysis made by Europol in the performance of its tasks, Europol shall assess such information in accordance with this Article, and in agreement with the Member States participating in the analysis.***

### Article 36

#### Processing of special categories of personal data and of different categories of data subjects

1. Processing of personal data on victims of a criminal offence, witnesses or other persons who can provide information on criminal offences, or on persons under the age of 18 ~~shall be prohibited unless~~ ***shall be allowed if*** it is strictly necessary for preventing or combating crime that falls under Europol's objectives.
- 2.<sup>61</sup> Processing of personal data, by automated or other means, ~~revealing~~ ***solely on the basis of*** racial or ethnic origin, political opinions, religion or beliefs, trade-union membership and of data concerning health or sex life ~~shall be prohibited, unless~~ ***shall be allowed if*** it is strictly necessary for preventing or combating crime that falls under Europol's objectives and if those data supplement other personal data ~~already~~ processed by Europol. ***The selection of a particular group of persons solely on the basis of such personal data shall be prohibited.***
3. Only Europol shall have *direct* access to personal data referred to in paragraphs 1 and 2. The Executive Director shall duly authorise a limited number of officials who would have such access, if this is necessary for the performance of their tasks.
4. No decision *by a competent authority* which produces *adverse* legal effects concerning a data subject shall be based solely on automated processing of data referred to in paragraph 2, unless the decision is expressly authorised pursuant to national or Union legislation ~~or, if necessary, by the European Data Protection Supervisor.~~
5. Personal data referred to in paragraphs 1 and 2 shall not be transmitted to Member States, Union bodies, third countries or international organisations unless strictly necessary in individual cases concerning crime that falls under Europol's objectives ***and in accordance with the rules laid down in Chapter VI.***
- ~~6. Every six months Upon request of the European Data Protection Supervisor Europol shall provide an *a statistical* overview of all personal data referred to in paragraph 2 processed by it to the European Data Protection Supervisor.~~

---

<sup>61</sup> SI reservation.

Article 37

**Time-limits for the storage and erasure of personal data**

1. Personal data processed by Europol shall be stored by Europol only as long as necessary for the ~~achievement of its objectives~~ **performance of its tasks**.
2. Europol shall in any case review the need for continued storage no later than three years after the start of initial processing of personal data. Europol may decide on the continued storage of personal data until the following review, which shall take place after another period of three years, if continued storage is still necessary for the performance of Europol's tasks. The reasons for the continued storage shall be justified and recorded. If no decision is taken on the continued storage of personal data, that data shall be erased automatically after three years.
3. If **personal** data ~~concerning persons~~ referred to in Article 36(1) and (2) are stored for a period exceeding five years, the European Data Protection Supervisor shall be informed accordingly.
4. Where a Member State, a Union body, a third country or an international organisation has indicated any restriction as regards the earlier erasure or destruction of the personal data at the moment of transfer in accordance with Article 25(2), Europol shall erase the personal data in accordance with those restrictions. If continued storage of the data is deemed necessary for Europol to perform its tasks, based on information that is more extensive than that possessed by the data provider, Europol shall request the authorisation of the data provider to continue storing the data and present a justification for such a request.
5. Where a Member State, a Union body, a third country or an international organisation erases from its ~~national~~ **own** data files data provided to Europol, it shall inform Europol accordingly. Europol shall erase the data unless the continued storage of the data is deemed necessary for Europol to ~~achieve its objectives~~ **perform its tasks**, based on information that is more extensive than that possessed by the data provider. Europol shall inform the data provider of the continued storage of such data and present a justification of such continued storage.
6. Personal data shall not be erased if:
  - (a) this would damage the interests of a data subject who requires protection. In such cases, the data shall be used only with the consent of the data subject.
  - (b) their accuracy is contested by the data subject, for a period enabling Member States or Europol, where appropriate, to verify the accuracy of the data;
  - (c) the personal data have to be maintained for purposes of proof;
  - ~~(d) the data subject opposes their erasure and requests the restriction of their use instead.~~



Article 38

**Security of processing**

1. Europol shall implement appropriate technical and organisational measures to protect personal data against accidental or unlawful destruction, accidental loss or unauthorised disclosure, alteration and access or any other unauthorised form of processing.
2. In respect of automated data processing, Europol *and each Member State* shall implement measures designed to:
  - (a) deny unauthorised persons access to data-processing equipment used for processing personal data (equipment access control);
  - (b) prevent the unauthorised reading, copying, modification or removal of data media (data media control);
  - (c) prevent the unauthorised input of data and the unauthorised inspection, modification or deletion of stored personal data (storage control);
  - (d) prevent the use of automated data-processing systems by unauthorised persons using data-communication equipment (user control);
  - (e) ensure that persons authorised to use an automated data-processing system have access only to data covered by their access authorisation (data access control);
  - (f) ensure that it is possible to verify and establish to which bodies personal data may be or have been transmitted using data communication equipment (communication control);
  - (g) ensure that it is possible to verify and establish which personal data have been input into automated data-processing systems and when and by whom the data were input (input control);
  - (h) prevent the unauthorised reading, copying, modification or deletion of personal data during transfers of personal data or during the transportation of data media (transport control);
  - (i) ensure that installed systems may, in the event of interruption, be restored immediately (recovery);
  - (j) ensure that the functions of the system perform without fault, that the occurrence of faults in the functions is immediately reported (reliability) and that stored data cannot be corrupted by system malfunctions (integrity).
3. Europol and Member States shall define mechanisms to ensure that security needs are taken on board across information system boundaries.

4. *In case of a security breach, involving personal data, Europol shall notify, without undue delay and, where feasible, not later than 24 hours after having become aware of it, the breach to the Data Protection Officer, to the European Data Protection Supervisor as well as to Member States affected.*

Article 39<sup>62</sup>

**Right of access for the data subject**

1. Any data subject shall have the right, at reasonable intervals, to obtain information on whether personal data relating to him/her are processed by Europol.
- ~~1~~ 4a. ~~Where such personal data are being processed,~~ *Without prejudice to paragraph 4,* Europol shall provide the following information to the data subject:
  - (a) confirmation as to whether or not data related to him/her are being processed;
  - (b) information at least as to the purposes of the processing operation, the categories of data concerned, and the recipients *or the categories of recipients* to whom the data are disclosed;
  - (c) ~~communication in an intelligible form of the data undergoing processing and of any available information as to their sources;~~
  - (d) *where possible, the envisaged period for which the personal data will be stored;*
  - (e) *the existence of the right to request from Europol rectification, erasure or restriction of processing of personal data concerning the data subject.*
2. Any data subject wishing to exercise the right of access to personal data *relating to him/her* may make a request to that effect without excessive costs to the authority appointed for this purpose in the Member State of his/her choice. That authority shall refer the request to Europol without delay and in any case within one month of receipt.
3. Europol shall answer the request without undue delay and in any case within three months of its receipt *by Europol*.
4. Europol shall consult the ~~competent authorities of the Member States~~ *provider of the data* concerned on a decision to be taken. A decision on access to data shall be conditional on close cooperation between Europol and the ~~Member States~~ *provider of the data* directly concerned by the access of the data subject to such data. If a ~~Member State~~ *provider of the data* objects to Europol's proposed response, it shall notify Europol of the reasons for its objection *in line with paragraph 5. Europol shall comply with any such objection.*

---

<sup>62</sup> NL reservation.

5. Access to personal data *based on any requests made under ~~Article 39~~ paragraph 1* shall be refused or restricted, if it constitutes a necessary measure to:
- (a) enable Europol to fulfil its tasks properly;
  - (b) protect security and public order ~~in the Member States~~ or to prevent crime;
  - (c) guarantee that any national investigation will not be jeopardised; *or*
  - (d) protect the rights and freedoms of third parties.

