



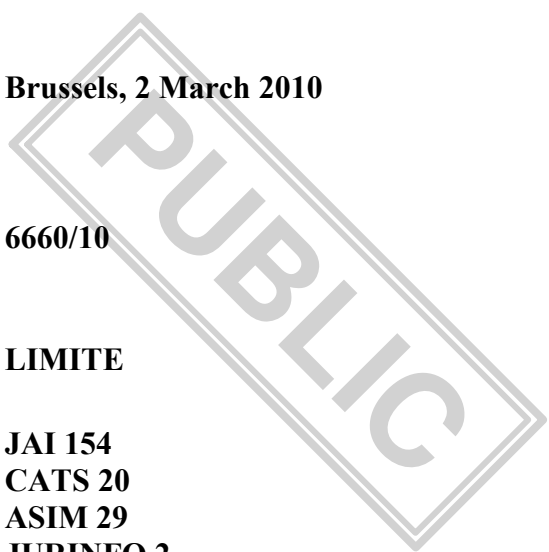
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**NOTE**

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from :	Presidency
to :	Ad hoc Group on Information Exchange
No prev doc	16951/1/09 REV 1 JAI 894 CATS 133 ASIM 139 JURINFO 152
Subject :	Draft Action list for the implementation of IMS

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The Council Conclusions on an Information Management Strategy for EU internal security (cf. doc. 16637/09 JAI 873 CATS 131 ASIM 137 JUSTCIV 249 JURINFO 145) set out that steps should be taken to develop and update as necessary a detailed action list in order to fulfil the overall aims and objectives of the strategy.

Taking account of discussions in the Ad hoc Group and of suggestions from Member States and the Commission subsequent to the last meeting, the Presidency presents a reduced action list.

The reduced list now contains eleven priority actions indicating strategic objectives, the actions to be taken, performance indicators and the outcome to be achieved as well as the terms of reference. The life span for the action list is proposed to be limited to an 18-months period. Actors and other parties concerned with the carrying-out of actions are listed in a separate document<sup>1</sup> setting out an overview of activated / proposed actions for the period 1 January 2010 - 30 June 2011.

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<sup>1</sup> Cf. doc DS 1022/1/10

The Ad hoc Working Group on Information Exchange is invited to discuss and approve the Presidency proposal on the action list for the implementation of the IMS.

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Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
1	Information Mapping Project: Drawing up four different information maps concerning cross-border exchange of information and criminal intelligence in the field of EU internal security, including 1) a map of legislation; 2) a map of communication channels; 3) a map of information flows and 4) a map of technological solutions used	In the first phase (in 2010), COM, in close cooperation with the Information Mapping Project Group, will draw up the four information maps. This 'mapping' exercise will lead to an assessment of the relevant legal instruments (in 2010-2011) and potential recommendations (in 2012) to consolidate cross-border information exchange	1.d, 2.b, 3.a, 4.a, 5.a	Interim report submitted and adopted by the AHWP at the end of ES Presidency Final report submitted and adopted by the AHWP at the end of BE Presidency	1) Map of legislation (including the EU and national levels); 2) Map of communication channels used; 3) Map of actual information flows; 4) Map of technological solutions, including databases and relevant IT solutions.

**Terms of reference:**

Both the Hague and the Stockholm Programme (Section 4.2.2) highlighted the need for coherence and consolidation in developing information exchange in the field of EU internal security. The European Information Exchange Model (EIXM) seeks to map, assess and recommend ways to consolidate such information exchange. The EIXM follows the methodology established by the IMS. The Information Mapping Project applies the working methods drawn up by the AHWP to implement the IMS. Having established the Information Mapping Project Group, COM will convene several 'mapping' sessions in 2010, with a kick-off meeting scheduled for January 2010. COM will prepare discussion papers for each mapping session, which may call on project group members to fill in questionnaires, collect further information or follow up information contained in the COM-financed "Study on the status of information exchange amongst law enforcement authorities in the context of the existing instruments." Further information may be found in doc. 5046/10.