**When the applicability of an exemption is assessed, the interests of the person concerned shall be taken into account.**

6. Europol shall inform the data subject in writing on any refusal or restriction of access, on the reasons for such a decision and of his right to lodge a complaint to the European Data Protection Supervisor. ~~Information on the factual and legal reasons on which the decision is based may be omitted.~~ Where the provision of such information would deprive the restriction imposed by paragraph 5 of its effect, ***Europol shall only notify the data subject concerned that it has carried out the checks without giving any information which might reveal to him/her whether or not personal data concerning him/her are processed by Europol.***

#### Article 40

##### Right to rectification, erasure and blocking

1. Any data subject shall have the right to ask ~~Europol~~ ***the authority appointed for this purpose in the Member State of his/her choice*** to rectify personal data relating to him/her ***held by Europol*** if they are incorrect and, where this is possible and necessary, to complete or update them. ***That authority shall refer the request to Europol without delay and in any case within one month of receipt.***
2. Any data subject shall have the right to ask ~~Europol~~ ***the authority appointed for this purpose in the Member State of his/her choice*** to erase personal data relating to him/her ***held by Europol***, if they are no longer required for the purposes for which they are lawfully collected or are lawfully further processed. ***That authority shall refer the request to Europol without delay and in any case within one month of receipt.***
3. Personal data shall be blocked rather than erased if there are reasonable grounds to believe that erasure could affect the legitimate interests of the data subject. Blocked data shall be processed only for the purpose that prevented their erasure.
4. If data as described in paragraphs 1, ***and 2 and 3*** held by Europol have been provided to it by third countries, international organisations, ***Union bodies, directly provided by private parties or have been retrieved by Europol from publicly-available sources*** or are the results of Europol's own analyses, Europol shall rectify, erase or block such data.

5. If data as described in paragraphs 1 and 2 held by Europol have been provided ~~directly~~ to Europol by Member States, the Member States concerned shall rectify, erase or block such data.
6. If incorrect data were transferred by another appropriate means or if the errors in the data provided by Member States are due to faulty transfer or ~~were transferred~~ in breach of this Regulation or if they result from their data being input, taken over or stored in an incorrect manner or in breach of this Regulation by Europol, Europol shall rectify or erase the data in collaboration with the ~~Member States~~ **provider of the data** concerned.
7. In the cases referred to in paragraphs 4, 5 and 6 all addressees of such data shall be notified forthwith. In accordance with rules applicable to them, the addressees<sub>2</sub> shall then rectify, erase or block these data in their systems.
8. Europol shall inform the data subject in writing without undue delay and in any case within three months **of receipt of the request** that data concerning him/her have been rectified, erased or blocked.
- 8a. **Rectification, eErasure or blocking shall be refused or restricted, if it constitutes a necessary measure to:**
  - (a) *enable Europol to fulfil its tasks properly;*
  - (b) *protect security and public order or to prevent crime;*
  - (c) *guarantee that any national investigation will not be jeopardised; or*
  - (d) *protect the rights and freedoms of third parties.*
9. Europol shall inform the data subject in writing ***within three months of receipt of the request*** on any refusal ~~of rectification~~, of erasure or blocking, ***the reasons for such a decision*** and of the possibility of lodging a complaint with the European Data Protection Supervisor and seeking a judicial remedy.

#### *Article 41*

#### **Responsibility in data protection matters**

1. Europol shall store personal data in a way that ensures ***that*** its source according to Article 23 can be established.
2. The responsibility for the quality of personal data as referred to in Article 34(d) shall lie with the Member State ***and the Union body*** which provided the personal data to Europol and with Europol for personal data provided by ~~Union bodies~~, third countries, ~~or~~ international organisations ***or directly provided by private parties***, as well ***as*** for personal data retrieved by Europol from publicly-available sources ***or which result from Europol's own analyses and for data stored by Europol in accordance with Article 37(5).***

- 2a. *If Europol becomes aware that personal data provided pursuant to Article 23(1)(a) and (b) are factually incorrect or have been unlawfully stored, it shall inform the provider of that data accordingly.*
3. The responsibility for compliance with the principles as specified in Article 34(a), (b), (c), ~~and~~ (e) **and (f)** shall lie with Europol.
4. The responsibility for the legality of transfer shall lie:
- (a) with the Member State which provided the data in the case of personal data provided by the Member States to Europol; and
  - (b) with Europol in the cases of personal data provided by Europol to Member States, and third countries or international organisations.
5. In case of a transfer between Europol and a Union body, the responsibility for the legality of the transfer shall lie with Europol. Without prejudice to the preceding sentence, where the data are transferred by Europol following a request from the recipient, both Europol and recipient shall bear the responsibility for the legality of this transfer. ~~In addition, Europol shall be responsible for all data processing operations carried out by it.~~
6. **Europol shall be responsible for all data processing operations carried out by it with the exception of the bilateral exchange of data using Europol's infrastructure between Member States, Union bodies, third countries and international organisations to which Europol has no access. Such exchange shall take place under the responsibility of the concerned entities and in accordance with their law.**

Article 42<sup>63</sup>

**Prior ~~checking~~ consultation<sup>64</sup>**

1. The processing of personal data which will form part of a new ~~filing system~~ **procedures and business processes referred to in Article 14(1)(oc)** to be created shall be subject to prior ~~checking~~ **consultation** where:
- (a) special categories of data referred to in Article 36(2) are to be processed;
  - (b) the type of processing, in particular using new technologies, mechanisms or procedures, holds otherwise specific risks for the ~~fundamental rights and freedoms, and in particular the protection of personal data, of data subjects.~~
2. The prior ~~checks~~ **consultation** shall be carried out by the European Data Protection Supervisor following receipt of a notification from the Data Protection Officer ~~who, in case of doubt as to the need for prior checking, shall consult the European Data Protection Supervisor.~~

<sup>63</sup> NL reservation.

<sup>64</sup> This Article may be subject to redrafting to take account of the discussions on the "data protection" package.

3. The European Data Protection Supervisor shall deliver his/her opinion *to the Management Board* within two months following receipt of the notification. This period may be suspended until the European Data Protection Supervisor has obtained any further information that he/she may have requested. ~~When the complexity of the matter so requires, this period may also be extended for a further two months, by decision of the European Data Protection Supervisor. This decision shall be notified to Europol prior to expiry of the initial two-month period.~~

~~If the opinion has not been delivered by the end of the two-month period, or any extension thereof, after 4 months it shall be deemed to be favourable.~~

~~If the opinion of the European Data Protection Supervisor is that the notified processing may involve a breach of any provision of this Regulation, he/she shall where appropriate make proposals to avoid such breach. Where Europol does not modify the processing operation accordingly, the European Data Protection Supervisor may exercise the powers granted to him/her under Article 46(3).~~

- ~~4. The European Data Protection Supervisor shall keep a register of all processing operations *new procedures and business processes that* have been notified to him/her pursuant to paragraph 1. Such a register shall be integrated into the register referred to in Article 27(5) of Regulation (EC) No 45/2001.~~

#### Article 43

#### Logging and documentation

1. For the purposes of verifying the lawfulness of data processing, self-monitoring and ensuring proper data integrity and security Europol shall keep records of collection, alteration, access, disclosure, combination or erasure of personal data. Such logs or documentation shall be deleted after three years, unless ~~the data~~ **they** are further required for on-going control. There shall be no possibility to modify the logs.
2. Logs or documentation prepared under paragraph 1 shall be communicated on request to the European Data Protection Supervisor ~~for the control of data protection~~. The European Data Protection Supervisor shall use that information only for the control of data protection and ensuring proper data processing as well as data integrity and security.
3. ***Logs and documentation shall be communicated to the National Unit concerned upon its request as required for a specific investigation.***

Article 44

**Data Protection Officer**

1. The Management Board shall appoint a Data Protection Officer who shall be a member of the staff. In the performance of his/her duties, he/she shall act independently.
2. The Data Protection Officer shall be selected on the basis of his/her personal and professional qualities and, in particular, the expert knowledge of data protection.
3. The selection of the Data Protection Officer shall not be liable to result in a conflict of interests between his/her duty as Data Protection Officer and any other official duties, in particular in relation to the application of the provisions of this Regulation.
4. The Data Protection Officer shall be appointed for a term of ~~between two and five~~ **four** years. He/she shall be eligible for reappointment up to a maximum total term of ~~ten~~ **eight** years. He/she may be dismissed from the post of Data Protection Officer by the ~~Community institution or body which appointed him/her~~ **Management Board** only with the consent of the European Data Protection Supervisor, if he/she no longer fulfills the conditions required for the performance of his/her duties.
5. After his/her appointment the Data Protection Officer shall be registered with the European Data Protection Supervisor by the ~~institution or body which appointed him/her~~ **Management Board**.
6. With respect to the performance of his/her duties, the Data Protection Officer may not receive any instructions.
7. The Data Protection Officer shall in particular have the following tasks with regard to personal data, with the exception of ~~personal data of Europol staff members as well as~~ administrative personal data:
  - (a) ensuring, in an independent manner, ~~lawfulness and compliance with~~ **the internal application of** the provisions of this Regulation concerning the processing of personal data;
  - (b) ensuring that a record of the transfer and receipt of personal data is kept in accordance with this Regulation;
  - (c) ensuring that data subjects are informed of their rights under this Regulation at their request;
  - (d) cooperating with Europol staff responsible for procedures, training and advice on data processing;
  - (e) cooperating with the European Data Protection Supervisor;
  - (f) preparing an annual report and communicating that report to the Management Board and to the European Data Protection Supervisor.

8. Moreover, the Data Protection Officer shall carry out the functions foreseen by Regulation (EC) No 45/2001 with regard to ~~personal data of Europol staff members as well as~~ administrative personal data.
9. In the performance of his/her tasks, the Data Protection Officer shall have access to all the data processed by Europol and to all Europol premises.
10. If the Data Protection Officer considers that the provisions of this Regulation concerning the processing of personal data have not been complied with, he/she shall inform the Executive Director, requiring him/her to resolve the non-compliance within a specified time. If the Executive Director does not resolve the non-compliance of the processing within the time specified, the Data Protection Officer shall inform the Management Board and they shall agree a specified time for a response. If the Management Board does not ~~resolve the non-compliance of the processing~~ **provide a reply** within the time specified, the Data Protection Officer shall refer the matter to the European Data Protection Supervisor.
11. The Management Board shall adopt implementing rules concerning the Data Protection Officer. Those implementing rules shall in particular concern the selection procedure for the position of the Data Protection Officer and his/her dismissal, tasks, duties and powers and safeguards for independence of the Data Protection Officer. Europol shall provide the Data Protection Officer with the staff and resources necessary for him/her to carry out his/her duties. These staff members shall have access to **all** the ~~personal~~ data processed at Europol and to Europol premises only to the extent necessary for the performance of their tasks.
12. ***Data Protection Officer and his/her staff shall be bound by the obligation of confidentiality in accordance with Article 69.***



## Article 45

### Supervision by the national supervisory authority

1. Each Member State shall designate a national supervisory authority with the task of monitoring independently, in accordance with its national law, the permissibility of the transfer, the retrieval and any communication to Europol of personal data by the Member State concerned and to examine whether such transfer, retrieval or communication violates the rights of the data subject. For this purpose, the national supervisory authority shall have access, at the National Unit or at liaison officers' premises, to data submitted by its Member State to Europol in accordance with the relevant national procedures ***and to logs and documentation referred to in Article 43.***
2. For the purpose of exercising their supervisory function, national supervisory authorities shall have access to the offices and documents of their respective liaison officers at Europol.
3. National supervisory authorities shall, in accordance with the relevant national procedures, supervise the activities of National Units and the activities of liaison officers, in so far as such activities are of relevance to the protection of personal data. They shall also keep the European Data Protection Supervisor informed of any actions they take with respect to Europol.
4. Any person shall have the right to request the national supervisory authority to verify that the transfer or communication to Europol of data concerning him/her in any form and the access to the data by the Member State concerned are lawful. This right shall be exercised in accordance with the national law of the Member State in which the request is made.

## Article 46

### Supervision by the European Data Protection Supervisor

1. The European Data Protection Supervisor shall be responsible for monitoring and ensuring the application of the provisions of this Regulation relating to the protection of fundamental rights and freedoms of natural persons with regard to processing *of* personal data by Europol, and for advising Europol and data subjects on all matters concerning the processing of personal data. To this end, he/ she shall fulfil the duties set out in paragraph 2, ~~and~~ shall exercise the powers granted in paragraph 3 ***and shall cooperate with the national supervisory authorities in accordance with Article 47.***

2. The European Data Protection Supervisor shall have the following duties under this Regulation:
- (a) hear and investigate complaints, and inform the data subject of the outcome within a reasonable period;
  - (b) conduct inquiries either on his/her own initiative or on the basis of a complaint, and inform the data subjects of the outcome within a reasonable period;
  - (c) monitor and ensure the application of the provisions of this Regulation and any other Union act relating to the protection of natural persons with regard to the processing of personal data by Europol;
  - (d) advise Europol, either on his/her own initiative or in response to a consultation, on all matters concerning the processing of personal data, in particular before they it draws up internal rules relating to the protection of fundamental rights and freedoms with regard to the processing of personal data;
  - ~~(e) determine, give reasons for and make public the exemptions, safeguards, authorisations and conditions **authorise, if necessary, decisions** mentioned in Article 36(4);~~
  - ~~(f) keep a register of processing operations notified to him/her by virtue of Article 42(1) and registered in accordance with 42(4);~~
  - (g) carry out a prior ~~check of~~ **consultation on** processing notified to him/her.
3. The European Data Protection Supervisor may under this Regulation, **and, where relevant, taking into account the implications for law enforcement activities by the Member States**:
- (a) give advice to data subjects in the exercise of their rights;
  - (b) refer the matter to Europol in the event of an alleged breach of the provisions governing the processing of personal data, and, where appropriate, make proposals for remedying that breach and for improving the protection of the data subjects;
  - (c) order that requests to exercise certain rights in relation to data be complied with where such requests have been refused in breach of Articles 39 and 40;
  - (d) warn or admonish Europol;
  - (e) order the rectification, blocking, erasure or destruction of all data ~~when they~~ **which** have been processed in breach of the provisions governing the processing of personal data and the notification of such actions to third parties to whom ~~the~~ **such** data have been disclosed;

- (f) impose a temporary or definitive ban on *specific processing operations in breach of the provisions governing the processing of personal data*;
  - (g) refer the matter to Europol and, if necessary, to the European Parliament, the Council and the Commission;
  - (h) refer the matter to the Court of Justice of the European Union under the conditions provided for in the Treaty;
  - (i) intervene in actions brought before the Court of Justice of the European Union.
4. The European Data Protection Supervisor shall have the power:
- (a) to obtain from Europol access to all personal data and to all information necessary for his/her enquiries;
  - (b) to obtain access to any premises in which Europol carries on its activities when there are reasonable grounds for presuming that an activity covered by this Regulation is being carried out there.
5. The European Data Protection Supervisor shall draw up an annual report on the supervisory activities on Europol. ***The national supervisory authorities shall be invited to make observations on this report, before it becomes*** ~~This report shall be~~ part of the annual report of the European Data Protection Supervisor referred to in Article 48 of Regulation (EC) No 45/2001.
6. Members and staff of the European Data Protection Supervisor shall be bound by the obligation of confidentiality in accordance with Article 69.

*Article 47<sup>65</sup>*

**Cooperation between the European Data Protection Supervisor and national data protection supervisory authorities**

1. The European Data Protection Supervisor shall act in close cooperation with national supervisory authorities on specific issues requiring national involvement, in particular if the European Data Protection Supervisor or a national supervisory authority finds major discrepancies between the practices of Member States or potentially unlawful transfer in the use of Europol's channels for exchange of information, or in the context of questions raised by one or more national supervisory authorities on the implementation and interpretation of this Regulation.

---

<sup>65</sup> BE, DE, ES, FR, HR, IT, NL, PL, SI reservations.

2. ~~In the cases referred to in paragraph 1~~ *The European Data Protection Supervisor shall, where relevant, use the expertise and experience of national data protection supervisory authorities in carrying out his/her duties set out in Article 46(2). In carrying out joint inspections together with the European Data Protection Supervisor, members and staff of national supervisory authorities shall, taken due account of the principle of subsidiarity and proportionality, have equivalent powers as those laid down in Article 46(4) and be bound by an equivalent obligation as that laid down in Article 46(6).* †The European Data Protection Supervisor and the national supervisory authorities shall, each acting within the scope of their respective competences, exchange relevant information, assist each other in carrying out audits and inspections, examine difficulties of interpretation or application of this Regulation, study problems relating to the exercise of independent supervision or the exercise of the rights of data subjects, draw up harmonised proposals for joint solutions to any problems and promote awareness of data protection rights, as necessary.
- 2a. *The European Data Protection Supervisor shall keep national supervisory authorities fully informed of all issues relevant to them. Upon request of one or more national supervisory authorities, the European Data Protection Supervisor shall inform them on specific issues.*
- 2b. *In specific cases relating to data originating from one or several Member States, the European Data Protection Supervisor shall consult the national supervisory authorities concerned. The European Data Protection Supervisor shall not decide on further action to be taken before those national supervisory authorities have informed the European Data Protection Supervisor of their position, within a deadline specified by him/her which shall not be shorter than one month. The European Data Protection Supervisor shall take utmost account of the position of the national supervisory authorities concerned. In cases where the European Data Protection Supervisor intends not to follow their position, he/she shall inform them and provide a justification.*
- In cases which the European Data Protection Supervisor deems to be extremely urgent, he/she may decide to take immediate action. In such cases, the European Data Protection Supervisor shall immediately inform the national supervisory authorities concerned and justify the urgent nature of the situation as well as the action he/she has taken.*
3. The national supervisory authorities and the European Data Protection Supervisor shall meet, where needed *and at least twice a year to determine, together preferably by consensus, general policy and strategy or to discuss other issues referred to in paragraphs 1 and 2.* The costs and servicing of such meetings shall be borne by the European Data Protection Supervisor. Rules of procedure shall be adopted at the first meeting. Further working methods shall be developed jointly as necessary.