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
2	Develop the methodology and indicators for data protection impact assessment concerning new initiatives for information exchange	Ensure that information exchange is fully compliant with fundamental rights, including data protection, and that the principles of lawfulness, necessity and proportionality are met when new information exchange initiatives are set up and implemented	3.a, 3b	Report discussed and adopted by the AHWP	Data protection implications have been carefully considered and compliance with data protection requirements has been ensured
<p><b>Terms of reference:</b> The work on the European Information Exchange Model (EIXM) should be taken into account when developing the envisaged assessment.</p>					

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
3	Develop a strategic prioritisation process	<p>Streamlining information exchange should be based on and driven by, on the one hand, an assessment of needs, requirements and added value and of the legal, technical and financial impact of new initiatives and, on the other hand, a critical review of existing instruments.</p> <p>The assessment should derive from a strategic prioritisation process which implies:</p> <ul style="list-style-type: none"> <li>- end-users and key stakeholders to be involved at national and EU level;</li> <li>- roles and competences on different levels (within national authorities, EU institutions, bodies and agencies etc.) to be identified and organised;</li> <li>- functions for preparing strategic decisions on information management and IT development to be identified/established.</li> </ul>		Report adopted by the AHWP	Prioritisation between different initiatives regarding information exchange follows a method in line with the IMS.
<p><b>Terms of reference:</b>  The IMS provides the “how” to achieve the visions and goals set out in the Stockholm programme, the future EU internal security strategy and other high level documents dealing with the “what” of internal security. This project aims at identifying a structured approach to assess the needs for information exchange and how a prioritisation process should be handled within the EU structures.</p>					

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
4	Information Exchange Platform for Law Enforcement Agencies	Central cooperation and information platform for Law Enforcement Agencies (LEA); exchange of high quality data in a secure manner and under a well defined framework; compatibility and interoperability between various applications and MS systems	4, 5.d	Report adopted by the AHWP	<p>Web-based platform integrating access to a variety of services and resources related to information exchange between MS Law Enforcement Agencies.</p> <p>The platform could also be used for cooperation activities in the context of the IMS action list.</p> <p>As an integral part of the platform, a list of open sources will be produced, being those sources of public access or any other not classified, so that each MS could know the contents, terms of access, reliability or not of the information, classification concerning its utility, etc. It would provide LEA with the capability to find, acquire, extract and analyze data and documents to support ongoing investigations and phases of intelligence gathering information.</p>
<p><b>Terms of reference:</b>  The project shall facilitate access from a single point to available services and resources related to police information exchange, such as legal instruments, handbooks, guidelines, procedures, forms, best practices, contact lists, links to organizations, messaging systems etc. The project is meant to maximise horizontal access to information and to build an interface ensuring a single "look and feel" across applications.  Relevant and useful information must be made available in a repository for all MS to access when the information is needed. The concept of this repository is to be developed and implemented.</p>					

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
5	UMF Interoperability Coordination Programme:  - European Police Information Model (EU-PIM)  - UMF II: a) technical implementation of EU-PIM in a Universal Message Format b) concept and set-up of a management entity including a governance structure for EU-PIM and the UMF-standard	The recommendations of the European interoperability strategy (ISA) should be considered (=implementation of ISA to further interoperability in the JLS area).  Identification of integration enablers designed to provide security, scalability and performance (=coordination of common standards)  The “information map” should include information flows, functions and solutions; (supplements the information map by providing a solution)	4.b 4.d 5.a	Report adopted by the AHWP	Produce a common semantic standard for cross-border and EU information exchange between law enforcement agencies.

**Terms of reference:**

The UMF Interoperability Coordination Programme aims at producing a commonly recognised standard specification for the exchange of information between European Law enforcement agencies. The programme is to be realised in three steps:

- Develop a comprehensive European Police Information Model (EU-PIM) which will integrate the current police information models in European Member States and central institutions. It should encompass the full range of police information to be exchanged at European level and across borders.
- Based on the EU-PIM the technical information exchange specification for a Universal Message Format (UMF II) will be developed. UMF II will deliver a common technical standard ready for implementation in IT-systems. This project part can draw on the experience of the EU-financed UMF I-Pilot that is being realised by DE, SWE and NL. (The final report is announced for October 2010).
- The third activity is about the concept and proposal for a management entity and a governance process for the maintenance and development of the new standard. The management entity may also provide advice and consultancy to national or European projects interested in applying the model and standards thus contributing to a smooth implementation, uniform usage and optimal interoperability.

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
6	Definition of interoperability in terms of legal, semantic, technical and business means	Interoperable solutions and capacities should be built on initiatives and proposals that start from business needs and requirements	4.b	Report adopted by the AHWP	An agreed definition of interoperability that makes it possible to identify existing, commonly agreed functions

**Terms of reference:**  
It is not always clear what is meant by the word interoperability when it comes to information exchange in the JHA area. The project aims to serve as a platform for discussions on coming and further work in the area of interoperability. The project shall consider other ongoing EU interoperability projects and initiatives, including the Commission Communication on enhanced interoperability in the JHA area (COM(2005)597) and the recommendations of the 2010 European Interoperability Strategy, European Interoperability Framework and European Interoperability Architecture Guidelines. Project participants will look at how the 2010-2015 ISA Program (Interoperability Solutions for European Public Administrations) may be used to finance projects related to creating interoperable solutions in the field of EU internal security. The activities of this project group will be considered in the 4th Information Map (Map of Technological Solutions) drafted by the Information Mapping Project Group in Action No. 1. COM wishes to flag that this action may imply a huge undertaking in terms of time, as well as financial and human resources.



Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
7	Establish a list of accredited solutions	A high level of security, ensured through organisational as well as technical and physical means will protect business interest as well as citizens private lives, without reducing the availability of information. Existing, commonly agreed, accreditation/standardisation functions should be used	3.c, 4.c	The list approved by the AHWP	Data protection and data security can more easily be ensured by all parties involved
<p><b>Terms of reference:</b>  The project will establish, in cooperation with the EDPS and national data protection bodies, a list of already accredited solutions for data protection as well as existing accreditation/standardisation functions. The project will provide input to/be a part of the European Information Exchange Model (EIXM), called for by the Stockholm Programme.</p>					

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
8	Benchmarking project on CIO role in information management and development	MS key stakeholders involved at early stage both at national and EU level	1.a, 6.b	Report to AHWP	Improved co-ordination between national and EU level Promotion of best practices
<p><b>Terms of reference:</b>  Several MS have appointed Chief Information Officers (CIO) for law enforcement information exchange. The role of such national CIOs varies significantly. This benchmarking project aims at identifying and promoting best practices in the field.</p>					

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
9	Setting up a framework for (technical and business) product management for existing and new information management solutions incorporating data protection provisions to ensure compliance with EU data protection law.	To define common standards and criteria for the governance of information management solutions	7.c	Framework of minimum standards and criteria for product management adopted by the AHWP (including data protection requirements –privacy by design)	Guidelines for the governance of IM tools that meet the common expectations of stakeholders
<p><b>Terms of reference:</b>  This initiative is aimed at establishing an agreed set of minimum standards and criteria supporting the management of information management solutions throughout their lifecycle. The framework should address all relevant product management elements, like product strategy, quality management, involvement of business representation, efficiency, data protection compliance, etc.  It is aimed at supporting the governance of IM solutions from the conceptual phase, through development and maintenance until their decommissioning.  This project will also consider the COM's 2010 European Interoperability Architecture Guidelines.</p>					

Action no:	Proposed action	Strategic objectives	Focus area	Performance indicator	Possible outcome
10	Definition of a target information management architecture that describes how the main information management processes, workflows, actors and tools are aimed to interact at EU level by 2015.	To establish the basis for the assessment and prioritisation of new initiatives in the area of information management. Criteria in terms of added value and priority can be derived from the agreed strategic perspective.	1.a, 1.f, 2.b, 3.a, 4.b, 5.a, 5.e,	Report, compliant with business, data protection and security requirements, adopted by Council	Clear view on the direction for information management development at EU level. Selection of most relevant initiatives for development. Efficient mechanisms for information exchange and reduction of redundancies
<p><b>Terms of reference:</b>  Taking the work on the information exchange model (EIXM) into consideration, this initiative is aimed at providing a clear picture of how information management at EU level should be structured by 2014, including the roles of actors, the main information and intelligence products, the sources, channels, networks and information management tools to be used in an efficient, compliant, interactive and effective manner. The target management architecture could be the synthesis of the EIXM mapping exercise (see action point 1).  This picture can also serve as the basis for identifying focus areas for interoperability and provide guidance for prioritisation as well as the rationalisation of information management initiatives (see action point 3).  The project will also consider the COM's 2010 European Interoperability Architecture Guidelines.</p>					

<b>Action no:</b>	<b>Proposed action</b>	<b>Strategic objectives</b>	<b>Focus area</b>	<b>Performance indicator</b>	<b>Possible outcome</b>
11	Establish and maintain a first list of contact points involved in development of information exchange and IT for all Member States and EU bodies.	Roles and competences on different levels in national authorities, EU institutions, bodies and agencies must be identified and organised.	7.a	Report adopted by the AHWP and used by bodies dealing with information exchange initiatives.	Roles and responsibilities of the actors involved are clarified and the list may work as a platform for further work to improve governance and ownership.
<p><b>Terms of reference;</b>  The project shall establish contact lists for competences and functions involved in development of information exchange and IT. The first draft of the list shall be presented before the end of 2010. The list should be maintained under responsibility of the Ad Hoc Group.</p>					