#### Article 48

#### **Administrative personal data ~~and Staff data~~**

Regulation (EC) No 45/2001 shall apply to all ~~personal data of Europol staff members as well as~~ administrative personal data held by Europol.

## Chapter VIII

### REMEDIES AND LIABILITY

#### *Article 49*

##### **Right to lodge a complaint with the European Data Protection Supervisor**

1. Any data subject shall have the right to lodge a complaint with the European Data Protection Supervisor, if he/she considers that the processing of personal data relating to him/her does not comply with the provisions of this Regulation.
2. Where a complaint relates to a decision as referred to in Article 39 or 40, the European Data Protection Supervisor shall consult the national supervisory bodies ~~or the competent judicial body~~ in the Member State that was the source of the data or the Member State directly concerned. The decision of the European Data Protection Supervisor, which may extend to a refusal to communicate any information, shall be taken ~~in close cooperation with~~ **taking into account the opinion of** the national supervisory body ~~or competent judicial body~~.
3. Where a complaint relates to the processing of data provided by a Member State to Europol, the European Data Protection Supervisor shall ensure that the necessary checks have been carried out correctly ~~in close cooperation with~~ **taking into account the opinion of** the national supervisory body of the Member State that provided the data.
4. Where a complaint relates to the processing of data provided to Europol by ~~EU entities~~ **Union bodies**, third countries or international organisations, **or data retrieved by Europol from publicly-available sources or which result from Europol's own analyses** the European Data Protection Supervisor shall ensure that Europol has carried out the necessary checks.

#### *Article 50*

##### **Right to a judicial remedy against the European Data Protection Supervisor**

Actions against the decisions of the European Data Protection Supervisor shall be brought before the Court of Justice of the European Union.

## *Article 51*

### **General provisions on liability and the right to compensation**

1. Europol's contractual liability shall be governed by the law applicable to the contract in question.
2. The Court of Justice of the European Union shall have jurisdiction to give judgment pursuant to any arbitration clause in a contract concluded by Europol.
3. Without prejudice to Article 52, in the case of non-contractual liability, Europol shall, in accordance with the general principles common to the laws of the Member States, make good any damage caused by its departments or by its staff in the performance of their duties.
4. The Court of Justice of the European Union shall have jurisdiction in disputes over compensation for damages referred to in paragraph 3.
5. The personal liability of Europol staff towards Europol shall be governed by the provisions laid down in the Staff Regulations or Conditions of Employment applicable to them.

## *Article 52*

### **Liability for incorrect personal data processing and the right to compensation**

1. Any individual who has suffered damage as a result of an unlawful data processing operation shall have the right to receive compensation for damage suffered either from Europol in accordance with Article 340 of the Treaty, or from the Member State in which the event that gave rise to the damage occurred, in accordance with its national law. The individual shall bring an action against Europol to the Court of Justice of the European Union or against the Member State to a competent national court of this Member State.
2. Any dispute between Europol and Member States over the ultimate responsibility for compensation awarded to an individual in accordance with paragraph 1 shall be referred to the Management Board, which shall decide by a majority of two-third of its members, without prejudice of the right to challenge this decision in accordance with ~~Article 263~~ TFEU Treaty.

## Chapter IX

### PARLIAMENTARY SCRUTINY

#### Article 53

##### Parliamentary scrutiny

1. The Chairperson of the Management Board and/or the Executive Director *or their Deputies* shall appear before the European Parliament, jointly with national Parliaments, at their request to discuss *non-operational* matters relating to Europol, taking into account the obligations of discretion and confidentiality.
2. Parliamentary scrutiny by the European Parliament, together with national Parliaments, of Europol's activities shall be exercised in accordance with this Regulation *taking into account Europol's character as an operational law enforcement agency*.
3. In addition to the obligations of information and consultation set out in this Regulation, Europol shall *where relevant* transmit to the European Parliament and to the national ~~Parliaments~~ Parliaments, taking into account the obligations of discretion and confidentiality, for information:
  - (a) threat assessments, strategic analyses and general situation reports relating to Europol's objective as well as the results of studies and evaluations commissioned by Europol;
  - (b) the ~~working~~ *administrative* arrangements adopted pursuant to Article 31(1).

#### Article 54

##### Access of the European Parliament to *sensitive and Classified Information* processed by or through Europol

1. For the purpose of enabling it to exercise parliamentary scrutiny of Europol's activities in accordance with Article 53(2), access to *sensitive and* European Union Classified Information ~~and sensitive non-classified information~~ processed by or through Europol may be granted to the European Parliament ~~and its representatives~~ upon request.
2. Access to *sensitive and* European Union Classified Information ~~and sensitive non-classified information~~ *processed by or through Europol* shall be in compliance with ~~the basic principles and minimum standards as referred to in~~ *the rules referred to in* Article 69. The details shall be governed by a working arrangement concluded between Europol and the European Parliament.

## Chapter X

### STAFF

#### Article 55

#### General provisions

1. The Staff Regulations and the Conditions of Employment of Other Servants and the rules adopted by agreement between the institutions of the Union for giving effect to those Staff Regulations and the conditions of Employment of other Servants shall apply to the staff of Europol with the exception of staff who at the date of application of this Regulation are under contracts concluded by Europol as established by the Europol Convention without prejudice to Article 75(5).
2. Europol staff shall consist of temporary staff and/or contract staff. The Management Board shall decide which temporary posts provided for in the establishment plan can be filled only by staff engaged from the competent authorities of the Member States. Staff recruited to occupy such posts shall be temporary agents and may be awarded only fixed-term contracts renewable once for a fixed period. ~~Member States shall take the necessary measures to ensure that staff engaged from the competent authorities of the Member States may, at the end of the service at Europol, return to the civil service to which they belong.~~<sup>66</sup>

#### Article 56<sup>67</sup>

#### Executive Director

1. The Executive Director shall be engaged as a temporary agent of Europol under Article 2(a) of the Conditions of Employment of Other servants.
2. The Executive Director shall be appointed by the ~~Management Board~~ **Council acting by qualified majority**, from a list of candidates proposed by the ~~Commission~~ **Management Board**, following an open and transparent selection procedure.

For the purpose of concluding the contract with the Executive Director, Europol shall be represented by the Chairperson of the Management Board.

~~Before appointment, the candidate selected by the Management Board may be invited to make a statement before the competent committee of the European Parliament and to answer questions put by its members.~~

---

<sup>66</sup> RO reservation.

<sup>67</sup> FI, UK reservations on the changes made in this Article.



3. The term of office of the Executive Director shall be ~~five~~ **four** years. By the end of that period, the Commission, ***in association with the Management Board***, shall undertake an assessment that takes into account an evaluation of the Executive Director's performance and Europol's future tasks and challenges.
4. The ~~Management Board~~ ***Council***, acting on a proposal from the ~~Commission~~ ***Management Board*** that takes into account the assessment referred to in paragraph 3, may extend the term of office of the Executive Director once, for no more than ~~five~~ **four** years.
5. ~~The Management Board shall inform the European Parliament if it intends to extend the Executive Director's term of office. Within the month before any such extension, the Executive Director may be invited to make a statement before the competent committee of the Parliament and answer questions put by its members.~~
6. An Executive Director whose term of office has been extended may not participate in another selection procedure for the same post at the end of the overall period.
7. The Executive Director may be removed from office only upon a decision of the ~~Management Board~~ ***Council*** acting on a proposal from the ~~Commission~~ ***Management Board***.
8. The Management Board shall reach decisions ***regarding the proposals to be made to the Council*** on appointment, extension of the term of office and removal from office of the Executive Director ~~and/or Deputy Executive Director(s)~~ on the basis of a two-thirds majority of its members with voting rights.

#### *Article 57*

#### **Deputy Executive Directors**

1. ~~Four~~ ***Three*** Deputy Executive Directors, ~~including one responsible for training,~~ shall assist the Executive Director. ~~The Deputy Executive Director for Training shall be responsible for managing the Europol Academy and its activities.~~ The Executive Director shall define ~~the~~ ***their*** tasks ~~of the others~~.
2. Article 56 shall apply to the Deputy Executive Directors. The Executive Director shall be consulted prior to their appointment, ***the extension of their mandate*** or ***their*** removal from office.

*Article 58*

**Seconded national experts ~~and other staff~~**

1. Europol may make use of seconded national experts ~~or other staff not employed by the agency.~~
2. The Management Board shall adopt a decision laying down rules on the secondment of national experts to Europol.

## Chapter XI

### FINANCIAL PROVISIONS

#### *Article 59*

##### **Budget**

1. Estimates of all revenue and expenditure for Europol shall be prepared each financial year, corresponding to the calendar year, and shall be shown in Europol's budget.
2. Europol's budget shall be balanced in terms of revenue and of expenditure.
3. Without prejudice to other resources, Europol's revenue shall comprise a contribution from the Union entered in the general budget of the European Union.
4. Europol may benefit from Union funding in the form of delegation agreements or ad-hoc ~~and exceptional~~ grants in accordance with *its financial rules referred to in Article 63 and* the provisions of the relevant instruments supporting the policies of the Union.
5. The expenditure of Europol shall include staff remuneration, administrative and infrastructure expenses, and operating costs.
6. *Budgetary commitments for actions relating to large scale projects extending over more than one financial year may be broken down over several years into annual instalments.*

#### *Article 60*

##### **Establishment of the budget**

1. Each year the Executive Director shall draw up a draft statement of estimates of Europol's revenue and expenditure for the following financial year, including the establishment plan, and send it to the Management Board.
2. The Management Board shall, on the basis of that draft, ~~produce~~ **adopt** a provisional draft estimate of Europol's revenue and expenditure for the following financial year. The provisional draft estimate of Europol's revenue and expenditure shall be sent to the Commission each year by ~~{date set out in the framework Financial Regulation}~~ **31 January**. The Management Board shall send a final draft estimate, which shall include a draft establishment plan, to the Commission, the European Parliament and the Council by 31 March.

3. The Commission shall send the statement of estimates to the European Parliament and the Council (the budgetary authority) together with the draft general budget of the European Union.
4. On the basis of the statement of estimates, the Commission shall enter in the draft general budget of the European Union the estimates it considers necessary for the establishment plan and the amount of the contribution to be charged to the general budget, which it shall place before the budgetary authority in accordance with Articles 313 and 314 of the Treaty.
5. The budgetary authority shall authorise the appropriations for *the contribution to Europol's contribution*.
6. The budgetary authority shall adopt Europol's establishment plan.
7. Europol's budget shall be adopted by the Management Board. It shall become final following final adoption of the general budget of the Union. Where necessary, it shall be adjusted accordingly.
8. For any ~~project, in particular~~ building projects likely to have significant implications for the *Europol's* budget, the provisions of ~~[the framework Financial Regulation]~~ *Commission Delegated Regulation (EU) No 1271/2013*<sup>68</sup> shall apply.

#### *Article 61*

#### **Implementation of the budget**

1. The Executive Director shall implement Europol's budget.
2. Each year the Executive Director shall send to the budgetary authority all information relevant to the findings of any evaluation procedures.

#### *Article 62*

#### **Presentation of accounts and discharge**

1. By 1 March following each financial year, Europol's accounting officer shall communicate the provisional accounts to the Commission's ~~A~~accounting ~~O~~fficer and to the Court of Auditors.
2. Europol shall send the report on the budgetary and financial management to the European Parliament, the Council and the Court of Auditors by 31 March of the following financial year.

---

<sup>68</sup> Commission Delegated Regulation (EU) No 1271/2013 of 30.9.2013 on the framework financial regulation for the bodies referred to in Article 208 of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council (OJ L 328, 7.12.2013, p. 42).

3. By 31 March following each financial year, the Commission's accounting officer shall send Europol's provisional accounts consolidated with the Commission's accounts to the Court of Auditors.
4. On receipt of the Court of Auditors' observations on Europol's provisional accounts pursuant to Article 148 of the Financial Regulation, ~~the~~ **Europol's** accounting officer shall draw up Europol's final accounts. The Executive Director shall submit them to the Management Board for an opinion.
5. The Management Board shall deliver an opinion on Europol's final accounts.
6. ~~The Executive Director~~ **Europol's accounting officer** shall, by 1 July following each financial year, send the final accounts to the European Parliament, the Council, the Commission, the Court of Auditors and national Parliaments, together with the Management Board's opinion.
7. The final accounts shall be published.
8. The Executive Director shall send *to* the Court of Auditors a reply to the observations made in its annual report by [~~date set out in the framework Financial Regulation~~] **30 September of the following year at the latest**. He/she shall also send the reply to the Management Board.
9. The Executive Director shall submit to the European Parliament, at the latter's request, any information required for the smooth application of the discharge procedure for the financial year in question, as laid down in Article 165(3) of the Financial Regulation.
10. On a recommendation from the Council acting by a qualified majority, the European Parliament shall, before 15 May of year N + 2, give a discharge to the Executive Director in respect of the implementation of the budget for year N.

### *Article 63*

#### **Financial rules**

1. The financial rules applicable to Europol shall be adopted by the Management Board after consultation with the Commission. They shall not depart from [~~the framework Financial Regulation~~] **Commission Delegated Regulation (EU) No 1271/2013** unless such a departure is specifically required for Europol's operation and the Commission has given its prior consent.

~~[2. Because of the specificity of the Members of the Network of National Training Institutes which are the only bodies with specific characteristics and technical competences to perform relevant training activities, these members may receive grants without a call for proposals in accordance with Article 190(1)(d) of the Commission Delegated Regulation (EU) No 1268/2012.<sup>69</sup>]~~

3. *Europol may award grants related to the fulfilment of tasks as referred to in Article 4.*

4.<sup>70</sup> *Grants may be awarded without a call for proposals to the Member States for performing their cross-border operations and investigations and for providing training in relation to the tasks referred to Article 4(1)(h) and Article 4(1)(i).*

---

<sup>69</sup> OJ L 362, 31.12.2012, p. 1.

<sup>70</sup> This paragraph is ~~to be~~ accompanied by a recital justifying why for Art. 4(1)(h) and (i) tasks Member States should be able to be beneficiaries of grants without a call for proposals (exceptions provided for in Article 190(1) of the Commission Delegated Regulation No 1268/2012).

## Chapter XII

### MISCELLANEOUS PROVISIONS

#### *Article 64*

##### **Legal status**

1. Europol shall be ~~a body~~ **an agency** of the Union. It shall have legal personality.
2. In each of the Member States Europol shall enjoy the most extensive legal capacity accorded to legal persons under their laws. Europol may, in particular, acquire and dispose of movable and immovable property and be a party to legal proceedings.
3. ***In accordance with Protocol N° 6 on the location of the seats of the institutions and of certain bodies, agencies and departments of the European Union, annexed to the Treaties,*** ~~The seat of Europol shall be~~ ***have its seat*** in The Hague, ~~in the Netherlands.~~

#### *Article 65*

##### **Privileges and immunity**

- 1.<sup>71</sup> The Protocol on the Privileges and Immunities of the European Union shall apply to Europol and its staff.
2. Privileges and immunities of liaison officers and members of their families shall be subject to an agreement between the Kingdom of Netherlands and the other Member States. That agreement shall provide for such privileges and immunities as are necessary for the proper performance of the tasks of liaison officers.

---

<sup>71</sup> This paragraph is accompanied by a recital referring to Article 1a of Council Regulation No 549/69 as amended by Council Regulation No 371/2009 providing that Europol staff do not benefit from immunities while they are participating in JITs.

## Article 66

### Language arrangements

1. The provisions laid down in Regulation No 1<sup>72</sup> shall apply to Europol.
- 1a. The Management Board shall decide by a majority of two-thirds of its members on the internal language arrangements of Europol.*
2. The translation services required for the functioning of Europol shall be provided by the Translation Centre of the bodies of the European Union.

## Article 67

### Transparency

1. Regulation (EC) No 1049/2001<sup>73</sup> shall apply to documents held by Europol.
2. On the basis of a proposal by the Executive Director, ~~and by six months after.../ the date of entry into force of this Regulation/ at the latest,~~<sup>74</sup> the Management Board shall adopt the detailed rules for applying Regulation (EC) No 1049/2001 with regard to Europol documents.
3. Decisions taken by Europol under Article 8 of Regulation (EC) No 1049/2001 may form the subject of a complaint to the Ombudsman or of an action before the Court of Justice of the European Union, under the conditions laid down in Articles 228 and 263 of the Treaty respectively.

---

<sup>72</sup> OJ 17, 6.10.1958, p. 385/58.

<sup>73</sup> OJ L 145, 31.5.2001, p. 43.

<sup>74</sup> Added in Article 74(3)(b).



Article 68

**Combating fraud**

1. In order to facilitate combating fraud, corruption and other unlawful activities under Regulation ~~(EC) No 1073/1999~~ **(EU) No 883/2013**, within six months from ... ~~[the day Europol becomes operational~~ **date of application of this Regulation]**, ~~it~~ **Europol** shall accede to the Interinstitutional Agreement of 25 May 1999 concerning internal investigations by the European Anti-Fraud Office (OLAF)<sup>75</sup> and adopt appropriate provisions applicable to all employees of Europol using the template set out in the Annex to that agreement.
2. The European Court of Auditors shall have the power of audit, on the basis of documents and on the spot, over all grant beneficiaries, contractors and subcontractors who have received Union funds from Europol.
3. OLAF may carry out investigations, including on-the-spot checks and inspections, with a view to establishing whether there has been fraud, corruption or any other illegal activity affecting the financial interests of the Union in connection with a grant or a contract funded by Europol, in accordance with the provisions and procedures laid down in Regulation ~~(EC) No 1073/1999 of the European Parliament and of the Council of 25 May 1999 concerning investigations conducted by the European Anti-Fraud Office (OLAF)~~ **(EU) No 883/2013** and Council Regulation (Euratom, EC) No 2185/96 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities.<sup>76</sup>
4. Without prejudice to paragraphs 1, 2 and 3, ~~cooperation agreements with third countries and international organisations~~, contracts, grant agreements and grant decisions of Europol shall contain provisions expressly empowering the European Court of Auditors and OLAF to conduct such audits and investigations, according to their respective competences.

Article 69

**~~Security~~ Rules on the protection of *sensitive and* classified information**

Europol shall establish its own rules on the obligations of discretion and confidentiality, and on the protection of *sensitive and* European Union classified information ~~and sensitive non-classified information, taking into account~~. **The rules on the protection of European Union classified information shall be consistent with** the basic principles and minimum standards of Decision ~~2011/292/EU~~ **2013/488/EU**. This shall cover, inter alia, provisions for the exchange, processing and storage of such information.

---

<sup>75</sup> OJ L 136, 31.5.1999, p. 15.

<sup>76</sup> OJ L 292, 15.11.1996, p. 2.

## Article 70

### Evaluation and review

1. No later than five years after ... [~~the date of application of this Regulation~~], and every five years thereafter, the Commission shall commission an evaluation to assess particularly the impact, effectiveness and efficiency of Europol and its working practices. The evaluation shall, in particular, ~~address the possible need to modify the objectives of Europol, and the financial implications of any such modification.~~ **also address the necessity and possible impact of direct exchanges of personal data with private parties.**<sup>77</sup>
2. The Commission shall forward the evaluation report together with its conclusions **and the observations of the Management Board** ~~on the report~~ to the European Parliament, the Council, **and** the national ~~p~~Parliaments ~~and the Management Board~~.
- ~~3. On the occasion of every second evaluation, the Commission shall also assess the results achieved by Europol having regard to its objective, mandate and tasks. If the Commission considers that the continuation of Europol is no longer justified with regard to its assigned objectives and tasks, it may propose that this Regulation be amended accordingly or repealed.~~

## Article 71

### Administrative inquiries

The activities of Europol shall be subject to the ~~controls~~ **inquiries** of the European Ombudsman in accordance with Article 228 of the Treaty.

## Article 72

### Headquarters

1. The necessary arrangements concerning the accommodation to be provided for Europol in the host Member State and the facilities to be made available by that Member State together with the specific rules applicable in the host Member State to the Executive Director, members of the Management Board, Europol's staff and members of their families shall be laid down in a Headquarters Agreement between Europol and ~~Member State where the seat is located~~ **the Kingdom of Netherlands in line with Article 64(3);** ~~concluded after obtaining the approval of the Management Board and no later than [2 years after the entry into force of this Regulation].~~
2. Europol's host Member State shall provide the best possible conditions to ensure the functioning of Europol, including multilingual, European-oriented schooling and appropriate transport connections.

---

<sup>77</sup> Based on Art. 32.

## Chapter XIII

### TRANSITIONAL PROVISIONS

#### *Article 73*

##### **General legal succession**

1. Europol, as established by this Regulation, shall be the general legal successor in respect of all contracts concluded by, liabilities incumbent on, and properties acquired by Europol, as established by Decision 2009/371/JHA, ~~and CEPOL, as established under Decision 2005/681/JHA.~~
2. This Regulation shall not affect the legal force of agreements concluded by Europol as established by Decision 2009/371/JHA before ... ~~[the date of entry into force of this Regulation]~~ *or of agreements concluded by Europol as established by the Europol Convention before 1 January 2010.*
- ~~3. This Regulation shall not affect the legal force of agreements concluded by CEPOL as established by Decision 2005/681/JHA before the date of entry into force of this Regulation.~~
- ~~4. By way of derogation from paragraph 3, the Headquarters Agreement concluded on the basis of the Decision 2005/681/JHA shall be terminated from the date of entry into application of this Regulation.~~

#### *Article 74*

##### **Transitional arrangements concerning the Management Board**

- ~~1. The term of office of the members of the Governing Board of CEPOL as established on the basis of Article 10 of Decision 2005/681/JHA shall terminate on ... [date of entry into force of this Regulation].~~
2. The term of office of the members of the Management Board of Europol as established on the basis of Article 37 of Decision 2009/371/JHA shall terminate on ... [date of entry into application of this Regulation].

3. The Management Board as established on the basis of Article 37 of Decision 2009/371/JHA shall within the period between ... [~~the date of entry into force of this Regulation]~~ and ... [~~the date of entry into application of this Regulation~~]:
  - (a) exercise the functions of the Management Board as referred to in Article 14 of this Regulation;
  - (b) prepare the adoption of the rules for applying Regulation (EC) No 1049/2001 with regard to Europol documents referred to in Article 67 of this Regulation and on the obligations of confidentiality and discretion, and the protection of *sensitive and* EU classified information referred to in Article 69 of this Regulation;
  - (c) prepare any instrument necessary for the application of this Regulation, *in particular and if deemed necessary any transitional measures relating to Chapter V*; and
  - (d) ~~revise review the non-legislative measures implementing~~ *the internal rules and measures adopted by the Management Board on the basis of* Decision 2009/371/JHA so as to allow the Management Board established pursuant to Article 13 of this Regulation to take a decision pursuant to Article 78(2) *thereof*.
4. The Commission shall take the measures necessary without delay after ... [~~the date of entry into force of this Regulation]~~ to ensure that the Management Board established in accordance with Article 13 starts its work at ... [~~date of entry into application of the this Regulation~~];
5. By ... [6 months from the date of entry into force of this Regulation] at the latest the Member States shall notify the Commission of the names of the persons whom they have appointed as member and alternate member of the Management Board, in accordance with Article 13.
6. The Management Board established pursuant to Article 13 of this Regulation shall hold its first meeting on ... [~~the date of entry into application of this Regulation~~]. On that occasion it shall, if necessary, take a decision as referred to in Article 78(2).

#### *Article 75*

### **Transitional arrangements concerning the Executive Directors, ~~and~~ the Deputy Directors and staff**

1. The ~~Executive~~ Director appointed on the basis of Article 38 of Decision 2009/371/JHA shall, for the remaining periods of his/her term of office, be assigned to the responsibilities of the Executive Director as provided for in Article 19 of this Regulation. The other conditions of his/her contract remain unchanged. If the term of office ends after ... [~~the date of entry into force of this Regulation]~~ but before ... [~~the date of application of this Regulation]~~, it shall be extended automatically until one year after ... [~~the date of application of this Regulation]~~.

2. Should the Executive Director be unwilling or unable to act in accordance with paragraph 1, the ~~Commission~~ **Management Board** shall designate ~~an Commission official to act as~~ interim Executive Director ~~and to~~ exercise the duties assigned to the Executive Director for a period not exceeding 18 months, pending the appointments provided for in Article 56.
3. Paragraphs 1 and 2 shall apply to the Deputy Directors appointed on the basis of Article 38 of Decision 2009/371/JHA.
- ~~4. The Executive Director of CEPOL appointed on the basis of Article 11(1) of Decision 2005/681/JHA shall, for the remaining periods of his/her term of office, be assigned to the functions of the Deputy Executive Director of training of Europol. The other conditions of his/her contract remain unchanged. If the term of office ends after [the date of entry into force of this Regulation] but before [the date of application of this Regulation], he/she shall be extended automatically until one year after the date of application of this Regulation.~~
- 5.<sup>78,79</sup> *In accordance with the Conditions of employment of other servants, the authority referred to in the first paragraph of Article 6 of the Conditions of employment shall offer employment of indefinite duration as a member of temporary or contract staff to any person who at ... [the date of application of this Regulation] is employed under a contract of indefinite duration as a local staff member concluded by Europol as established by the Europol Convention. The offer of employment shall be based on the tasks to be performed by the servant as a member of temporary or contract staff. The contract concerned shall take effect at the latest on ... [one year after the date of application of this Regulation]. A staff member who does not accept the offer referred to in this paragraph may retain his contractual relationship with Europol.*

#### Article 76

#### Transitional budgetary provisions

- ~~1. For each of the three budgetary years following the entry into force of this Regulation, at least EUR 8 million of the operational expenses of Europol shall be reserved for training, as described in Chapter III.~~
2. The discharge procedure in respect of the budgets approved on the basis of Article 42 of Decision 2009/371/JHA shall be carried out in accordance with the rules established by Article 43 of Decision 2009/371/JHA ~~and the financial rules of Europol~~.

80

---

<sup>78</sup> This paragraph is ~~to be~~ accompanied by a recital justifying the need for such a transitional provision.

<sup>79</sup> CZ reservation.

<sup>80</sup> A transitional provision might be added here at a later stage depending on the date of application of this Regulation as the new rules on programming in the Framework Financial Regulation Art. 32-33, reflected in the revised Articles 14 and 15 of this Regulation, will only enter into force on 1 January 2016.

## Chapter XIV

### FINAL PROVISIONS

#### *Article 77*

##### **Replacement**

1. ~~This Regulation replaces and repeals Decision 2009/371/JHA, *Decision 2009/934/JHA, Decision 2009/935/JHA, Decision 2009/936/JHA and Decision 2009/968/JHA* and Decision 2005/681/JHA are hereby replaced for the Member States bound by this Regulation with effect from ... [date of application of this Regulation].~~
2. *For the Member States bound by this Regulation, r*References to the replaced Decisions referred to in paragraph 1 shall be construed as references to this Regulation.

#### *Article 78*

##### **~~Repeal~~ Maintenance in force of the internal rules adopted by the Management Board**

1. ~~All legislative measures implementing the Decisions 2009/371/JHA and Decision 2005/681/JHA are repealed with effect from the date of application of this Regulation.~~
2. ~~All non-legislative measures implementing *Internal rules and measures adopted by the Management Board on the basis of* Decision 2009/371/JHA which sets up the European Police Office (Europol) and Decision 2005/681/JHA which sets up CEPOL shall remain in force following the *after* ... [date of application of this Regulation], unless otherwise decided by the Management Board of Europol in the implementation *application* of this Regulation.~~

*Article 79*

**Entry into force and application**

1. This Regulation shall enter into force on the 20th day following that of its publication in the *Official Journal of the European Union*.
2. It shall apply from ... [date of application].

However, Articles 73, 74 and 75 shall apply from ... [~~the~~ date of entry into force of this Regulation].

Done at Brussels,

*For the European Parliament*

*For the Council*

*The President*

*The President*

## ANNEX 1<sup>81</sup>

**List of offences with respect to forms of crime which Europol shall support and strengthen action by the competent authorities of the Member States and their mutual cooperation is competent to deal with in accordance with Article 3(1) of this Regulation**

- terrorism,
- organised crime,
- ~~unlawful~~ drug trafficking,
- ~~illegal~~ money-laundering activities,
- crime connected with nuclear and radioactive substances,
- illegal immigrant smuggling,
- trafficking in human beings,
- motor vehicle crime,
- murder, grievous bodily injury,
- illicit trade in human organs and tissue,
- kidnapping, illegal restraint and hostage taking,
- racism and xenophobia,
- robbery *and aggravated theft*,
- illicit trafficking in cultural goods, including antiquities and works of art,
- swindling and fraud, ~~including fraud affecting the financial interests of the Union,~~
- *crime against the financial interests of the Union*,
- *insider dealing and financial market manipulation*,
- racketeering and extortion,
- counterfeiting and product piracy,

---

<sup>81</sup> The changes in this Annex are mainly made in order to align it with the one contained in the draft Eurojust Regulation.



- forgery of administrative documents and trafficking therein,
- forgery of money and means of payment,
- computer crime,
- corruption,
- illicit trafficking in arms, ammunition and explosives,
- illicit trafficking in endangered animal species,
- illicit trafficking in endangered plant species and varieties,
- environmental crime, including ship source pollution,
- illicit trafficking in hormonal substances and other growth promoters,
- sexual abuse and sexual exploitation of women, and children, *including child pornography and solicitation of children for sexual purposes,*
- *genocide, crimes against humanity and war crimes.*

## ANNEX 2

### **Categories of personal data and categories of data subjects whose data may be collected and processed for cross-checking purpose as referred to in Article 24(1)(a) of this Regulation**

1. Personal data collected and processed for cross-checking purposes shall relate to:
  - (a) persons who, in accordance with the national law of the Member State concerned, are suspected of having committed or having taken part in a criminal offence in respect of which Europol is competent or who have been convicted of such an offence;
  - (b) persons regarding whom there are factual indications or reasonable grounds under the national law of the Member State concerned to believe that they will commit criminal offences in respect of which Europol is competent.
2. Data relating to the persons referred to in paragraph 1 may include only the following categories of personal data:
  - (a) surname, maiden name, given names and any alias or assumed name;
  - (b) date and place of birth;
  - (c) nationality;
  - (d) sex;
  - (e) place of residence, profession and whereabouts of the person concerned;
  - (f) social security numbers, driving licences, identification documents and passport data; and
  - (g) where necessary, other characteristics likely to assist in identification, including any specific objective physical characteristics not subject to change such as dactyloscopic data and DNA profile (established from the non-coding part of DNA).
3. In addition to the data referred to in paragraph 2, following categories of personal data concerning the persons referred to in paragraph 1 may be collected and processed:
  - (a) criminal offences, alleged criminal offences and when, where and how they were (allegedly) committed;
  - (b) means which were or may be used to commit those criminal offences including information concerning legal persons;
  - (c) departments handling the case and their filing references;

- (d) suspected membership of a criminal organisation;
- (e) convictions, where they relate to criminal offences in respect of which Europol is competent;
- (f) inputting party.

These data may be provided to Europol even when they do not yet contain any references to persons.

4. Additional information held by Europol or National Units concerning the persons referred to in paragraph 1 may be communicated to any national unit or Europol should either so request. National units shall do so in compliance with their national law.
5. If proceedings against the person concerned are definitively dropped or if that person is definitively acquitted, the data relating to the case in respect of which either decision has been taken shall be deleted.

**Categories of personal data and categories of data subjects whose data may be collected and processed for the purpose of analyses of strategic or other general nature, ~~and~~ for the purpose of operational analyses *and for the purpose of facilitating the exchange of information* (as referred to in Article 24(1)(b), ~~and~~ (c) and (d)) of this Regulation**

1. Personal data collected and processed for the purpose of analyses of a strategic or other general nature, ~~and~~ operational analyses *and for the purpose of facilitating the exchange of information between Member States, Europol, other Union bodies, third countries and international organisations* shall relate to:
  - (a) persons who, in accordance with the national law of the Member State concerned, are suspected of having committed or having taken part in a criminal offence in respect of which Europol is competent or who have been convicted of such an offence;
  - (b) persons regarding whom there are factual indications or reasonable grounds under the national law of the Member State concerned to believe that they will commit criminal offences in respect of which Europol is competent.
  - (c) persons who might be called on to testify in investigations in connection with the offences under consideration or in subsequent criminal proceedings;
  - (d) persons who have been the victims of one of the offences under consideration or with regard to whom certain facts give reason to believe that they could be the victims of such an offence;
  - (e) contacts and associates; and
  - (f) persons who can provide information on the criminal offences under consideration.

2. The following categories of personal data, including associated administrative data, may be processed on the categories of persons referred to in paragraph 1 point (a) and (b):

(a) Personal details:

- (i) Present and former surnames;
- (ii) Present and former forenames;
- (iii) Maiden name;
- (iv) Father's name (where necessary for the purpose of identification);
- (v) Mother's name (where necessary for the purpose of identification);
- (vi) Sex;
- (vii) Date of birth;
- (viii) Place of birth;
- (ix) Nationality;
- (x) Marital status;
- (xi) Alias;
- (xii) Nickname;
- (xiii) Assumed or false name;
- (xiv) Present and former residence and/or domicile;

(b) Physical description:

- (i) Physical description;
- (ii) Distinguishing features (marks/scars/tattoos etc.)

(c) Identification means:

- (i) Identity documents/driving licence;
- (ii) National identity card/passport numbers;
- (iii) National identification number/social security number, if applicable
- (iv) Visual images and other information on appearance
- (v) Forensic identification information such as fingerprints, DNA profile (established from the non-coding part of DNA), voice profile, blood group, dental information

(d) Occupation and skills:

- (i) Present employment and occupation;
- (ii) Former employment and occupation;
- (iii) Education (school/university/professional);
- (iv) Qualifications;
- (v) Skills and other fields of knowledge (language/other)

(e) Economic and financial information:

- (i) Financial data (bank accounts and codes, credit cards etc.);
- (ii) Cash assets;
- (iii) Share holdings/other assets;
- (iv) Property data;
- (v) Links with companies;
- (vi) Bank and credit contacts;
- (vii) Tax position;
- (viii) Other information revealing a person's management of their financial affairs

(f) Behavioural data:

- (i) Lifestyle (such as living above means) and routine;
- (ii) Movements;
- (iii) Places frequented;
- (iv) Weapons and other dangerous instruments;
- (v) Danger rating;
- (vi) Specific risks such as escape probability, use of double agents, connections with law enforcement personnel;
- (vii) Criminal-related traits and profiles;
- (viii) Drug abuse;

(g) Contacts and associates, including type and nature of the contact or association;

(h) Means of communication used, such as telephone (static/mobile), fax, pager, electronic mail, postal addresses, Internet connection(s);

(i) Means of transport used, such as vehicles, boats, aircraft, including information identifying these means of transport (registration numbers);

(j) Information relating to criminal conduct:

- (i) Previous convictions;
- (ii) Suspected involvement in criminal activities;
- (iii) Modi operandi;
- (iv) Means which were or may be used to prepare and/or commit crimes;
- (v) Membership of criminal groups/organisations and position in the group/organisation;
- (vi) Role in the criminal organisation;
- (vii) Geographical range of criminal activities;
- (viii) Material gathered in the course of an investigation, such as video and photographic images

(k) References to other information systems in which information on the person is stored:

- (i) Europol;
- (ii) Police/customs agencies;
- (iii) Other enforcement agencies;
- (iv) International organisations;
- (v) Public entities;
- (vi) Private entities

(l) Information on legal persons associated with the data referred to in points (e) and (j):

- (i) Designation of the legal person;
- (ii) Location;
- (iii) Date and place of establishment;
- (iv) Administrative registration number;
- (v) Legal form;
- (vi) Capital;
- (vii) Area of activity;
- (viii) National and international subsidiaries;
- (ix) Directors;
- (x) Links with banks.

3. “Contacts and associates”, as referred to in paragraph 1 point (e), are persons through whom there is sufficient reason to believe that information, which relates to the persons referred to in paragraph 1 point (a) and (b) of this Annex and which is relevant for the analysis, can be gained, provided they are not included in one of the categories of persons referred to in paragraphs 1 (a), (b), (c), (d) and (f). “Contacts” are those persons who have sporadic contact with the persons referred to in paragraph 1 point (a) and (b). “Associates” are those persons who have regular contact with the persons referred to in paragraph 1 point (a) and (b).

In relation to contacts and associates, the data pursuant to paragraph 2 may be stored as necessary, provided there is reason to assume that such data are required for the analysis of the role of such persons as contacts or associates.

In this context, the following shall be observed:

- (a) the relationship of these persons with the persons referred to in paragraph 1 point (a) and (b) shall be clarified as soon as possible;
- (b) if the assumption that a relationship exists between these persons and the persons referred to in paragraph 1 point (a) and (b) turns out to be unfounded, the data shall be deleted without delay;
- (c) if such persons are suspected of committing an offence falling under Europol's objectives, or have been convicted for such an offence, or if there are factual indications or reasonable grounds under the national law of the Member State concerned to believe that they will commit such an offence, all data pursuant to paragraph 2 may be stored;
- (d) data on contacts and associates of contacts as well as data on contacts and associates of associates shall not be stored, with the exception of data on the type and nature of their contacts or associations with the persons referred to in paragraph 1 point (a) and (b);
- (e) if a clarification pursuant to the previous points is not possible, this shall be taken into account when deciding on the need and the extent of storage for further analysis.

4. With regard to persons who, as referred to in paragraph 1 point (d), have been the victims of one of the offences under consideration or who, certain facts give reason to believe, could be the victims of such an offence, data referred to in paragraph 2 point (a) intent 'i' to paragraph 2 (c) intent 'iii' of this Annex, as well as the following categories of data, may be stored:

- (a) Victim identification data;
- (b) Reason for victimisation;
- (c) Damage (physical/financial/psychological/other);
- (d) Whether anonymity is to be guaranteed;
- (e) Whether participation in a court hearing is possible;
- (f) Crime-related information provided by or through persons referred to in paragraph 1 point 'd', including information on their relationship with other persons, where necessary, to identify the persons referred to in paragraph 1 points 'a' and 'b'

Other data pursuant to paragraph 2 may be stored as necessary, provided there is reason to assume that they are required for the analysis of a person's role as victim or potential victim.

Data not required for any further analysis shall be deleted.



5. With regard to persons who, as referred to in paragraph 1 (c), might be called on to testify in investigations in connection with the offences under consideration or in subsequent criminal proceedings, data referred to in paragraph 2 point (a) indent 'i' to paragraph 2 (c) indent 'iii' of this Annex as well as categories of data complying with the following criteria, may be stored:

- (a) crime-related information provided by such persons, including information on their relationship with other persons included in the analysis work file;
- (b) whether anonymity is to be guaranteed;
- (c) whether protection is to be guaranteed and by whom;
- (d) new identity;
- (e) whether participation in a court hearing is possible.

Other data pursuant to paragraph 2 may be stored as necessary, provided there is reason to assume that they are required for the analysis of such persons' role as witnesses.

Data not required for any further analysis shall be deleted.

6. With regard to persons who, as referred to in paragraph 1 point (f), can provide information on the criminal offences under consideration, data referred to in paragraph 2 point (a) indent 'i' to paragraph 2 (c) indent 'iii' of this Annex may be stored, as well as categories of data complying with the following criteria:

- (a) coded personal details;
- (b) type of information supplied;
- (c) whether anonymity is to be guaranteed;
- (d) whether protection is to be guaranteed and by whom;
- (e) new identity;
- (f) whether participation in court hearing is possible;
- (g) negative experiences;
- (h) rewards (financial/favours).

Other data pursuant to paragraph 2 may be stored as necessary, provided there is reason to assume that they are required for the analysis of such persons' role as informants.

Data not required for any further analysis shall be deleted.

7. If, at any moment during the course of an analysis, it becomes clear on the basis of serious and corroborating indications that a person should be placed under a different category of persons, as defined in this Annex, from the category in which that person was initially placed, Europol may process only the data on that person which is permitted under that new category, and all other data shall be deleted.

If, on the basis of such indications, it becomes clear that a person should be included in two or more different categories as defined in this Annex, all data allowed under such categories may be processed by Europol.

